



KEY INFORMATION MEMORANDUM

BARODA BNP PARIBAS ULTRA SHORT DURATION FUND

[An open ended ultra short term debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 3 months and 6 months. A Relatively Moderate Interest Rate Risk and Moderate Credit Risk scheme.]

Product Labelling

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| <p>This product is suitable for investors who are seeking*:</p> <ul style="list-style-type: none"> Regular income with convenience of liquidity over ultra short term Investments in a basket of debt and money market instruments such that the Macaulay duration of the portfolio is between 3 months and 6 months. | <p>Risk-o-meter for the Scheme^^</p>  <p>Investors understand that their principal will be at LOW to MODERATE RISK</p> | <p>Benchmark Risk-o-meter^</p>  <p>Benchmark Risk-o-meter is at Low to Moderate RISK</p> <p>As per AMFI Tier I Benchmark i.e CRISIL Ultra Short Duration Debt A-I Index)</p> |
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*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

^^basis scheme portfolio as on April 30, 2025

^basis Index Constituents as on April 30, 2025

| Potential Risk Class (PRC) matrix* | | | |
|---|--------------------------------------|--------------------------------|--------------------------------------|
| Credit Risk (Max)→ | Relatively Low: Class A (CRV>=12) | Moderate: Class B (CRV>=10) | Relatively High: Class C (CRV<10) |
| Interest Rate Risk (Max)↓ | | | |
| Relatively Low: Class I (MD<=1 year) | | | |
| Moderate: Class II (MD<=3 year) | | B-II | |
| Relatively High: Class III (Any MD) | | | |

MD=, Macaulay Duration, CRV=Credit Risk Value.

*The PRC matrix denotes the maximum risk that the respective Scheme can take i.e. maximum interest rate risk (measured by MD of the Scheme) and maximum credit risk (measured by CRV of the Scheme)

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| Name of Mutual Fund (Mutual Fund) | Baroda BNP Paribas Mutual Fund |
| Name of Asset Management Company (AMC) | Baroda BNP Paribas Asset Management India Private Limited (CIN: U65991MH2003PTC142972) |
| Name of Trustee Company (Trustee) | Baroda BNP Paribas Trustee India Private Limited ((CIN: U74120MH2011PTC225365) |
| Addresses of the entities | 201(A) 2nd Floor, A wing, Crescenzo, C-38 & 39, G Block, Bandra-Kurla Complex, Mumbai, Maharashtra, India - 400 051 |
| Website of the entity : | www.barodabnpparibasmf.in |

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and

Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from [the website www.barodabnp-paribasmf.in](http://www.barodabnp-paribasmf.in).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996 (SEBI (MF) Regulations), as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated May 30, 2025.

| Investment objective | The primary objective of the Scheme is to generate regular income by investing in a portfolio of debt and money market instruments such that the Macaulay duration of the portfolio is between 3 months – 6 months. However, there can be no assurance that the investment objectives of the Scheme will be realized. The Scheme does not guarantee/ indicate any returns. | | | | | | | | | | | |
|---|--|---|--------------------------------------|---------------|-------|--------------------|------------------------|---------------------|---|-----------------------------------|---|--|
| Asset Allocation Pattern of the Scheme | Under normal circumstances, the asset allocation under the Scheme would be as follows: | | | | | | | | | | | |
| | Type of Instruments | Minimum Allocation (% of Net Assets) | Maximum Allocation (% of Net Assets) | Risk Profile | | | | | | | | |
| | Debt and Money Market Instruments* | 0 | 100 | Low to Medium | | | | | | | | |
| <p>*The Scheme may invest upto 40% in securitized debt. No investment will be made in foreign securities including foreign securitized debt.</p> <p>The cumulative gross exposure through debt, derivative positions (including fixed income derivatives), repo transactions and credit default swaps in corporate debt securities and other permitted securities /assets and such other securities/assets as may be permitted by SEBI from time to time (subject to prior approval from SEBI, if any) will not exceed 100% of the net assets of the Scheme.</p> <p>In accordance with the requirement of regulation 43A of SEBI (Mutual Funds) Regulations, 1996 read with SEBI circular no. SEBI/HO/IMD/PoD2/P/CIR/2023/129 dated July 27, 2023 on Investment by Mutual Fund Schemes and AMCs in units of Corporate Debt Market Development Fund, scheme shall invest 25 bps of its AUM as on December 31, 2022 in the units of the Corporate Debt Market Development Fund ('CDMDF') within 10 working days from the request of CDMDF. Further, an incremental contribution to CDMDF shall be made every six months within 10 working days from the end of half year starting from December 2023 to ensure 25 bps of scheme AUM is invested in units of CDMDF. However, if AUM decreases there shall be no return or redemption from CDMDF. Contribution made to CDMDF, including the appreciations on the same, if any, shall be locked-in till winding up of the CDMDF.</p> <p>However, in case of winding up of contributing Scheme, inter-scheme transfers within the same Mutual Fund or across Mutual Funds may be undertaken.</p> <p>Further, investments in CDMDF units shall not be considered as violation while considering maturity restriction as applicable for various purposes (including applicable Investment limits) and the calculations of Potential Risk Class (PRC) Matrix, Risk-o- meter, Stress testing and Duration for various purposes shall be done after excluding investments in units of CDMDF.</p> <p>Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)</p> <table><tr><th>Sr.no</th><th>Type of Instrument</th><th>Percentage of Exposure</th><th>Circular References</th></tr><tr><td>1</td><td>Debt and Money Market instruments</td><td>The Scheme will invest in debt and money market instruments such that the Macaulay duration of the portfolio is between 3 months - 6 months</td><td></td></tr></table> | | | | | Sr.no | Type of Instrument | Percentage of Exposure | Circular References | 1 | Debt and Money Market instruments | The Scheme will invest in debt and money market instruments such that the Macaulay duration of the portfolio is between 3 months - 6 months | |
| Sr.no | Type of Instrument | Percentage of Exposure | Circular References | | | | | | | | | |
| 1 | Debt and Money Market instruments | The Scheme will invest in debt and money market instruments such that the Macaulay duration of the portfolio is between 3 months - 6 months | | | | | | | | | | |

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| | 2 | Derivatives | The Scheme may take derivatives positions upto 50% of its debt assets, based on the opportunities available, subject to the guidelines issued by SEBI from time to time, and in line with the overall investment objective of the Scheme. These may be taken to hedge or rebalance the portfolio, or to undertake any other strategy as may be permitted under the Regulations from time to time. | Paragraph 12.25 of SEBI Master Circular dated June 27, 2024 | |
| | 3 | Equity Linked Debentures | The Scheme shall not invest in equity-linked debentures | | |
| | 4 | Debt securities having structured obligations/credit enhancements | The Scheme may invest in debt securities having structured obligations (SO rating) and/or credit enhancements (CE rating) upto 10% of the debt portfolio of the scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio | | |
| | 5 | Debt instruments having Credit Enhancements. | The Scheme may invest in debt securities having credit enhancements (CE rating) upto 10% of the debt portfolio of the scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio. | Paragraph 12.3 of the SEBI Master Circular dated June 27, 2024 for Mutual Funds. | |
| | 6 | Credit Default Swaps (CDS) | The Scheme may invest in Credit Default Swaps and such investment will be in accordance with paragraph 12.28 of SEBI Master circular dated June 27, 2024 | Paragraph 12.28 of the SEBI Master Circular dated June 27, 2024 for Mutual Funds. | |
| | 7 | Repo in corporate debt securities | The gross exposure of the Scheme to repo transactions in corporate debt securities shall not be more than 10% of the net assets of the Scheme. A part of the net assets may be invested in Tri-Party Repo or in an alternative investment, subject to prior approval from SEBI, if any, as may be provided by RBI to meet the liquidity requirements | Paragraph 12.18 of the SEBI Master Circular dated June 27, 2024 for Mutual Funds. | |
| | 8 | Debt instruments with special features | The scheme does not intend to invest in debt instruments with special features a | | |
| | <p>The scheme does not intend to invest in debt instruments with special features as referred to in para 12.2 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024.</p> <p>The Scheme will not engage in short selling and securities lending.</p> | | | | |

| | <p>It may be noted that AMC has to adhere to the asset allocation pattern indicated in the Scheme Information Document under normal circumstances.</p> <p>Portfolio Rebalancing:</p> <p>Portfolio rebalancing in case of deviation from asset allocation under Defensive consideration:</p> <p>The scheme shall rebalance the portfolio in case of any deviation to the asset allocation for a short term period on defensive considerations as per Para 1.14.1.2 (b) of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. Such rebalancing shall be done within 30 days from the date of occurrence of deviation. However, at all times the AMC shall ensure that the portfolio would adhere to the overall investment objective of the scheme.</p> <p>Portfolio Rebalancing in case of passive deviation from asset allocation:</p> <p>In accordance with para 2.9 of SEBI Master circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, as amended from time to time, the scheme shall rebalance the portfolio in case of any deviation to the asset allocation mentioned in the Scheme Information Document (SID) due to passive breaches. In the event of deviation from mandated asset allocation mentioned in the Scheme Information Document (SID) due to passive breaches (occurrence of instances not arising out of omission and commission of AMCs), the portfolio shall be rebalanced within a period of thirty (30) business days.</p> <p>In case the portfolio of scheme is not rebalanced within the above mandated timelines, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before Investment Committee. The Investment Committee, if so desires, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period.</p> <p>In case the portfolio of scheme is not rebalanced within the aforementioned mandated plus extended timelines, AMCs shall:</p> <p>i. not be permitted to launch any new scheme till the time the portfolio is rebalanced.</p> <p>ii. not to levy exit load, if any, on the investors exiting such scheme(s).</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------|---|-----------------------|---|------------------------------|--------------------------|------------------------------|--------------|----|-----|---|------|--------------|----|-----|---|------|--------------|----|-----|---|------|--------------|----|-----|---|---|--|-----|------|---|------|
| Investment Strategy | <p>The scheme is an open-ended ultra short-term debt scheme that seeks to generate regular income by investing in a portfolio consisting of money market and debt instruments such that the Macaulay duration of the portfolio of the scheme is between 3 months – 6 months, as defined below. Macaulay duration is the weighted average term to maturity of the cash flows from an instrument. The weight of each cash flow is determined by dividing the present value of the cash flow by the price. Macaulay duration is a measure of interest rate sensitivity of a fixed income instrument. Higher the Macaulay duration, higher would be the interest rate risk.</p> <p>Macaulay duration of a portfolio is the asset weighted average of the Macaulay duration of individual bonds / securities in the portfolio. The table below illustrates the calculation of Macaulay duration of the portfolio.</p> <table><tr><th>Instrument</th><th>Amount (Rs. in Crs.)</th><th>% of Portfolio (a)</th><th>Macaulay Duration (b)</th><th>Weighted Average (a*b)</th></tr><tr><td>Instrument 1</td><td>25</td><td>25%</td><td>1</td><td>0.25</td></tr><tr><td>Instrument 2</td><td>25</td><td>25%</td><td>2</td><td>0.50</td></tr><tr><td>Instrument 3</td><td>25</td><td>25%</td><td>3</td><td>0.75</td></tr><tr><td>Instrument 4</td><td>25</td><td>25%</td><td>4</td><td>1</td></tr><tr><td></td><td>100</td><td>100%</td><td>Macaulay Duration of Portfolio</td><td>2.50</td></tr></table> <p>Macaulay Duration – Calculation</p> | Instrument | Amount (Rs. in Crs.) | % of Portfolio (a) | Macaulay Duration (b) | Weighted Average (a*b) | Instrument 1 | 25 | 25% | 1 | 0.25 | Instrument 2 | 25 | 25% | 2 | 0.50 | Instrument 3 | 25 | 25% | 3 | 0.75 | Instrument 4 | 25 | 25% | 4 | 1 | | 100 | 100% | Macaulay Duration of Portfolio | 2.50 |
| Instrument | Amount (Rs. in Crs.) | % of Portfolio (a) | Macaulay Duration (b) | Weighted Average (a*b) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Instrument 1 | 25 | 25% | 1 | 0.25 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Instrument 2 | 25 | 25% | 2 | 0.50 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Instrument 3 | 25 | 25% | 3 | 0.75 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Instrument 4 | 25 | 25% | 4 | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 100 | 100% | Macaulay Duration of Portfolio | 2.50 | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | $\text{Macaulay Duration} = \frac{\sum_{t=1}^n \frac{t \cdot C}{(1+y)^t} + \frac{n \cdot M}{(1+y)^n}}{\text{Current Bond Price}}$ <p>Where:</p> <ul style="list-style-type: none"> • t = respective time period • C = periodic coupon payment • y = periodic yield • n = total number of periods • M = maturity value • Current Bond Price = Present value of cash flows <p>Key Assumptions</p> <ol style="list-style-type: none"> 1. Macaulay duration measures interest rate risk accurately only for instruments where cash flows do not change with change in the yield (i.e. for plain vanilla instruments and not for instruments with embedded options). 2. Macaulay duration assumes yield curve is flat and so cash flows are reinvested at constant YTM rate over the instrument's period. 3. Macaulay duration does not consider the fact that duration does not remain constant and duration changes with level of YTM rates. <p>The key factors of the investment strategy of the Scheme are:</p> <ol style="list-style-type: none"> a) Identifying attractive opportunities on the basis of the government policies, economic development, monetary policy, research report and overall economic conditions and development. b) The issuer/companies selection for investment exposure would be based on financial parameters such as fundamentals of business, quality of management, turnover, financial strength of the company and the key earnings drivers, net worth, Interest coverage ratio, profitability track record and the liquidity of the securities /instruments. c) Issuer/Companies, which meet the initial selection norms, are then evaluated on the financial norms for consideration in the investments. The Scheme would make investments universe based on the spread and liquidity, in such that the Macaulay duration of the portfolio of the Scheme will be between 3 to 6 months. d) The Scheme will emphasize on well managed, with above average growth prospects whose securities can be purchased at a good yield and whose debt securities will be mainly in securities listed as investments grade by a recognised authority like CRISIL, ICRA, CARE etc. e) Investment in sovereign papers would be based on the interest rate expectations arising out of macroeconomic analysis. This includes analysis of inflation data, & trends in macro variables such as credit growth, liquidity, money supply, fiscal numbers & global interest. <p>Trading In Derivatives</p> <p>The Scheme intend to use derivatives for the purposes, which may be permitted by SEBI from time to time, which will include hedging & portfolio balancing as permitted under the Regulations. Hedging using Interest Rate Futures could be perfect or imperfect, subject to applicable regulations. Usage of derivatives may expose the Scheme to certain risks inherent to such derivatives. Hedging does not mean maximisation of returns but only reduction of systematic or market risk inherent in the investment. As per clause 7.6 of SEBI Master circular for Mutual Funds dated June 27, 2024, SEBI has specified the guidelines pertaining to trading by Mutual Fund in Exchange Traded Derivatives. The following information provides a basic idea as to the nature of the derivative instruments proposed to be used by the scheme and the benefits and risks attached therewith. For detailed derivative strategies, please refer to SAI.</p> |
| Risk Profile of the scheme | <p>Mutual Fund units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p><u>Scheme Specific Risk factors are summarized as follows:</u></p> <p>- Market Risk:</p> <p>All mutual funds and securities investments are subject to market risk and there can be no assurance / guarantee that the scheme's objectives will be achieved. The securities that the scheme invests in</p> |

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| | <p>would be exposed to price changes on a day-to-day basis. These price changes may occur due to instrument-specific factors as well as general macroeconomic conditions.</p> <p>Markets are volatile and can decline significantly in response to adverse issuer, political, regulatory, market or economic developments. The scheme may be subject to price volatility due to factors such as interest sensitivity, market perception, and creditworthiness of issuer and market liquidity.</p> <p>Different parts of the market can react differently to these developments. The value of an individual security or particular type of security can be more volatile than the market as a whole and can perform differently from the value of the market as a whole.</p> <p>- Concentration Risk</p> <p>The scheme may pursue only a limited degree of diversification. It may invest a greater proportion of assets in the securities of very few issuers (within the limits permitted by regulation) or be concentrated on a few market sectors. This could have implications on the performance of the scheme. The scheme may be more sensitive to economic, business, political or other changes and this may lead to sizeable fluctuation in the Net Asset Value of the scheme.</p> <p><u>Scheme Specific Risk factors:</u></p> <p><u>Risks associated with investing in fixed income securities:</u></p> <p>1. Credit and Counterparty risk: Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security or honor its contractual obligations).</p> <p>Counterparty risk refers to the counterparty's inability to honor its commitments (payment, delivery, repayment, etc.) and to risk of default. This risk relates to the quality of the counterparty to which the scheme has exposures. Losses can occur in particular for the settlement/delivery of financial instruments or the conclusion of financial derivatives contracts.</p> <p>1. Liquidity Risk: The liquidity of the scheme's investment is inherently restricted by trading volumes in the securities in which the scheme invests. A lower level of liquidity affecting an individual security or an entire market at the same time, may have an adverse bearing on the value of the scheme's assets.</p> <p>2. Interest Rate Risk & Re-investment Risk: Interest rates may be influenced by several elements or events, such as monetary policy, discount rate, inflation, etc. Any impact of interest rate changes would be higher on securities with higher duration. Reinvestment risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.</p> <p>3. Sovereign risk: The credit risk on such Government securities is even lower than that on non-government securities "AAA" rating and hence yields on government securities are even lower than yields on non-government securities with "AAA" rating.</p> <p><u>Risk Factors associated with Investments in Derivatives</u></p> <p>The Scheme(s) may use various derivative instruments and techniques, permitted within SEBI (Mutual Funds) Regulations, 1996 from time to time including but not limited for portfolio balancing and hedging purpose, which may increase the volatility of Scheme's performance. Usage of derivatives will expose the Scheme(s) to certain risks inherent to such derivatives.</p> <p>Derivative products are specialized instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.</p> <p>The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. There is a possibility that a loss may be sustained by the Scheme(s) as a result of the failure of another party (usually referred to as the "counterparty") to comply with the terms of the</p> |
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derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, interest rates and indices. Even a small price movement in the underlying instrument could have a large impact on their value. This could increase the volatility of the Scheme's performance.

In case of hedge, it is possible that derivative positions may not be perfectly in line with the underlying assets they are hedging. As a consequence the derivative cannot be expected to perfectly hedge the risk of the underlying assets. This also increases the volatility of the Scheme's performance. Some of the risks inherent to derivatives investments include:

1. **Price Risk:** Despite the risk mitigation provided by various derivative instruments, there remains an inherent price risk which may result in losses exceeding actual underlying.

2. **Default Risk:** This is the risk that losses will be incurred due to default by counter party. This is also known as credit risk or counterparty risk.

3. **Basis Risk:** This risk arises when the derivative instrument used to hedge the underlying asset does not match the movement of the underlying being hedged for e.g. mismatch between the maturity date of the futures and the actual selling date of the asset.

4. **Limitations on upside:** Derivatives when used as hedging tool can also limit the profits from a genuine investment transaction.

5. **Liquidity risk:** This risk pertains to how saleable a security is in the market. All securities/instruments irrespective of whether they are equity, bonds or derivatives may be exposed to liquidity risk (when the sellers outnumber buyers) which may impact returns while exiting opportunities.

Risk factors associated with investments in repo transactions in corporate debt:

The Scheme may be exposed to counter party risk in case of repo lending transactions in the event of the counterparty failing to honour the repurchase agreement. However, in repo transactions, the collateral may be sold, and a loss is realized only if the sale price is less than the repo amount. The risk is further mitigated through over-collateralization (the value of the collateral being more than the repo amount).

Risk factors associated with investments in Credit Default Swaps:

Credit default swap is the most common form of credit derivative where the buyer of the swap makes payments to the swap's seller until the maturity date of a contract. In return, the seller agrees that – in the event that the debt issuer defaults or experiences another credit event – the seller will pay the buyer the security's value as well as all interest payments that would have been paid between that time and the security's maturity date. The Scheme may participate in credit Default Swaps (CDS) as a buyer (protection buyer) to hedge/offset credit risk related to fixed income instruments. It is important to note that the credit risk isn't completely eliminated – it has been shifted to the CDS seller. The risk is that the CDS seller defaults at the same time the credit event occurs. In case the debt issuer does not default or no credit event occurs till maturity of CDS contract, the buyer will end up losing money through the payments on the CDS.

Risk Factors associated with investments in debt instruments having Structured Obligations / Credit Enhancements:

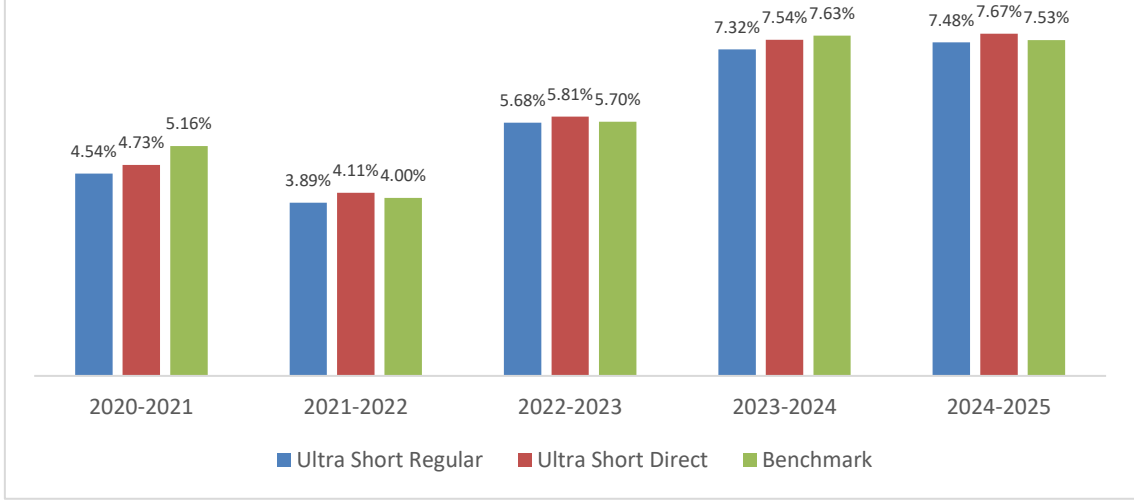
The risks factors stated for debt instruments having Structured Obligations / Credit Enhancements are in addition to the risk factors associated with fixed income instruments:

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- SO rated securities are often complex structures, with a variety of credit enhancements. Debt securities lack a well-developed secondary market in India, and due to the credit enhanced nature of CE securities as well as structured nature of SO securities, the liquidity in the market for these instruments is adversely affected compared to similar rated debt instruments. Hence, lower liquidity of such instruments, could lead to inability of the scheme to sell such debt instruments and generate liquidity for the scheme or higher impact cost when such instruments are sold.
- The credit risk of debt instruments which are CE rated derives rating is based on the combined strength of the issuer as well as the structure. Hence, any weakness in either the issuer or the structure

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| | <p>could have an adverse credit impact on the debt instrument. The weakness in structure could arise due to inability of the investors to enforce the structure due to issues such as legal risk, inability to sell the underlying collateral or enforce guarantee, etc. In case of SO transactions, comingling risk and risk of servicer increases the overall risk for the securitized debt or assets backed transactions. Therefore apart from issuer level credit risk such debt instruments are also susceptible to structure related credit risk.</p> <p>Backstop facility in form of investment in Corporate Debt Market Development Fund (CDMDF):</p> <p>Investors are requested to read details disclosure on investment of the schemes in the CDMDF as listed in sub- section “C. How will the Scheme allocate its assets? And sub-section D. Where will the Scheme Invest” in Section “Section II- Information about the scheme” of SID.</p> <p>In addition to above and to the extent of the corpus of the Scheme investments in certain securities, the Scheme shall be subject to various risk factors. The Scheme shall also be subject to other risks including risk associated with inflation, legal risk, taxation risk, valuation risk, operational risk & risk factors associated with processing of transaction, in case of investors investing in mutual fund units, through Stock Exchange Mechanism as provided in SID.</p> <p>Different types of securities in which the Scheme would invest as given in the Scheme Information Document/Key Information Memorandum carry different levels and types of risk. The above are some of the common risks associated with investments in various securities.</p> <p>Investors may, if they wish, consult their legal, tax, investment and other professional advisors to determine possible legal, tax, financial or other considerations of subscribing to or redeeming Units, i.e. before making a decision to invest/redeem Units.</p> <p>Investors in the Scheme are not being offered any guaranteed returns. Please refer to SID for more details on scheme specific risk factors.</p> |
| Plans & Options | <p>The scheme has two plans thereunder viz. Regular Regular Plan and Direct Plan.</p> <p>The Direct Plan is meant for direct investments, i.e. for investors who purchase/subscribe to the units of the scheme directly with the Fund and is not available for investors who route their investments through a Distributor, while the Regular Plan is meant for investors who route their investments through distributors only.</p> <p>Both Plans have a common portfolio but the Direct Plan has a lower expense ratio on account of absence of brokerage and commission. Hence, both Plans have distinct NAVs.</p> <p>Each of the Plans have the following options :</p> <ul style="list-style-type: none"> A. Growth Option (default option in case no option is specified by investor); B. IDCW Option <ul style="list-style-type: none"> a. Daily IDCW; and b. Weekly IDCW (default sub-option in case no sub-option is specified by investor) <p>IDCW Distribution, if any, declared by the Scheme will have an option of reinvestment and Payout</p> <p>IDCW option shall not be available to investors who transact through the stock exchange in dematerialized mode.</p> <p>Default Option/Facility:</p> <p>In case no option is indicated in the application form, then the following shall be applicable:</p> <p>Default Option: Growth Option Default Facility under IDCW: Reinvestment facility</p> |

| | Investors may also opt to simultaneously invest in any / all option(s) of the Scheme subject to minimum subscription requirements under such option(s)/ Scheme. For detailed disclosure, kindly refer SAI. | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|---|----------------|--|--|--|---|---|---|--|--|--|--|---|--|--------------------------|----------------|---|--|--|--------------------------------------|
| Applicable NAV (after scheme opens for subscriptions and redemptions) | <table><tr><th>Subscriptions and Switch-ins* (irrespective of application amount):</th><th>Applicable NAV</th></tr><tr><td>In respect of valid application received up to 3.00 p.m. on a Business Day and funds for the entire amount of subscription/ purchase /switch-in as per application/request are credited to the bank account of the Scheme before cut-off time i.e. available for utilization before the cut-off time (of 3.00 p.m.).</td><td>The NAV of the day on which the funds are available for utilization.</td></tr><tr><td>In respect of valid application is received after 3.00 p.m. on a Business Day and funds for the entire amount of subscription/ purchase/switch-in as per application /request are credited to the bank account of the Scheme after cut-off time i.e. available for utilization after the cut-off time (of 3.00 p.m.)</td><td>The NAV of the subsequent day on which the funds are available for utilization.</td></tr><tr><td>Irrespective of the time of receipt of application, where the funds for the entire amount of subscription/ purchase/ switch-in as per application /request are credited to the bank account of the Scheme before cutoff time on any subsequent Business Day i.e. available for utilization before the cut-off time (of 3.00 p.m.) on any subsequent Business Day.</td><td>The NAV of such subsequent Business Day on which the funds are available for utilization.</td></tr><tr><td colspan="2">*In case of Switch transactions, funds will be made available for utilization in the switch-in scheme based on redemption payout cycle of the switch-out scheme.</td></tr><tr><td colspan="2">Please note that with respect to applicability of NAV for the subscription / switch ins, irrespective of the amount, the funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme.</td></tr><tr><td colspan="2">Please note the aforesaid provisions shall also apply to systematic transactions i.e. Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) etc. To clarify, for investments through systematic investment routes such as SIP, STP etc, units will be allotted as per the closing NAV of the day on which the funds are received before the cut off time and the funds are available for utilization by the target scheme irrespective of the instalment/ registration date of the systematic transaction.</td></tr><tr><td>Re-Purchase / Redemption</td><td>Applicable NAV</td></tr><tr><td>In case of valid applications received upto 3.00 pm</td><td>Closing NAV of the day of receipt of application</td></tr><tr><td>In case of valid applications received after 3.00 pm</td><td>Closing NAV of the next business day</td></tr></table> | | Subscriptions and Switch-ins* (irrespective of application amount): | Applicable NAV | In respect of valid application received up to 3.00 p.m. on a Business 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| | Subscriptions and Switch-ins* (irrespective of application amount): | Applicable NAV | | | | | | | | | | | | | | | | | | | | |
| | In respect of valid application received up to 3.00 p.m. on a Business Day and funds for the entire amount of subscription/ purchase /switch-in as per application/request are credited to the bank account of the Scheme before cut-off time i.e. available for utilization before the cut-off time (of 3.00 p.m.). | The NAV of the day on which the funds are available for utilization. | | | | | | | | | | | | | | | | | | | | |
| | In respect of valid application is received after 3.00 p.m. on a Business Day and funds for the entire amount of subscription/ purchase/switch-in as per application /request are credited to the bank account of the Scheme after cut-off time i.e. available for utilization after the cut-off time (of 3.00 p.m.) | The NAV of the subsequent day on which the funds are available for utilization. | | | | | | | | | | | | | | | | | | | | |
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| | Please note the aforesaid provisions shall also apply to systematic transactions i.e. Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) etc. To clarify, for investments through systematic investment routes such as SIP, STP etc, units will be allotted as per the closing NAV of the day on which the funds are received before the cut off time and the funds are available for utilization by the target scheme irrespective of the instalment/ registration date of the systematic transaction. | | | | | | | | | | | | | | | | | | | | | |
| | Re-Purchase / Redemption | Applicable NAV | | | | | | | | | | | | | | | | | | | | |
| | In case of valid applications received upto 3.00 pm | Closing NAV of the day of receipt of application | | | | | | | | | | | | | | | | | | | | |
| In case of valid applications received after 3.00 pm | Closing NAV of the next business day | | | | | | | | | | | | | | | | | | | | | |
| Subject to above provisions, with respect to investors who transact through the stock exchange platform, Applicable NAV shall be reckoned on the basis of the time stamping as evidenced by confirmation slip given by stock exchange mechanism. Similarly, the time of transaction done through electronic mode (including online facility), for the purpose of determining the applicability of NAV, would be the time when the request for purchase / sale / switch of units is received in the servers of AMC/Registrar. | | | | | | | | | | | | | | | | | | | | | | |
| The cut off time for the tele transact facility is 12:30 p.m. for purchases on all business days and, units will be allotted as per the closing NAV of the day on which the funds are received before the cut off time and the funds are available for utilization. | | | | | | | | | | | | | | | | | | | | | | |
| Minimum Application Amount / Number of Units | Minimum Amount for Purchase/ Initial Switch in | Lumpsum investment: Rs. 5,000 and in multiples of Re. 1 thereafter. SIP: (i) Daily, Weekly, Monthly SIP: Rs. 500/- and in multiples of Re. 1/- thereafter; (ii) Quarterly SIP: Rs. 1500/- and in multiples of Re. 1/- thereafter. | | | | | | | | | | | | | | | | | | | | |
| | Additional Amount for Purchase/Subsequent Switch in | Rs. 1,000/- and in multiples of Re. 1/- thereafter | | | | | | | | | | | | | | | | | | | | |
| | Minimum amount for /units | Rs. 1,000/- and in multiples of Re. 1/- thereafter. | | | | | | | | | | | | | | | | | | | | |
| | | There will be no minimum redemption criterion for Unit based redemption. | | | | | | | | | | | | | | | | | | | | |

| | Redemption / Switch Out | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|------------------------------|---------------------|-------------------------------|-------------------------------|------------------------------|---------------------|-----------------------------|------|------|------|------------------------------|------|------|------|------------------------------|------|------|------|------------------------------------|------|---|------|---------------------------------------|---|------|------|
| | There is no upper limit on the amount for application. The Trustee / AMC reserves the right to change the minimum amount for application and the additional amount for application from time to time in the Scheme and these could be different under different plan(s) / option(s). "Note – The aforesaid requirement of minimum application and additional application shall not be applicable on the mandatory investments made by the Designated Employees of the AMC in accordance with clause 6.10 of SEBI Master Circular on Mutual Funds" | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Despatch of Redemption Request | The redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from the date of redemption or repurchase. For details refer para 14.1.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Benchmark Index | Name of benchmark as per AMFI Tier I benchmark : CRISIL Ultra Short Duration Debt A-I Index: Justification for use of benchmark: The aforesaid Benchmark is the Tier 1 benchmark Index basis the category of the scheme and in line with the list of benchmark as notified by AMFI. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Distribution Policy (i.e. Dividend Policy) | Distribution of amounts under IDCW option shall be in line with provisions mentioned in under Chapter 11 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024read with further guidelines/clarifications issued by SEBI from time to time. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name of the Fund Manager | Mr. Gurvinder Singh Wasan (managing fund since October 21, 2024, i.e. 0.5 years and Vikram Pamnani (managing fund since inception March 14, 2022 ie. 3.13 years and | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name of the Trustee Company | Baroda BNP Paribas Trustee India Private Limited | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Performance of the scheme | I. Compounded annualised returns (%) of Growth Option as on April 30, 2025: <table><tr><th>Compounded Annualised Returns</th><th>Scheme Returns % Regular Plan</th><th>Scheme Returns % Direct Plan</th><th>Benchmark Returns %</th></tr><tr><td>Returns for the last 1 year</td><td>7.62</td><td>7.81</td><td>7.65</td></tr><tr><td>Returns for the last 3 years</td><td>6.97</td><td>7.15</td><td>7.09</td></tr><tr><td>Returns for the last 5 years</td><td>5.80</td><td>5.99</td><td>6.02</td></tr><tr><td>Returns since inception - Reg Plan</td><td>6.29</td><td>-</td><td>6.50</td></tr><tr><td>Returns since inception - Direct Plan</td><td>-</td><td>6.48</td><td>6.50</td></tr></table> # CRISIL Ultra Short Duration Debt A-I IndexInception Date: (BBNPPUSDF) – June 01, 2018 II. Absolute Returns for each financial year (Apr-Mar): | | | Compounded Annualised Returns | Scheme Returns % Regular Plan | Scheme Returns % Direct Plan | Benchmark Returns % | Returns for the last 1 year | 7.62 | 7.81 | 7.65 | Returns for the last 3 years | 6.97 | 7.15 | 7.09 | Returns for the last 5 years | 5.80 | 5.99 | 6.02 | Returns since inception - Reg Plan | 6.29 | - | 6.50 | Returns since inception - Direct Plan | - | 6.48 | 6.50 |
| Compounded Annualised Returns | Scheme Returns % Regular Plan | Scheme Returns % Direct Plan | Benchmark Returns % | | | | | | | | | | | | | | | | | | | | | | | | |
| Returns for the last 1 year | 7.62 | 7.81 | 7.65 | | | | | | | | | | | | | | | | | | | | | | | | |
| Returns for the last 3 years | 6.97 | 7.15 | 7.09 | | | | | | | | | | | | | | | | | | | | | | | | |
| Returns for the last 5 years | 5.80 | 5.99 | 6.02 | | | | | | | | | | | | | | | | | | | | | | | | |
| Returns since inception - Reg Plan | 6.29 | - | 6.50 | | | | | | | | | | | | | | | | | | | | | | | | |
| Returns since inception - Direct Plan | - | 6.48 | 6.50 | | | | | | | | | | | | | | | | | | | | | | | | |

| | |
|---------------------------------------|---|
| |  <p>Past performance may or may not be sustained in future and is not a guarantee of future returns.. Since inception returns are calculated on Rs. 10/- invested at inception. The returns are calculated for the growth option considering the movement of the NAV during the period. Performance of IDCW option under the scheme for the investors would be net of distribution tax, if any. Returns do not take into account load and taxes, if any.</p> |
| Additional Scheme Related Disclosures | <p>i. To view Scheme's top 10 holdings by issuer and fund allocation towards various sector, please visit our website at: https://www.barodabnp-paribas-mf.in/downloads/sid-related-disclosures</p> <p>ii. Scheme's Portfolio turnover ratio: NA</p> |
| Expenses of the Scheme | <p>i) Load Structure Exit Load: Nil Investors are requested to check the prevailing load structure of the scheme, before investing. Any change in load structure will be prospective and will tantamount to change in fundamental attribute of the Scheme.</p> <p>There shall be no exit load levied in case of switch investments: i) between the plans (i.e. Regular and Direct Plans) and/or ii) between the options (i.e. IDCW and Growth options), within the Scheme/Plan</p> <p>In accordance with the requirements of SEBI under para 10.4.1 (a) of SEBI Master circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 no entry load will be charged for purchase / additional purchase / switch-in accepted by the Fund. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. The exit load charged, net of Goods and Services Tax (GST), if any, shall be credited to the Scheme. The AMC reserves the right to modify the load structure on a prospective basis. For any change in load structure, the AMC will issue an addendum and display it on the website/ISCs.</p> <p>ii) Recurring Expenses The AMC has estimated that upto 2.00% of daily net assets of the Scheme will be charged to the Scheme as expenses. As per Regulation 52(6) of the MF regulations, the total expenses of the Scheme, including investment management and advisory fee shall be subject to following limits as mentioned below:</p> |

| | <ul style="list-style-type: none"> i. 2.00% on the first Rs.500 crores of daily net assets. ii. 1.75% on the next Rs. 250 crores of daily net assets. iii. 1.50% on the next Rs. 1,250 crores of daily net assets. iv. 1.35% on the next Rs. 3,000 crores of daily net assets. v. 1.25% on the next Rs. 5,000 crores of daily net assets. vi. Total expense ratio reduction of 0.05% for every increase of Rs.5,000 crores of daily net assets or part thereof on the next Rs.40,000 crores of the daily net assets. vii. 0.80% on the balance of the daily net assets. <p>Note:</p> <ul style="list-style-type: none"> Pursuant to AMFI email dated March 02, 2023, with respect to keeping the B-30 incentive structure in abeyance, the AMC will not charge additional 30 bps on new inflows garnered from retail investors from B-30 cities till further notice. The total expenses of the Scheme including the Investment Management and Advisory Fee shall not exceed the limits stated in Regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. For the actual current expenses being charged, the investor should refer to the website of the mutual fund at the following link <https://www.barodabnpparibasmf.in/downloads/total-expense-ratio-of-mutual-fund-schemes>. Investors are requested to read section 'Annual Recurring Expenses' in the SID. <p>Actual expenses for the financial year 2024-25: Total recurring expense as a % to daily net assets :</p> <ul style="list-style-type: none"> Regular Plan - 0.49% Direct Plan - 0.31% | | | | |
|---|--|---|--|---|--|
| Tax treatment for the Investors (unitholders) | Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor. | | | | |
| Daily Net Asset Value (NAV) Publication | The AMC/Mutual Fund shall declare the Net Asset Value of the scheme on every business day on AMFI's website (www.amfiindia.com) by 11.00 p.m. and also on its website (www.barodabnpparibasmf.in). The NAV shall be calculated for all business days. The NAV shall also be made available to Unit Holders through SMS upon receiving a specific request in this regard on its website. In case NAV of Corporate Debt Market Development Fund ('CDMDF') units is not available by 9:30 p.m. of same Business Day, NAV declaration timing for Mutual Fund Schemes holding units of CDMDF shall be 10 a.m. on next business day instead of 11 p.m. on same Business Day. | | | | |
| For Investor Grievances, please contact | <p>Investor grievances will normally be received directly by the Registrar and Transfer Agent or at the Investor Service Centres or at the office the AMC.</p> <table border="1"> <thead> <tr> <th>Name, address, telephone number, fax number, e-mail id of Investor Relations Officer:</th><th>Name and Address of Registrar and Transfer Agent</th></tr> </thead> <tbody> <tr> <td> Mr. Vivek Kudal Baroda BNP Paribas Asset Management India Private Limited 201 (A), 2nd Floor, A Wing, Cresenzo, G-Block, Bandra Kurla Complex, Mumbai – 400051 Phone: 1800-267-0189 (Monday to Saturday, 9 AM to 7 PM) Email id: service@barodabnpparibasmf.in </td><td> KFIN Technologies Limited (SEBI Registration No. INR000000221) Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500 032. India. Fax: +91 40 2331 1968 Toll Free No.: 1800-2670-189 (Monday to Saturday, 9 AM to 7 PM) E-mail id: cs.barodabnppmf@kfintech.com </td></tr> </tbody> </table> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stock broker or the investor grievance cell of the respective stock exchange.</p> <p>Investors also have the option to approach SEBI, by logging a complaint on SEBI's complaints redressal system (SCORES 2.0) (https://scores.gov.in/)</p> | Name, address, telephone number, fax number, e-mail id of Investor Relations Officer: | Name and Address of Registrar and Transfer Agent | Mr. Vivek Kudal Baroda BNP Paribas Asset Management India Private Limited 201 (A), 2 nd Floor, A Wing, Cresenzo, G-Block, Bandra Kurla Complex, Mumbai – 400051 Phone: 1800-267-0189 (Monday to Saturday, 9 AM to 7 PM) Email id: service@barodabnpparibasmf.in | KFIN Technologies Limited (SEBI Registration No. INR000000221) Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500 032. India. Fax: +91 40 2331 1968 Toll Free No.: 1800-2670-189 (Monday to Saturday, 9 AM to 7 PM) E-mail id: cs.barodabnppmf@kfintech.com |
| Name, address, telephone number, fax number, e-mail id of Investor Relations Officer: | Name and Address of Registrar and Transfer Agent | | | | |
| Mr. Vivek Kudal Baroda BNP Paribas Asset Management India Private Limited 201 (A), 2 nd Floor, A Wing, Cresenzo, G-Block, Bandra Kurla Complex, Mumbai – 400051 Phone: 1800-267-0189 (Monday to Saturday, 9 AM to 7 PM) Email id: service@barodabnpparibasmf.in | KFIN Technologies Limited (SEBI Registration No. INR000000221) Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500 032. India. Fax: +91 40 2331 1968 Toll Free No.: 1800-2670-189 (Monday to Saturday, 9 AM to 7 PM) E-mail id: cs.barodabnppmf@kfintech.com | | | | |
| Unitholders' Information | <p>Allotment Confirmation / Account Statements:</p> <p>(a) Units in Physical mode: Investors opting to subscribe to / hold units in physical form, whether by way of a normal purchase or SIP / STP, will be sent, (i) by way of an email and/or an SMS to their registered email address and /or mobile number, an allotment confirmation, as soon as possible but</p> | | | | |

not later than 5 Business Days from the date of acceptance of the request for subscription, and (ii) a CAS, as mentioned in 'Consolidated Account Statement (CAS)' section below.

(b) Units in Demat Mode: For investors who hold units in dematerialized form, a demat statement shall be furnished by the depository participant (DP) periodically, in such form and in such manner and at such time as provided in the agreement between investor and the DP.

(c) Consolidated Account Statement (CAS):

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form).

The CAS shall be generated on a monthly basis and shall be issued on or before 15th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)] and shall be issued on or before 21st of the immediately succeeding month.

Investors having MF investments and holding securities in Demat account shall receive a CAS containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode.

For further details, refer SAI.

Unitholders are requested to ensure that their email id and mobile number are registered/updated with the AMC/RTA through our investor service centres in order to facilitate effective communication.

For more details, Investors are requested to refer the Scheme Information Document (SID).

Portfolio Disclosure:

1. The AMC Fund shall disclose portfolio (along with ISIN) on a fortnightly and monthly basis for the Scheme on its website and on the website of AMFI within 5 days of every fortnight and within 10 days from the close of each month. The AMC/Mutual Fund shall also disclose portfolio (along with ISIN) as on the last day of the half-year (i.e. 31st March and on 30th September) for the Scheme on its website and on the website of AMFI within 10 days from the close of half-year.
2. AMC/ shall send the said statement of scheme portfolio via email to those unitholders whose email addresses are registered with AMC/Mutual Fund within 5 days of every fortnight and within 10 days from the close of each month/half year for respective statement of scheme portfolio. The unit holders are requested to ensure that their email address is registered with AMC/Mutual Fund.
3. AMC shall publish an advertisement, in the all India edition of at least two daily newspapers, one each in English and Hindi, every half-year disclosing the hosting of the half-yearly statement of its schemes portfolio on its website and on the website of AMFI and the modes such as telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the half-yearly statement of its schemes portfolio.
4. Further, AMC shall provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder.
5. Unitholders' can obtain the scheme's latest portfolio holding in a user-friendly and downloadable spreadsheet format at the following link <<https://www.barodabnpparibasmf.in/downloads/monthly-portfolio-scheme>>.

Half Yearly Unaudited Financial Results Disclosure:

AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.barodabnpparibasmf.in). Further, the Mutual Fund / AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in atleast one national English daily newspaper and a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

Necessary link for the Half Yearly Unaudited Financial Results Disclosure shall also be provided on the AMFI website (www.amfiindia.com).

| | |
|--|--|
| | <p><u>Annual Report or abridged summary thereof:</u></p> <p>Para 5.4 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 shall be complied with in order to bring cost effectiveness in disclosing and providing information to unitholders and as a green initiative measure.</p> <p>For more details, Investors are requested to refer the Scheme Information Document (SID) on the website</p> |
|--|--|

Notwithstanding anything contained in the Key Information Memorandum, the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Further, investors may ascertain about any further changes from the Mutual Fund/Investor Service Centres / Distributors or Brokers.

INSTRUCTIONS

A. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum (KIM), Statement of Additional Information (SAI) and the Scheme Information Document (SID) carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
2. Applications from U.S. Person and residents of Canada, except Non-Resident Indians (NRI)/ Persons of Indian Origin (PIO) will not be accepted. NRI/PIO may invest in schemes of the Fund, when physically present in India, as lumpsum subscriptions / switch requests, only through physical mode and upon submission of requisite declaration and documents, on such terms as may be prescribed by the AMC and subject to compliance with applicable laws. Further applications shall not be accepted from any other restricted jurisdiction Financial Action Task Force (FATF) declared Non-Compliant Countries or Territories (NCCTs)
3. The application form must be filled in English in BLOCK letters using Black or Dark Blue coloured ink. Incomplete applications are liable to be rejected. Please ensure that the requisite details and documents have been provided. This will help in avoiding processing delays and / or rejection of your Application Form. All subscription application forms should be submitted only at the designated Investor Service Center of Baroda BNP Paribas Mutual Fund or its RTA.
4. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.
5. The Applicant's name and address must be given in full (P.O. Box No. alone is not sufficient). In case of multiple applicants, all communication and payments towards redemption will be made in the name of / favouring first applicant only. If the first applicant is a minor, the name of the Guardian who will sign on behalf of the minor should be filled in the space provided.
6. Mobile No. & E-mail ID of the first applicant is to be provided to facilitate faster and efficient communication.
7. All applicants must sign the form. In the case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Company/Body Corporate/Society/ Trust etc should sign under their official seal. A list of Authorised Signatories (POA/Board Resolution) with their names & designations duly certified/ attested should be attached with the application form.
8. Please note that if no Option is ticked/indicated in the application form, the units will by default be allotted under the Growth Option of the Scheme. Similarly, Reinvestment of Income Distribution cum capital withdrawal Option of the Income Distribution cum capital withdrawal shall be the default sub-options.
9. If the investment is done on behalf of the minor, then the minor shall be the sole holder in the folio/account. Joint holding will not be allowed in the folio/account opened on behalf of the minor. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e., father or mother) or a court appointed Guardian.
10. If you have invested through a distributor kindly specify the Name and ARN Code of the distributor else for Direct Investment, please Mention "Direct". In case nothing is specified then by default it will be treated as Direct Investment.
11. If an application is received from or under the ARN of a Distributor who is not empanelled with the AMC, such a transaction shall be processed under the Direct Plan.

B. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations, exactly as it appears in your PAN.
2. In the case of non-individual applicants, i.e. HUF / Companies/AOP / BOI / Trusts / Societies / FIs etc. the name, email-ID and telephone number of the contact person should be provided.
3. The designated Investor Service Center/ Collection Center will affix time stamp/ manual stamp and return the acknowledgment slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
4. In case of application(s) made by individual investors under a Power of Attorney, the original Power of Attorney or a duly notarized copy should be submitted along with the subscription application form. In case of applications made by non-individual investors, the authorized signatories of such non-individual investors should sign the application form in terms of the authority granted to them under the Constitutional Documents/Board resolutions/Power of Attorneys, etc. A list of specimen signatures of the authorized signatories duly certified/attested should also be attached to the application form. The Mutual Fund/ AMC/ Trustee shall deem that the investments made by such non-individual investors are not prohibited by any law/constitutional documents governing them and they possess the necessary authority to invest.
5. Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to make the application along with a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
6. **Permanent Account Number (PAN):** SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. Where the applicant is a minor, and does not possess his / her own PAN, he/ she shall quote the PAN of his/ her father or mother or the guardian, signing on behalf of the minor, as the case may be. In order to verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been duly and correctly quoted therein, the applicants shall attach along with the purchase application, a photocopy of the PAN card duly self-certified along with the original PAN Card. Micro investment (including lumpsum & Micro SIP) & Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission however they are required to mandatorily submit PAN Exempt KYC Reference No (PEKRN) to Baroda BNP Paribas Mutual Fund. Applications not complying with this requirement may not be accepted/ processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the Income

Tax Department, the investment transaction will be cancelled and the amount may be refunded/ redeemed at the applicable NAV, subject to payment of exit load, if any.

7. **Prevention of Money Laundering and Know Your Client (KYC):** In order to reduce hardship and help investors dealing with SEBI intermediaries, SEBI has issued multiple circulars informing SEBI registered intermediaries, as mentioned therein, to follow a uniform KYC compliance procedure for all the investors dealing with them. SEBI also issued KYC Registration Agency ("KRA") Regulations 2011 and the guidelines in pursuance of the said Regulations and for In-Person Verification ("IPV"). All investors (individual and non-individual) are required for KYC compliance. However, applications should note that in case of minors, Guardian should be KYC compliant for the purpose of investing with a Mutual Fund. Also, applicants/ unit holders intending, to apply for units currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issue of PoA and the holder of the PoA must mention their KYC compliance status at the time of investment. PoA holders are not permitted to apply for KYC compliance on behalf of the issuer of the PoA. Investors who have registered under Central KYC Records Registry (CKYCR) has to provide the 14-digit KYC Identification Number (KIN) in application form. SEBI required all the market intermediaries to update/upload KYC details of the new customer/investors (not KYC-KRA compliant) on CERSAI's online platform. CERSAI is a centralized repository of KYC records of customers in the financial sector with uniform KYC norms and inter-usability of the KYC records across the sector. Central KYC (CKYC) stores all the customer information at one central server that is accessible to all the financial institutions. After opening a KYC account under the CKYC, customer will get a 14-digit identification number ("KYC Number") and that the same has to be quoted by the investor who wish to invest in mutual funds. Further, the Mutual Fund/AMC is required to check whether the PAN of the investor has been updated in CKYCR. In case the PAN has not been updated, the Mutual Fund/AMC shall collect a self-certified copy of the investors PAN card and update/upload the same in CKYCR. In case the Investor uses the old KRA KYC form for updating of any KYC information, such investor shall be required to provide additional/missing information only by using the supplementary CKYC form or fill the new "CKYC form". Investor is requested to complete KYC process before placing redemption request. The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non-compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s). Separate procedures are prescribed for change in name, address, and other KYC related details, should the applicant desire to change such information.
8. In case of NRI/FII investors the Account Statements / Redemption Cheques / Other correspondence will be sent to the mailing address mentioned.
9. Investors already holding a folio in Baroda BNP Paribas MF can provide their existing Folio Number and Name of applicant(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as registered in the existing folio number as provided would apply to the said investment and the registered details would prevail over any conflicting information furnished in this form. AMC reserves the right to assign any of the existing Folio Number of the investor against multiple applications and/ or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criterions and integrity checks as may be determined by the AMC from time to time.
10. All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detail scrutiny/verification at the back office of the registrars.
11. **Minor Investors:** In case of Minor investors, Minor shall be the first and sole holder in the account. No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored. Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided. Guardian should mention the relationship with Minor and date of birth of the Minor on the application form. A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photocopy of any one of the following documents can be submitted (a) Birth certificate of the minor (b) school leaving certificate/ mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. (c) Passport of the minor d) Any other suitable proof evidencing the relationship. If the mandatory details and/or documents are not provided, the application is liable to be rejected.

C. BANK ACCOUNT DETAILS

1. As per SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected.
2. Purchase Application requests should necessarily mention the pay- in bank account details i.e bank name, bank account number, bank branch used for issuing the payments to the fund. The first unit holder has to ensure that the subscription payment has to be made through his own bank account or through any of the bank accounts wherein he is one of the joint bank account holders. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, etc given by the investor at the time of subscription then unit holder should attach necessary supporting documents like bank passbook copy, bank statement etc to prove that the funds are from a bank account held by the first unit holder only. If the documents are not submitted with the application the fund reserves the right to reject the application or call for additional details. In specific exceptional situations, Third-Party payment is permitted like (a) Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility, or lump sum / one time subscription, through payroll deductions or deductions of expense reimbursements (b) Payment by Corporate to its Agent/Distributor/Dealer (similar arrangement with principal-agent relationship), on account of commission/ incentive payable for sale of its goods/ services, in the form of the Mutual Fund Units through Systematic Investment Plans or lump sum / one-time subscription

INSTRUCTIONS (Contd...)

(c) Custodian on behalf of an FII or a client. For the above-mentioned cases KYC of the investor and the KYC of the person making the payment is mandatory irrespective of the amount. Additionally, a declaration by the person making the payment giving details of the bank account from which the payment is being made and the relationship with the beneficiary is also required to be submitted.

3. Direct Credit of Redemption / IDCW Proceeds / Refund If any – Baroda BNP Paribas MF will endeavor to provide direct / electronic credit for the payments into the investors bank account directly. In case the direct credit is not affected by the unitholder's banker for any reason then Baroda BNP Paribas MF reserves the right to make the payment to the investor by a warrant / DD. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, Baroda BNP Paribas MF will not be held responsible.
4. Baroda BNP Paribas MF offers facility to register multiple bank accounts in the folio and designate one of the bank account as "Default Bank Account". Default bank account will be used for all IDCW and redemption payouts unless investor specifies one of the existing registered bank accounts in the redemption request for receiving redemption proceeds. A new non – registered bank account specified in the redemption request for receiving redemption proceeds will not be considered and the redemption proceeds will by default be credited into the default bank account. The investor will have to initially get the non-registered bank account registered in the folio and then apply for the redemption request. Further, if no registered bank account is mentioned at the time of redemption, then by default the redemption proceeds will be credited into the default Bank account.

D. INVESTMENT AND PAYMENT DETAILS

1. Payment should be made by Crossed Cheques favouring to "Baroda BNP Paribas Mutual Fund Scheme Name" and marked "Account Payee". Post dated cheques are not permitted. Application received with post-dated cheques shall be rejected.
2. It is advised to mention the application serial no. on the reverse of the cheque tendered with the application.
3. One time Mandate (OTM) facilitates the investor to register a debit Mandate with his banker which will contain a pre-defined upper limit for the amount to be debited from his bank account for every Purchase Transaction done. Banker will provide the Unique Mandate Reference Number (UMRN) which the Investor can quote basis which the AMC/its RTA will arrange to debit the linked bank account.
4. In case of Minor Investors, as per paragraph 17.6 of SEBI master circular for Mutual Funds dated June 27, 2024, payments can be accepted from the bank account of the Minor, Parent (Guardian in the folio) or Legal Guardian, or from a joint Account of the Minor with the Natural / Legal Guardian.
5. Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected, the default option/ Sub option as prescribed in SID will be applicable.

E. TRANSACTION CHARGE IN RESPECT OF APPLICATIONS ROUTED THROUGH DISTRIBUTORS:

As per applicable SEBI/ AMFI guidelines, as amended from time to time, Transaction Charge per subscription of Rs. 10,000/- and above shall be charged to the investors and paid to the distributors (who have opted in for transaction charges) in respect of applications relating to new subscriptions only (lumpsum and SIP), subject to the following:

- For existing mutual fund investors: Rs. 100/- per subscription of Rs 10,000/- and above;
- For the first time mutual fund investors: Rs 150/- per subscription of Rs 10,000/- and above;

In case of SIPs, transaction charge shall be applicable only if the total commitment through SIP amounts to Rs 10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th installment. The transaction charges would be deducted from the subscription amounts, as applicable. The aforesaid transaction charge shall be deducted by the AMC from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested. The statement of account to unit holders will clearly provide details of the net investments as gross subscription amount less transaction charge and the number of units allotted against the net investment. The option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'.

Identification of investors as "first time" or "existing" will be based on Permanent Account Number (PAN)/PAN Exempt KYC Reference Number (PEKRN) at the First/ Sole Applicant/ Guardian level. Hence, Unitholders are urged to ensure that their PAN/ PEKRN/ KYC is updated with the Fund. Unit holders may approach any of the Official Points of Acceptances of the Fund i.e. Investor Service Centers (ISCs) of the Fund/ offices of our RTA

There shall be no transaction charge on subscription of below Rs 10,000/-. There shall be no transaction charge on transactions other than purchases/ subscriptions relating to new inflows. There shall be no transaction charge on direct investments. There shall be no transaction charge on subscriptions earned out through the Stock Exchange Platform.

F. NOMINATION FOR UNITS HELD IN PHYSICAL MODE:

1. Nomination facility is available to individuals applying on their own behalf i.e. singly or jointly. Nomination is mandatory for folios opened by individuals with single mode of holding. Nomination shall be optional for jointly held Mutual Fund folios.
2. If the investor does not wish to nominate, then investor needs to select OPT-OUT of nomination with declaration & duly sign the same.
3. Applications where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected.
4. Multiple nominees (Resident, NRI, Including Minor) can be nominated.
5. Investor can define the Percentage of allocation for respective nominee such that the total of the same is equal to 100%. In case no percentage is defined by investor, it will be treated as equal.
6. Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time

7. Nomination can be changed at any time during the currency of the investment by the same persons who have made the nominations.
8. The facility of nomination is available to a unitholder as per the SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.
9. Nomination in respect of units stands rescinded, upon the transfer of units.
10. On cancellation of nominations, the nomination shall stand rescinded, and Baroda BNP Paribas MF shall not be under any obligation to transfer the units in favor of the nominee.
11. Where a nomination in respect of any unit has been made, the units shall, on the death of the unitholder(s), vest in the nominee and on compliance of necessary formalities the nominee shall be issued a SOA in respect of the units so vested subject to any charge or encumbrance over the said units. The nominee would be able to hold the units provided he is otherwise eligible to become a unitholder of the scheme.
12. Where there are two or more unitholders, one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, non-expression of desire to change or substitute the nominee by surviving unitholder shall be deemed to be the consent of surviving unitholder for the existing nomination.
13. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer to SAI.
14. Power of Attorney (POA) holder cannot sign the Nomination form.
15. Nomination is not allowed in a folio held on behalf of a minor.
16. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with a new Nominee name then the same shall supersede the existing nominee details in the folio.

G. COMMUNICATION WITH INVESTORS

For those investors who have provided mobile number and email address in the application form, the AMC/its RTA will capture the same and send the communication by SMS and/or email. In case the mobile number and email ID is not provided by the investor in application form, the same would be captured from KYC records of the investor. Investors who receive SMS/ email statements may download the documents after receiving email from the MF/its RTA. In case the investor experiences any difficulty in accessing the electronically delivered documents, the investor shall promptly advise the MF to enable the MF to make the delivery through alternate means. Failure to inform the MF of such difficulty within 24 hours after receiving the SMS/email will serve as a confirmation regarding the acceptance by the investor of the account statement.

It is deemed that the investor is aware of all security risks including possible third-party interception of the documents and contents of the documents becoming known to third parties. For ease of communication, the first applicant's own email ID and mobile number should be provided. Subsequent Account Statements/Newsletters /Annual Reports / Other statutory information/Disclosures (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each investor by e-mail. Investors who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Service Center. MF/its RTA are not responsible for e-mail not reaching the investor and for all consequences thereof.

H. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in tackling the problem of misselling even if the employee/relationship manager/ salesperson leave the employment of the distributor. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP/STP/Trigger/Transfer of Income Distribution cum Capital Withdrawal Plan and EUIN is not applicable for transactions such as Installments under SIP/STP/SWP, Income Distribution cum Capital Withdrawal option Reinvestments, Redemption, SWP Registration, Zero Balance Folio creation and installments under Income Distribution cum Capital Withdrawal option Sweep Plans.

I. UNITS HELD IN THE DEMATERIALIZED FORM

1. With effect from October 1, 2011, in accordance with SEBI Circular No. IMD/ DF/9/2011 dated May 19, 2011, an option to subscribe/hold the units of the Scheme(s)/Plan(s) of Helios in dematerialized (demat) form is being provided to the investors in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) / Stock Exchanges (NSE / BSE) from time to time.
2. Investors opting to hold the units in demat form must provide their Demat Account details in the specified section of the application form. The investor intending to hold the units in Demat form are required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the depository data will be treated as valid applications. If the details mentioned in the application are incomplete/ incorrect, not matched with the depository data, then units will be allotted in the physical mode and an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted into demat form.
3. Investor opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform.
4. Investors opting for investment in demat mode can opt for the special products and facilities as made available by the respective stock exchange
5. In case, the investor desires to hold the Units in a Dematerialized / Rematerialized form at a later date, the request for conversion of units held in non-demat form into Demat (electronic) form or vice-versa should be submitted along with a Demat/Remat Request Form to their DP.

INSTRUCTIONS (Contd..)

J. BENEFICIAL OWNERSHIP DETAILS

Under the Prevention of Money Laundering Act, 2005 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 (and additional circulars issued by SEBI/ under PMLA in this regard from time to time) on identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement.

All categories of investors except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership in the Application Forms for all their investments. The Fund reserves the right to reject applications/restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

K. NON-PROFIT ORGANIZATION (NPO)

As per Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 dated 7th March 2023, "Nonprofit organization" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013)". All NPOs should register themselves in DARPAN portal of NITI Aayog <https://ngodarpan.gov.in/>. In case of non-registration, the AMC shall register the details of such NPO investors on the DARPAN Portal of NITI Aayog and maintain such registration records for a period of five years after the business relationship between the AMC and the investor has ended or the account has been closed, whichever is later.

L. FATCA & CRS DETAILS:

FATCA and CRS requirements may require disclosure regarding your investment in the units of the Scheme. The Foreign Accounts Tax Compliance Act (FATCA) is a United States ("US") law aimed at prevention of tax evasion by US citizens and residents ("US Persons") through use of offshore accounts. On similar lines the Organization of Economic Development (OECD) along with G-20 countries has released a 'Standard for Automatic Exchange of Financial Account Information in Tax Matters' commonly known as Common Reporting Standard ('CRS').

1. The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income Tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all account holders and/or applicants.
2. Investors are required to mandatorily provide the relevant information for FATCA and CRS, including Ultimate Beneficial Ownership (UBO) details. In case of any change in any information provided, investors should ensure to advise the MF/its RTA promptly, within a period of 30 days.
3. All investors, individuals and non-individuals, must be aware that the failure to providing all relevant details in relevant section and/or relevant forms will result in rejection of their investment application form, refund of application money, reversal of units allotted and the Fund will not be liable for any consequent loss to the investors.
4. Individual Investors (including in the name of sole proprietorship firm), joint applicants, etc. are required to provide details, as mentioned in this section, like Place and Country of birth, Country of Citizenship/Nationality mandatorily. If the applicant/s have any countries of tax residency other than India, details of all such countries and relevant tax identification number needs to be provided. If the space in the form is not adequate, applicants are required to attach additional sheets with information duly signed.

5. If you have any questions about your tax residency or other definitions or terms used, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.
6. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation, and attach to the form.
7. Investor should note that they also specifically authorize to disclose, share, remit in any form, mode or manner, all or any of the information provided by, including all changes, updates to such information as and when provided, to the MF, its Sponsor, AMC, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities
8. Agencies including but not limited to the Financial Intelligence Unit- India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, applicant/ unit holder also authorizes to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation and for other relevant purposes.
9. Investor also undertakes to keep the MF informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information/ documentary proof as may be required.
10. Please note that investors may receive more than one request for information if you have multiple relationships/accounts/ folios with us. Therefore, it is important that you respond to each of our request, even if you believe you have already supplied any previously requested information.
11. In case any of the specified information provided by the investor is found to be false or untrue or misleading or misrepresenting, applicant/unit holder will be solely liable and will indemnify the MF, it's Sponsor, AMC, Trustees, their employees / associated parties and the RTAs.
12. In case investor has any of the Indicia, pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor may have to provide relevant additional details as asked for.
13. The AMC/ MF reserves the right to reject any application/ freeze any folio(s) held directly or beneficially for transactions in the event the investors fail to furnish the relevant information and/or documentation in accordance with FATCA/CRS provisions and as requested by the AMC/MF.

M. LEGAL ENTITY IDENTIFIER (LEI)

RBI, vide circular notification RBI/2020-21/82 DPSS.CO.ODNo.901/06.24.001/2020-21 dated 5th January 2021 has introduced the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems of Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT). From April 1, 2021, 20-digit LEI number has to be included while initiating any transaction of value INR 50 crore and above by entities (non-individual). In view of the same it will be mandatory to provide the 20-digit LEI number while initiating any MF transaction of value INR 50 crore and above by entities (non-Individual)

N. ONLINE DISPUTE REDRESSAL MECHANISM

In accordance with SEBI Circular No. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/145 dated July 31, 2023 (updated on August 4, 2023) disputes between Investors/Clients and MF/AMCs arising out of latter's activities in the securities market, will be resolved in accordance with this circular and by harnessing online conciliation and/or online arbitration as specified in the circular. MF/AMC OR its clients/investors (or holders on account of nominations or transmission being given effect to) may also refer any unresolved issue of any service requests / service-related complaints for due resolution by harnessing online conciliation and/or online arbitration as specified in the circular.

DOCUMENT CHECKLIST FOR BARODA BNP PARIBAS MUTUAL FUND (PHYSICAL INVESTMENT)

[illegible]

6. FATCA AND CRS DETAILS (Non-Individual investors please fill separate UBO & FATCA/CRS Form)

| First Applicant/Guardian | | | 2nd Applicant | | | 3rd Applicant POA | | |
|---|-------|---------|---|-------|---------|---|-------|---------|
| Place & Country of Birth | PLACE | COUNTRY | Place & Country of Birth | PLACE | COUNTRY | Place & Country of Birth | PLACE | COUNTRY |
| Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other | | | Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other | | | Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other | | |

Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? ☐ Yes ☐ NO (If yes please provide information below.)

| Country# | Tax Identification Number | Identification Type/Reason* | Country# | Tax Identification Number | Identification Type/Reason* | Country# | Tax Identification Number | Identification Type/Reason* |
|----------|---------------------------|-----------------------------|----------|---------------------------|-----------------------------|----------|---------------------------|-----------------------------|
| 1 | | | 1 | | | 1 | | |
| 2 | | | 2 | | | 2 | | |
| 3 | | | 3 | | | 3 | | |

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

• 11 TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.

7. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)

| | | | |
|-----------|--|--|--|
| Bank Name | | Bank A/c No. | |
| LEI | | A/C Type <input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR <input type="radio"/> Others | |
| Branch | | IFSC code: (11 digit) | |

8. INVESTMENT & PAYMENT DETAILS ☐ Zero Balance ☐ Lumpsum (Please fill details below) ☐ SIP (Fill separate SIP form)

| | | |
|-------------|--------------------|---|
| Scheme Name | Baroda BNP Paribas | Plan : <input type="radio"/> Regular <input type="radio"/> Direct Option: <input type="radio"/> Growth <input type="radio"/> IDCW Reinvestment <input type="radio"/> IDCW Payout |
| Amount (₹) | | IDCW Frequency |
| Bank | | Cheque No./ UMRN: |
| | | Account No. |
| | | Payment Mode: <input type="radio"/> Cheque <input type="radio"/> NEFT <input type="radio"/> RTGS <input type="radio"/> OTM |

Please use below details for initiating RTGS/NEFT:

Bank Name: HDFC BANK • Bank Account Number: 00600350106284 • IFSC Code: HDFC0000060 • Account Name: Baroda BNP Paribas Mutual Fund Collection Account

9. DEMAT ACCOUNT DETAILS

| | | | |
|--|-----------------------------|-----------|-------------------------|
| <input type="radio"/> National Securities Depository Ltd. | Depository Participant Name | DP ID No. | Beneficiary Account No. |
| <input type="radio"/> Central Depository Services (India) Ltd. | | | |

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

10. NOMINATION - MANDATORY, Minor & PoA holder cannot nominate and should not fill this section☐ I/We wish to nominate: I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s).

I/ We want the details of my/ our nominee to be printed in the statement of account, provided to me/us by the AMC as follows: (Mandatory in case Nomination is provided)

☐ Name of Nominee(s) ☐ Nomination Status^ (Yes/No)

| Mandatory Details | | | | | | Additional Details^ **** | | | | | | | | | | | | | | | | |
|-------------------|--------------|-------------------------|------------------------------------|--------------------------|----------------|---|---|---|---|---|---|---|---|---|---------------|--|--|--|--|--|--|--|
| Number | Nominee Name | Share of Nominee (%) ** | Relationship & Identity Number *** | Mobile Number & Email ID | Postal Address | DOB of Nominee and Guardian Name | | | | | | | | | | | | | | | | |
| 1 | Nominee 1 | | Relationship Identity Number | Email ID Mobile | | <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td colspan="8">Guardian Name</td></tr></table> | D | D | M | M | Y | Y | Y | Y | Guardian Name | | | | | | | |
| D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | | | | | |
| Guardian Name | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Nominee 2 | | Relationship Identity Number | Email ID Mobile | | <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td colspan="8">Guardian Name</td></tr></table> | D | D | M | M | Y | Y | Y | Y | Guardian Name | | | | | | | |
| D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | | | | | |
| Guardian Name | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Nominee 3 | | Relationship Identity Number | Email ID Mobile | | <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td colspan="8">Guardian Name</td></tr></table> | D | D | M | M | Y | Y | Y | Y | Guardian Name | | | | | | | |
| D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | | | | | |
| Guardian Name | | | | | | | | | | | | | | | | | | | | | | |

** If % is not specified, then the assets shall be distributed equally amongst all the nominees.

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

**** DOB to be furnished only if nominee is minor. Guardian name is optional to be provided in case Nominee is Minor

^ Default

☐ B. I/We do not wish to nominate : I/ We hereby confirm that I/ We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my I our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

| | | | |
|-----------------------------------|------------------|-----------------|--------------------|
| Sole / First Applicant / Guardian | Second Applicant | Third Applicant | POA holder, if any |
|-----------------------------------|------------------|-----------------|--------------------|

11. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document (SID) and Statement of Additional Information (SAI), Key Information Memorandum (KIM), Instructions and addenda issued by Baroda BNP Paribas Mutual Fund (MF), I / We, hereby apply to the Trustee of MF for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality.

☐ To receive physical annual statements and scheme wise abridged report please tick here (✓)

| | | | |
|-----------------------------------|------------------|-----------------|--------------------|
| Sole / First Applicant / Guardian | Second Applicant | Third Applicant | POA holder, if any |
|-----------------------------------|------------------|-----------------|--------------------|

| | | |
|--|--|--|
| Email Id : service@barodabnp-paribasmf.in | www.barodabnp-paribasmf.in | Board Line No.: 022 69209600 • Toll Free No.: 1800 2670 189 |
| Quick Checklist <input type="checkbox"/> Name/s mentioned are as per PAN only <input type="checkbox"/> Address, Email ID/Mobile are correctly mentioned <input type="checkbox"/> KYC information provided for each applicant <input type="checkbox"/> FATCA/CRS details provided for each applicant | <input type="checkbox"/> Full scheme name, plan, option is mentioned <input type="checkbox"/> Pay-In bank details and supportings are attached <input type="checkbox"/> Nomination facility opted <input type="checkbox"/> Form is signed by all applicants | <input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used. <input type="checkbox"/> Non Individual investors should attach <input type="checkbox"/> FATCA Details and Declaration Form <input type="checkbox"/> UBO Declaration Form |

Please read product labelling details available on cover page and the instructions before filling up the Application Form.

☐ **Existing OTM** (Please mention UMRN no of existing OTM) ☐ **New Mandate** (Please fill OTM form below)



| Distributor ARN / RIA Code | Sub Distributor ARN | Sub Distributor / RM Internal Code | EUIN* | LG Code | For Office use only (Time Stamp) |
|----------------------------|---------------------|------------------------------------|-------|---------|----------------------------------|
| | | | | | |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

TRANSACTION CHARGES for
Rs. 10,000 and above (✓ any one)

☐ I confirm that I am a first time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)

I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

1. EXISTING INVESTOR'S FOLIO NUMBER

| Folio No. | | | | | | | | | | | Name of Sole / First Unit Holder | First Name | Middle Name | Last Name |
|-----------|--|--|--|--|--|--|--|--|--|--|----------------------------------|------------|-------------|-----------|
| | | | | | | | | | | | | | | |

2. SYSTEMATIC INVESTMENT PLAN DETAILS ☒ SIP ☐ MULTI SIP

Frequency (Please ✓) ☐ Daily SIP ☐ Weekly SIP ☐ Fortnightly SIP^ ☐ Monthly SIP* ☐ Quarterly SIP

| Scheme Name | Plan | Option | SIP Amount | SIP Date / Day# | Start Date | End Date** | Top Up Amount | Top Up Frequency |
|----------------------|---|--|------------|---|---|---|---------------|---|
| BBNPP _____ _____ | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment | | <div><div>D</div><div>D</div>/</div> <div>Day</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly |
| BBNPP _____ _____ | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment | | <div><div>D</div><div>D</div>/</div> <div>Day</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly |
| BBNPP _____ _____ | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment | | <div><div>D</div><div>D</div>/</div> <div>Day</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly |
| BBNPP _____ _____ | <input type="checkbox"/> Direct <input type="checkbox"/> Regular | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment | | <div><div>D</div><div>D</div>/</div> <div>Day</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> | | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly |

1st SIP Cheque Details Cheque No. _____ Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 Amount: _____ * Default

For Multi SIP - SIP can be registered in maximum four Schemes with a single instrument. 1st SIP Cheque should be the total consolidated amount across all SIPs and should be favouring **Baroda BNP Paribas Mutual Fund**

[^] Please refer point no. 2 for schemes eligible for fortnightly frequency

** SIP tenure can be registered upto a maximum of 40 years.


Select any date between 1-31 for monthly and quarterly frequency. Either 5 or 20 for fortnightly frequency and any day Monday-Friday for Weekly frequency.

3. DECLARATION

I / We declare that the particulars furnished here are correct. I / We authorize Baroda BNP Paribas Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH as per my request from time to time. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account. I/ We hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of Baroda BNP Pasribas Mutual Fund using this facility. I/ We request you to make provisions for me/ us and/ or an advisor authorized by me to be able to utilize this mandate for any transaction (not limited to SIP and/ or Lumpsum payments) in all the folios associated with my PAN mentioned above any mode of transaction available to me time to time from Baroda BNP Paribas Mutual Fund.

SIGNATURE(S)

| | | |
|---|-------------------------------|------------------------------|
| First Applicant / Guardian / POA Holder / Authorised Signatory | Second Applicant / POA Holder | Third Applicant / POA Holder |
|---|-------------------------------|------------------------------|

| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|---|---|---|--|--|--|--|--|---|--|------------|--|--|--|--|--|---|--|-------------------------------|--|-------|--|--|--|------|--|
|  | | UMRN | | | | | | | | | | | | | | | | Date | | | | | | | | | |
| OTM Debit Mandate for NACH/Direct Debit Tick (✓) <table><tr><td>CREATE</td><td>✓</td></tr><tr><td>MODIFY</td><td></td></tr><tr><td>CANCEL</td><td></td></tr></table> | | CREATE | ✓ | MODIFY | | CANCEL | | Sponsor Bank Code | | | | | | | | Utility Code | | | | | | | | | | Date | |
| | | CREATE | ✓ | | | | | | | | | | | | | | | | | | | | | | | | |
| | | MODIFY | | | | | | | | | | | | | | | | | | | | | | | | | |
| CANCEL | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| I/We hereby authorize | | BARODA BNP PARIBAS MUTUAL FUND | | | | | | | | to debit (tick✓) | | SB | | CA | | SB-NRE | | SB-NRO | | CC | | Other | | | | | |
| Bank a/c number | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| with Bank | | Name of customers bank | | | | | | | | IFSC | | | | or MICR | | | | | | | | | | | | | |
| an amount of Rupees | | | | | | | | | | | | | | | | | | ₹ | | | | | | | | | |
| FREQUENCY | | <input checked="" type="checkbox"/> Mthly | | <input checked="" type="checkbox"/> Qtrly | | <input checked="" type="checkbox"/> H-Yrly | | <input checked="" type="checkbox"/> Yrly | | <input checked="" type="checkbox"/> As & when presented | | DEBIT TYPE | | <input checked="" type="checkbox"/> Fixed Amount | | <input checked="" type="checkbox"/> Maximum Amount | | | | | | | | | | | |
| PAN | | | | | | | | | | | | | | | | | | Phone No. | | | | | | | | | |
| Folio | | | | | | | | | | | | | | | | | | Email ID | | | | | | | | | |
| I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PERIOD | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| From | | D D | | M M | | Y Y Y Y | | | | | | | | | | | | Maximum period of validity of this mandate is 40 years only | | | | | | | | | |
| To | | D D | | M M | | Y Y Y Y | | | | | | | | | | | | | | | | | | | | | |
| Signature Primary Account holder | | | | | | | | | | | | | | | | | | Signature of 1st Joint holder | | Signature of 2nd Joint holder | | | | | | | |
| 1. Name as in bank records | | | | | | | | | | | | | | | | | | 2. Name as in bank records | | 3. Name as in bank records | | | | | | | |

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/ amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

INSTRUCTIONS

- The SIP Registration Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
- Minimum SIP Amount and in multiples thereafter:

| Scheme | Daily (INR) | Weekly (INR) | Fortnightly (INR) | Monthly (INR) | Quarterly (INR) |
|--|------------------------|--------------|----------------------|------------------------|-----------------------|
| Schemes mentioned below defined as "Schemes Eligible for Fortnightly Frequency" | 500 and 1 thereafter | | 250 and 1 thereafter | | 1500 and 1 thereafter |
| Schemes, other than those mentioned below defined as "Schemes Eligible for Fortnightly Frequency" and ELSS | 500 and 1 thereafter | | Not Eligible | 500 and 1 thereafter | 1500 and 1 thereafter |
| ELSS | 500 and 500 thereafter | | Not Eligible | 500 and 500 thereafter | |

Schemes Eligible for Fortnightly Frequency : BBNPP Dividend Yield Fund, BBNPP Flexi Cap Fund, BBNPP Focused Fund, BBNPP Large & Midcap Fund, BBNPP Large Cap Fund, BBNPP Multi Cap Fund, BBNPP Value Fund, BBNPP Aggressive Hybrid Fund, BBNPP Arbitrage Fund, BBNPP Balanced Advantage Fund, BBNPP Conservative Hybrid Fund, BBNPP Equity Savings Fund, BBNPP Multi Asset Fund, BBNPP Nifty 50 Index Fund, BBNPP Children's Fund, BBNPP Retirement Fund

Minimum number of Instalments

For Daily, Weekly, Fortnightly and Monthly frequency: 6 instalments

For Quarterly frequency: 4 instalments

Accordingly, the provision of criteria of Minimum Application Amount of the scheme shall not apply to such applications using the SIP facility.

- Applicable Load Structure for SIP**

Entry Load: Nil

The provisions of Exit Load as applicable to the normal investments as on the date of Registration will be applicable to fresh SIP investments.

- The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request to this effect at least 2 days prior to the subsequent SIP date. SIP cancellation request will be processed within two working days from the date of submission of a valid request. However, if the next SIP date falls within ten days from the request date, the debit will occur for that installment and SIP will be ceased from the subsequent month.
- Fortnightly SIP frequency is available only in selected schemes. Trigger dates for Fortnightly SIP frequency are 5 and 20.
- The registration period of SIP will be as per the instruction given by the investor. In case of any ambiguity in registration period or if the end date of SIP is not mentioned, the default period for SIP will be 40 years.
- In case investor has not selected any frequency or in case of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default Trigger date.
- In case of no credit receipt/ failed debit instalment for Daily, Weekly, Monthly SIP for 3 consecutive installments, SIP will be ceased. In case of no credit receipt/ failed debit instalment for Quarterly SIP for 2 consecutive installments, SIP will be ceased
- In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.
- The Trustee / AMC reserves the right to change / modify the terms of the SIP.
- If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.
- Daily SIP shall be triggered and processed on all Business Days only.
- Investors can choose any preferred date of the month as SIP debit date. In case the chosen

date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.

- The amount mentioned on the first cheque should be equal to the combined SIP instalment amount mentioned against all the Schemes in the Form. Accordingly, the first cheque amount will get invested in multiple Schemes as mentioned in the form. In case of mismatch, the transaction is liable to be rejected. Further, investor should ensure that the amount mentioned on the OTM is equal to the total consolidated amount across all schemes mentioned as per the form
- If a Micro SIP application is found such that the registration of the application will result in the aggregate of all investments made by the investor in a financial year exceeding Rs. 50,000 or where there is any deficiency in the application form or any supporting document In case the first Micro SIP instalment is processed (as the cheque may be banked), and the application / supporting document is found to be defective, such Micro SIP will be ceased for future instalments. No refunds shall be made for the units already allotted. Investor, can however, redeem the units if so desired.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI) NPCI(National Payment Corporation of India). Investor will not hold Baroda BNP Paribas Mutual Fund, Baroda BNP Paribas Asset Management Company Limited (the AMC), Baroda BNP Paribas Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to Baroda BNP Paribas Mutual Fund/the AMC/the Trustee. Baroda BNP Paribas Mutual Fund reserves the right to reject any SIP application without assigning any reason thereof.

The terms and conditions for availing the 'Top-Up SIP' shall be as follows:

- Frequency for Top-Up SIP**

(i) For Monthly SIP:

- Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 6th SIP installment.
- Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 12th SIP installment.

(ii) For Quarterly SIP:

- Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 4th SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.

- Minimum Top-Up SIP Amount:**

₹ 100 and in multiples of ₹ 100 thereafter.

- Default Top-Up SIP Frequency and amount:**

For all open ended schemes, other than Baroda BNP Paribas ELSS Fund:

In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 100 respectively and the application form shall be processed accordingly.

For Baroda BNP Paribas ELSS Fund:

In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly.

In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.

- Top-Up SIP shall be available for SIP Investments only through NACH / Direct Debit Facility only.
- Top Up facility shall only be available for Monthly and Quarterly SIP frequency
- Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP. The Top-up details cannot be modified once enrolled. In order to make any changes, the investor needs to cancel the existing SIP and enroll for a fresh SIP with Top-up option
- Investors should ensure the amount mentioned in the OTM is on the higher side to be able to accommodate the increase as and when the top up amount is triggered. In case the OTM amount is lesser than the base amount + top up amount for any trigger in future, the SIP with Top-Up Facility will stand cancelled.

COMMON CHECKLIST

Please ensure that :

- I. Please ensure that your Application Form is complete in all respect and signed by all applicants:
 - Name, Address and Contact Details are mentioned in full.
 - Status of First/Sole Applicant is correctly indicated.
 - Bank Account Details are entered completely and correctly.
 - Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated) OR PAN Exempt KYC Reference Number (PEKRN) in case of PAN exempt investment.
 - Please attach proof of KYC Compliance status if not already validated.
 - Appropriate Plan / Option is selected.
 - If units are applied by more than one applicant, Mode of Operation of account is indicated.
- II. Your investment Cheque is drawn in favour of **'the Specific Scheme A/c. PAN'** or **'the Specific Scheme A/c Investor Name'** dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. is mentioned on the reverse of the Cheque/DD.
- III. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

| Documents | | Companies / Trusts / Societies/ Partnership Firms / LLP / Fils* | FPI | NRI / OCI / PIO | Minor | Investments through Constituted Attorney |
|-----------|--|---|-----|-----------------|-------|--|
| 1. | Board/ Committee Resolution / Authority Letter | ✓ | | | | |
| 2. | List of Authorised Signatories with Specimen Signature(s) ® | ✓ | ✓ | | | ✓ |
| 3. | Notarised Power of Attorney | | | | | ✓ |
| 4. | Account Debit Certificate in case payment is made by DD from NRE / FCNR A/c. where applicable | | | ✓ | | |
| 5. | PAN Proof | ✓ | ✓ | ✓ | ✓# | ✓ |
| 6. | KYC Acknowledgement Letter / Print out of KYC Compliance Status downloaded from CDSL Ventures Ltd. website (www.cvindia.com) | ✓ | ✓ | ✓ | ✓# | ✓ |
| 7. | Proof of Date of Birth | | | | ✓ | |
| 8. | Proof of Relationship with Guardian | | | | ✓ | |
| 9. | PIO / OCI Card (as applicable) | | | ✓ | | |
| 10. | Certificate of registration granted by Designated Depository Participant on behalf of SEBI | | ✓ | | ✓ | |

® Should be original or true copy by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

* For Fils, copy of SEBI registration certificate should be provided.

If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

SIP AUTO DEBIT - CHECKLIST

- I. Please ensure that if you are an existing investor, you have quoted your Folio No. in the SIP Application Form.
- II. Investment Scheme / Plan / Option in which you wish to do systematic investments is clearly indicated in the SIP Application Form.
- III. The SIP Amount, the SIP Frequency, your preferred SIP Date and Period are clearly indicated in the SIP Application Form.
- IV. Your First SIP Cheque from the same bank from which you wish your Auto-Debits to happen is enclosed and the cheque details are clearly indicated in the SIP Auto Debit Facility Form.
- V. Your Bank Account Details are correctly and completely furnished including the 9 Digit MICR Code.
- VI. Cancelled Cheque leaf of the Bank Account mentioned in the SIP Auto Debit (ECS) Account to be attached.
- VII. In case of current account, please affix company's seal at columns "ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY)" and AUTHORISATION OF BANK ACCOUNT HOLDER section.
- VIII. Authorisation of Bank Account is signed in the same manner as your signatures in Bank Records.

MICRO INVESTMENT - CHECKLIST

Documents required for Micro SIP applications (any one of the following):

| Ref. No. | Documents |
|----------|--|
| I. | Voter Identity Card |
| II. | Driving License |
| III. | Government / Defence identification card |
| IV. | Passport |
| V. | Photo Ration Card |
| VI. | Photo Debit Card |
| VII. | Employee ID cards issued by companies registered with Registrar of Companies |
| VIII. | Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament |
| IX. | ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks. |
| X. | Senior Citizen / Freedom Fighter ID card issued by Government. |
| XI. | Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI. |
| XII. | Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL). |
| XIII. | Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO. |

In addition to the photo identification documents prescribed above, a copy of the proof of address which is self attested and also attested by the ARN Holder will be required.

CHECKLIST FOR “APPLICATIONS ON BEHALF OF MINOR”

| | |
|------|--|
| I. | Birth certificate of the minor, or School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or Passport of the minor, or Any other suitable proof evidencing the date of birth of the minor / relationship. |
| II. | Copy of PAN of Guardian. |
| III. | KYC acknowledgement of Guardian. |

CHOTI SIP FACILITY APPLICATION FORM



All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Distributor ARN / RIA Code | Sub Distributor ARN | Sub Distributor / RM Internal Code | EUIN* | LG Code | For Office use only (Time Stamp) |
|----------------------------|---------------------|------------------------------------|-------|---------|----------------------------------|
| | | | | | |

*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

| | | | |
|---|----------------------|------------------|-----------------|
| SIGNATURE(S) (To be signed by All Applicants) | Sole First Applicant | Second Applicant | Third Applicant |
| | | | |

1. INVESTOR'S INFORMATION

Name of the Applicant (In CAPITAL)

2. INVESTMENT DETAILS

Scheme Plan : ☐ Regular ☐ Direct Option: ☒ Growth

SIP Amount ☒ Rs. 250 SIP Frequency ☐ Monthly SIP Date: (mention any date between 1 and 31) OR SIP Frequency ☐ Fortnightly SIP Date: 5 & 20

SIP Period : Start Date: End Date: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 20 years ☐ 30 years ☐ 40 years* OR ☐ To

* Default

Note: If the SIP tenure selected differs from the SIP Period end date the later of the two shall be considered as the SIP enrolment period. However, subject to the condition that OTM Mandate End Date is beyond the SIP Tenure/SIP Period End Date, else OTM Mandate End Date shall be considered as SIP End Date.

3. DECLARATION AND SIGNATURE

I/We have read and understood the contents of the SAI/SID of the above referred Scheme(s) of Baroda BNP Paribas Mutual Fund. I/We hereby apply for allotment/ purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I am/We are authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Baroda BNP Paribas Mutual Fund, its investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or banks. I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment I/We also declare that the ARN Hoder has disclosed all commission (in the form of trail commission or any other mode) payable to him fort different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

SIGNATURE(S)

| | | |
|-----------------------------|-----------------------|----------------------|
| Sole / First Account Holder | Second Account Holder | Third Account Holder |
| | | |

To be signed by All Applicant's if mode of operation is "Joint". (As in Bank Records)

| | | |
|--|--|--|
| Baroda BNP PARIBAS MUTUAL FUND | UMRN <input type="text"/> | Date <input type="text"/> |
| OTM Debit Mandate for NACH/Direct Debit | Sponsor Bank Code <input type="text"/> | Utility Code <input type="text"/> |
| Tick <input checked="" type="checkbox"/> I/We hereby authorize | BARODA BNP PARIBAS MUTUAL FUND to debit (tick✓) <input type="checkbox"/> SB CA <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> CC Other | |
| CREATE <input checked="" type="checkbox"/> MODIFY <input type="checkbox"/> CANCEL <input type="checkbox"/> | Bank a/c number <input type="text"/> | |
| with Bank <input type="text"/> | Name of customers bank <input type="text"/> | IFSC <input type="text"/> or MICR <input type="text"/> |
| an amount of Rupees <input type="text"/> | ₹ <input type="text"/> | |
| FREQUENCY <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half-Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented | DEBIT TYPE <input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount | |
| PAN <input type="text"/> | Phone No. <input type="text"/> | |
| Folio <input type="text"/> | Email ID <input type="text"/> | |
| I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. | | |
| PERIOD | Maximum period of validity of this mandate is 40 years only | |
| From <input type="text"/> | Signature Primary Account holder | |
| To <input type="text"/> | Signature of 1st Joint holder | |
| | Signature of 2nd Joint holder | |
| Maximum period of validity of this mandate is 40 years only | 1. Name as in bank records | 2. Name as in bank records |
| | 3. Name as in bank records | |

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

GENERAL INSTRUCTIONS

- Please refer the Key Information Memorandum and Offer Document/ SAI/ SID of the respective scheme(s) for Applicable NAV, Risk Factors, Load Structure and other information before investing.
- New Applicants need to compulsorily fill in all sections in the Investment Application Form in addition to Systematic Investment Form.
- Irrespective of the investment amount please furnish your PAN details and enclose a photocopy of PAN Card for all applications. In case of minor applicants, please furnish the PAN details and PAN proof of the Guardian. Payment for investment shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.
- KYC compliance is mandatory, irrespective of the amount of investment.
- First SIP Installment : Your first SIP can be for any day of the month, however subject to the condition that, there shall be a minimum gap of 30 day between the first and the second SIP.
 - Second & subsequent SIP Installment : Your second and subsequent SIPs are available only on above specified dated of the month. You can choose only one of these dates for the purpose of SIP. In case the chosen date turns out to be a non-working day for the scheme, the SIP will be processed on the immediately following working day.
- We would send you an Account Statement confirming your systematic investment within 10 working days from the date of your first systematic investment transaction. Confirmation for subsequent Systematic Investments would be sent to you as CAS (Consolidated Account Statement) on monthly basis.
- Baroda BNP Paribas Mutual Fund, its Investment Manager, Trustees, Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank/ branch or its refusal to register the SIP mandate.
- You will not hold Baroda BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers responsible if the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS. Baroda BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers responsible and liable for any damages / compensation for any loss, damage, etc. incurred by you as a result of use of this facility by you.
- If you have not indicated any of your SIP preference completely in the form, or incase of any discrepancy, we would presume the same as below:
SIP Frequency: Monthly; SIP Date: 7th; End Date: OTM mandate end period; Scheme Name: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the investment application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- Any discrepancy in the Account Statement should be brought to the notice of the Fund/AMC immediately. Contents of the Account Statement will be deemed to be correct if no error is reported within 30 days from the date of Transaction.
- SIP will be ceased after 3 consecutive rejections
- Incorrect and ambiguous forms will not be accepted and rejection for the same shall be communicated to the investor.

SIP PAYMENT THROUGH OTM / NACH / DIRECT DEBIT

- The TAT for SIP Debit Mandate Form registration will be 30 days. Therefore, there has to be a minimum gap of 30 days between date of submission of SIP Mandate and subsequent SIP Auto Debit, provided the OTM gets successfully registered within this period.
- In case of incomplete SIP Debit Mandate Forms or SIP Registration pending due to technical problems at NPCI/ Bankers end, AMC may seek remediation process to obtain incomplete details. In such cases registration process may exceed 30 days and hence may also impact processing of subsequent SIP instalments.
- SIP start date shall not be beyond 90 days from the date of submission of SIP application.
- The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request to this effect at least 2 days prior to the subsequent SIP date.
SIP cancellation request will be processed within two working days from the date of submission of a valid request. However, if the next SIP date falls within ten days from the request date, the debit will occur for that installment and SIP will be ceased from the subsequent month.
- If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the investment application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- Incise SIP date is not selected or the date mentioned is not legible or clear or multiple SIP date are opted, then the SIP will be registered on or before date as applicable.
- If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
- In case the SIP 'End Period' is incorrect or not mentioned by the investor in the SIP form, then the SIP End Date will be considered as OTM Mandate End Date.
- Maximum Amount: The Maximum Amount in SIP NACH Debit Mandate Form refers to the maximum SIP debit limit per transaction permitted by the investor to be debited from his bank account. At any given point of time SIP instalment amount should not exceed Maximum Amount mentioned in the SIP NACH Mandate Form.
- For Change in Bank details in your existing SIP, investor is required to submit SIP Modification Form, 30 days prior to the next SIP Date.
- Mandatory fields in SIP NACH DEBIT MANDATE form as per NPCI:
OTM Mandate End date should not be more than 40 years from the OTM Mandate Start date.
• OTM mandate date • OTM period 'From' and 'To' in the OTM mandate form • Submission Date • Bank Account type to be selected • Bank account number and Bank name • IFSC and MICR Code • Folio number or application number • Phone number and email id • SIP From date • Signatures as per bank records • Name as per bank records • SIP End Date
- All terms and conditions for SIP, including Exit Load, if any, prevailing at the time of SIP enrolment by the fund shall be levied in the Scheme.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI)/ NPCI(National Payment Corporation of India). Investor will not hold Baroda BNP Paribas Mutual Fund, Baroda BNP Paribas Asset Management Company Limited (the AMC), Baroda BNP Paribas Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to Baroda BNP Paribas Mutual Fund/the AMC/the Trustee. Baroda BNP Paribas Mutual Fund reserves the right to reject any SIP application without assigning any reason thereof.
- In case the SIP instalment is not debited on a particular day on account of non-business day, system constraints, technical/ operational issues/ actions of other parties or any other circumstances beyond the control of AMC/ Fund, such missed debits will not be re-initiated.

CHECKLIST

| Particulars | CHOTI SIP |
|---|---|
| Investor should be New to the MF industry | If Investor is New to the MF industry then only CHOTI SIP will be considered or else SIP will be registered as Normal SIP. |
| Eligible CHOTI SIP Schemes | Baroda BNP Paribas Dividend Yield Fund, Baroda BNP Paribas Flexi Cap Fund, Baroda BNP Paribas Focused Fund, Baroda BNP Paribas Large & Midcap Fund, Baroda BNP Paribas Large Cap Fund, Baroda BNP Paribas Multi Cap Fund, Baroda BNP Paribas Value Fund, Baroda BNP Paribas Aggressive Hybrid Fund, Baroda BNP Paribas Arbitrage Fund, Baroda BNP Paribas Balanced Advantage Fund, Baroda BNP Paribas Conservative Hybrid Fund, Baroda BNP Paribas Equity Savings Fund, Baroda BNP Paribas Multi Asset Fund, Baroda BNP Paribas Nifty 50 Index Fund, Baroda BNP Paribas Children's Fund, Baroda BNP Paribas Retirement Fund |
| Eligible Scheme Option | Growth |
| Available SIP Frequency | Fortnightly and Monthly |
| Minimum Installments | Minimum 60 Installments |
| Minimum Eligible Amount | Rs. 250 only for all eligible schemes, for any other amount SIP shall be considered as normal SIP |
| First Purchase through Cheque | Not Allowed |
| Eligible Payment Modes | NACH, Direct Debit or UPI Only |
| Redemption | The investor has the option to redeem their investment at any time during the SIP tenure, subject to the applicable exit load |

Note: If the investor does not meet the eligibility criteria for CHOTI SIP, the SIP will not be registered under CHOTI SIP. Instead, it will be processed as a normal SIP. For more details, kindly refer to the general instructions for normal SIP.

(New investors subscribing to this option must submit this Form alongwith Common Application Form)

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

| Distributor ARN / RIA Code | Sub Distributor ARN | Sub Distributor / RM Internal Code | EUIN* | LG Code | For Office use only (Time Stamp) |
|----------------------------|---------------------|------------------------------------|-------|---------|----------------------------------|
| | | | | | |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

First / Sole Applicant
/ Guardian / POA Holder
/ Authorised Signatory

Second Applicant / POA
Holder

Third Applicant / POA
Holder

TRANSACTION CHARGES for
Rs. 10,000 and above (✓ any one)

- ☐ I confirm that I am a first time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)
- ☐ I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

INVESTOR DETAILS

Folio No. /Application No.

Name of 1st Applicant

Scheme Name **BARODA BNP PARIBAS** Plan Option: ☐ Regular Growth ☐ Direct Growth
(List of eligible schemes are given in terms and conditions, refer point no. 3)

SIP Amount (Rs.) SIP Frequency : Monthly (Default)

SIP Start Date SIP Tenure ☐ 8 Years ☐ 10 Years ☐ 12 Years ☐ 15 Years

Switch-In/SWP Scheme Name (Post completion of SIP Tenure) **BARODA BNP PARIBAS** Plan Option: ☐ Regular Growth ☐ Direct Growth
(List of eligible schemes are given in terms and conditions, refer point no. 3 or mention the same scheme as SIP scheme)

SWP Date: Same as SIP Date SWP Frequency : Monthly (Default)

SWP Amount (tick any one of the option below from A Or B)

☐ A. As per below matrix basis SIP tenure

| SIP Tenure | 8 Years | 10 Years | 12 Years | 15 Years |
|------------|---------------------------|-----------------------------|---------------------------|---------------------------|
| SWP Amount | 1 x SIP Instalment Amount | 1.5 x SIP Instalment Amount | 2 x SIP Instalment Amount | 3 x SIP Instalment Amount |

OR

☐ B. Any other Amount (Rs.) This amount should be equal or higher than the minimum SWP amount applicable for the scheme

TOP-UP SIP

Top-Up Frequency ☐ Half - Yearly ☐ Annual

Top-Up Amount Rs. (in multiples of Rs. 100 only) Amount Rs.

DECLARATION : I/We hereby declare that the particulars given in this mandate form are correct and express my/our willingness to make payments towards investment in the schemes of BARODA BNP PARIBAS MUTUAL FUND Fund. I/We hereby confirm and declare that the monies invested by me in the schemes of BARODA BNP PARIBAS MUTUAL FUND Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"). I/We are aware that BARODA BNP PARIBAS MUTUAL FUND Fund and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit / NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform BARODA BNP PARIBAS MUTUAL FUND Fund/RTA about any changes in my/our bank account. I/We confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read, understood and agreed to the terms and conditions and contents of the SID, SAI, KIM and Addendum issued from time to time of the respective Scheme(s) of BARODA BNP PARIBAS MUTUAL FUND Fund. I/We hereby authorize the bank to honour such payments for which I/We have signed and endorsed the Mandate Form.

UMRN

Date

OTM Debit Mandate for NACH/Direct Debit

Sponsor Bank Code

Utility Code

Tick (✓)

☒ CREATE
☐ MODIFY
☐ CANCEL

I/We hereby authorize

BARODA BNP PARIBAS MUTUAL FUND

to debit (tick✓)

☒ SB ☒ CA ☒ SB-NRE ☒ SB-NRO ☒ CC ☐ Other

Bank a/c number

with Bank Name of customers bank IFSC or MICR

an amount of Rupees ₹

FREQUENCY ☒ Mthly ☒ Qtrly ☒ H-Yrly ☒ Yrly ☒ As & when presented

DEBIT TYPE ☒ Fixed Amount ☒ Maximum Amount

PAN

Phone No.

Folio

Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD
From
To

Maximum period of validity of this mandate is 40 years only

Signature Primary Account holder

Signature of 1st Joint holder

Signature of 2nd Joint holder

Maximum period of validity of this mandate is 40 years only

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

TERMS AND CONDITIONS FOR LAKSHYA SIP AND OTM

TERMS AND CONDITIONS FOR LAKSHYA SIP

- SIP:** SIP will be registered in a "Source Scheme" which will be an open-ended equity or hybrid fund (Refer to table below for list of source schemes) for a predefined tenor of either 8 years, 10 years, 12 years or 15 years under the monthly frequency. The minimum amount for SIP shall be the minimum Monthly SIP instalment amount for the respective schemes.
- This facility is allowed under 'Monthly' frequency for Growth option of the eligible SIP / SWP schemes.
- Schemes eligible for **SIP, Switch-in and SWP:** The target scheme can either be the source scheme (i.e. SIP scheme) or any one of the pre-defined schemes mentioned below.

| Name of Schemes (for SIP) | Name of Schemes (for Switch and SWP) |
|--|---|
| Baroda BNP Paribas Large Cap Fund | Baroda BNP Paribas Balanced Advantage Fund |
| Baroda BNP Paribas Large & Mid Cap Fund | Baroda BNP Paribas Aggressive Hybrid Fund |
| Baroda BNP Paribas Mid Cap Fund | Baroda BNP Paribas Multi Asset Fund |
| Baroda BNP Paribas Small Cap Fund | Baroda BNP Paribas Equity Savings Fund |
| Baroda BNP Paribas Flexi Cap Fund | Baroda BNP Paribas Conservative Hybrid Fund |
| Baroda BNP Paribas Multi Cap Fund | |
| Baroda BNP Paribas Value Fund | |
| Baroda BNP Paribas Dividend Yield Fund | |
| Baroda BNP Paribas Focused Fund | |
| Baroda BNP Paribas India Consumption Fund | |
| Baroda BNP Paribas Business Cycle Fund | |
| Baroda BNP Paribas Banking and Financial Services fund | |
| Baroda BNP Paribas Innovation Fund | |
| Baroda BNP Paribas Manufacturing Fund | |
| Baroda BNP Paribas Energy Opportunities Fund | |
| Baroda BNP Paribas Balanced Advantage Fund | |
| Baroda BNP Paribas Aggressive Hybrid Fund | |
| Baroda BNP Paribas Multi Asset Fund | |

- The source scheme could also be any open ended equity scheme of Baroda BNP Paribas launched in future.
- Minimum installment amount under this facility for SIP / SWP would be the same as prescribed under Monthly frequencies in the respective schemes. All other terms and conditions pertaining to SIP and SWP shall be applicable for LAKSHYA SIP / SWP.
- Switch:** On completion of the chosen SIP period, the units accumulated through the SIP shall be switched out to the selected target scheme (Refer to table below for list of target schemes). In case the source and target scheme are same, there would be no switch out. The Switch shall take place T+5 working days or next business day where it is non-business day (T being last SIP instalment date)
- SWP shall commence from the target scheme from the next month onwards on the same SIP instalment date. The SWP transaction shall be subject to applicable exit load and taxes if any.
- Investor can opt for SWP instalment amount as per the matrix below or specific amount to be mentioned, provided that the amount mentioned by the investor shall be subject to minimum SWP amount of the respective schemes.

| Payment Matrix | | For example: | |
|----------------|-------------------------------|--------------|------------|
| SIP Tenure | SWP Amount | SIP Amount | SWP Amount |
| 8 Years | 1.0 x Monthly SIP Instalments | 10,000 | 10,000 |
| 10 Years | 1.5 x Monthly SIP Instalments | 10,000 | 15,000 |
| 12 Years | 2.0 x Monthly SIP Instalments | 10,000 | 20,000 |
| 15 Years | 3.0 x Monthly SIP Instalments | 10,000 | 30,000 |

Note: While the SIP amount can be chosen by the investor, the SWP amount will vary based on the SIP amount and SIP tenure being chosen by the investor.

- In case no SIP tenure is selected, the default tenure shall be 15 years. In case no SIP date is selected, the default date shall be 10.
- In case, no scheme is mentioned in the target scheme section in Switch-In/SWP, the SWP shall be triggered from existing source SIP scheme itself.
- SWP Date will be same as the SIP date. The Start date of SWP will be the month following the last SIP instalment date and the SWP End Date will be perpetual i.e. the SWP under this facility shall be processed till units are available in the respective target scheme. In case, the SWP trigger date is a non-business day, the next business day shall be considered as trigger date.

- This facility shall get discontinued in the following events:
 - On cancellation of SIP before the end of tenure, the switch trigger and SWP will cease.
 - In case, redemption / switch-out processed in Source Scheme during the SIP tenure, the Switch trigger and SWP will cease, however SIP shall continue under the source scheme as normal SIP.
 - In case redemption / switch-out is processed in Source Scheme after the SIP tenure till the execution of switch trigger, the switch trigger and the SWP will cease.
- SIP Top-Up and SIP Pause is allowed under this facility. However, SWP would get registered based on the initial SIP instalment amount / slab mentioned in the application form.
- Under a single folio, an investor can have multiple registrations under this facility. However, if investor wishes to invest in multiple schemes, investor shall have to submit separate LAKSHYA SIP registration forms.
- This facility will not be available under DEMAT mode and for Minor investors.

INSTRUCTIONS TO FILL ONE TIME DEBIT MANDATE (OTM)

- Along with OTM, investors should enclose an original CANCELLED cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted.
- First applicant / unitholder must be one of the account holder in the bank account. Investor's cheque / bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of Systematic Investment Plan mentioned in SID, SAI & KIM of the respective Scheme(s) of Baroda BNP Paribas Mutual Fund.
- UMRN, Sponsor Bank Code and Utility Code are meant for Office use only and need not be filled by investors.
- Please mention OTM date and OTM "From date" in DDMMYYYY format.
- For the convenience of the investors the frequency of the mandate mentioned as "As and When Presented".
- From date & To date is mandatory. However, the maximum duration for enrollment is 40 years.
- Please provide all the information / details in the OTM.

MANDATORY INFORMATION TO BE PROVIDED IN ONE TIME DEBIT MANDATE (OTM):

Date of Mandate

- Bank A/c Type
- Bank A/c No. (please enclose CANCELLED cheque leaf)
- Bank Name
- IFSC and/or MICR Code
- Maximum Amount (Rupees and Words)
- Mandate From date
- Mandate To date
- Signature/s of account holders in bank records
- Name/s of account holders as in bank records

THE TERMS AND CONDITIONS FOR AVAILING THE 'TOP-UP SIP' SHALL BE AS FOLLOWS:

- Investor can choose either of the following frequency:
 - Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP instalment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP instalment.
 - Yearly Top-Up SIP: Under this option, the amount of investment through SIP instalment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP instalment.
- Minimum Top-Up SIP Amount is Rs. 100 and in multiples of Rs. 100 thereafter
- Investors should ensure the amount mentioned in the OTM is on the higher side to be able to accommodate the increase as and when the top up amount is triggered. In case the OTM amount is lesser than the base amount + top up amount for any trigger in future, the SIP with Top-Up Facility will stand cancelled.
- In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form will be processed without Top-Up SIP, subject to it being complete in all other aspects

For Non Individuals
FATCA, CRS & Ultimate Beneficial Owner (UBO)



Name of the entity

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

Folio No.

PAN Date of incorporation

City of incorporation Country of incorporation

Entity Constitution Type (Please tick as appropriate) ☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI
☐ Trust ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify

Please tick the applicable tax resident declaration:

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

| Country | Tax Identification Number % | Identification Type (TIN or Other, please specify) |
|----------------------|-----------------------------|--|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

% In case Tax Identification Number is not available, kindly provide its functional equivalent\$.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here
(Please Refer to para 3(vii) exemption code for U.S persons under part D of FATCA instructions and definitions)

FATCA & CRS Declaration please consult your Professional Tax Advisor for further Guidance on FATCA & CRS classification.

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a, ☐ Financial institution³ ☐ or ☐ Direct reporting NFE⁴ (please tick as appropriate)

Global Intermediary Identification Number (GIIN)

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity

GIIN not available (please tick as applicable) ☐ Applied for

If the entity is a financial institution, ☐ Not required to apply for - please specify 2 digits sub-category¹⁰ ☐ Not obtained - Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

| | | |
|----|---|--|
| 1. | Is the Entity a publicly traded company ¹ (that is, a company whose shares are regularly traded on an established securities market) <input type="checkbox"/> No | <input type="checkbox"/> Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange <input type="text"/> |
| 2. | Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) <input type="checkbox"/> No | <input type="checkbox"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company <input type="text"/> Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company <input type="text"/> |
| 3. | Is the Entity an active ¹ Non-Financial Entity (NFE) <input type="checkbox"/> No | <input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business <input type="text"/> Please specify the sub-category of Active NFE <input type="text"/> (Mention code-refer 2c of Part D) |
| 4. | Is the Entity a passive ² NFE | <input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business <input type="text"/> |

¹ Refer 2 of Part D | ² Refer 3(ii) of Part D | ³ Refer 1(i) of Part D | ⁴ Refer 3 (vi) of Part D

PART C**UBO Declaration**

Category (Please tick applicable category)

☐ Unlisted Company
 ☐ Partnership Firm
 ☐ Limited Liability Partnership Company
 ☐ Unincorporated association / body of individuals Private Trust
☐ Public Charitable Trust
 ☐ Religious Trust
 ☐ Others

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).

| Details | UBO1 | UBO2 | UBO3 |
|---|--|--|--|
| Name | | | |
| PAN | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Address | <input type="text"/> <input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/> | <input type="text"/> <input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/> | <input type="text"/> <input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/> |
| Address Type | <input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business | <input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business | <input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business |
| Date of Birth | D D M M Y Y Y Y | D D M M Y Y Y Y | D D M M Y Y Y Y |
| Gender | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others | | |
| Father's Name (Mandatory if PAN is not available) | | | |
| City of Birth | | | |
| Country of birth | | | |
| Occupation Type | <input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/> | <input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/> | <input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/> |
| Nationality | | | |
| UBO Type Code ¹¹ | | | |
| Country of Tax residency* | | | |
| Tax ID No. [§] | | | |
| Tax ID Type | | | |
| Percentage of Holding (%) [^] | | | |
| Politically Exposed Person (PEP) Status ¹² | <input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable | <input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable | <input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable |

Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

* To include US, where controlling person is a US citizen or green card holder

% In case Tax Identification Number is not available, kindly provide functional equivalent

[^] Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

¹² PEP : PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country e.g Heads of Sates or of Governments ,senior politicians senior Government/Judicial/military officers, senior executives of state owned corporations ,important political party officials, etc.

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as Investment Entities to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Baroda BNP Paribas Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions above and hereby accept the same.

| | |
|-------------|--|
| Name | <input type="text"/> |
| Designation | <input type="text"/> |
| Dated | |
| Place | <div>Signature</div> <div>Signature</div> <div>Signature</div> |

PART D FATCA INSTRUCTIONS & DEFINITIONS

1. (i) Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
 - (ii) Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
 - (iii) Custodial institution is an entity that holds as a substantial portion of its business, financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
 - (iv) Investment entity is any entity:
 - (a) That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual and collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
 - or
 - (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made;
 - or
 - (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 - refer point 2).
 - (v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN: Refer Rule 114(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard.

A. Reasons why FI not required to apply for GIIN:

| Code | Sub-category |
|------|---|
| 01 | Governmental Entity, International Organization or Central Bank |
| 02 | Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank |
| 03 | Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund |
| 04 | Entity is an Indian FI solely because it is an investment entity |
| 05 | Qualified credit card issuer |
| 06 | Investment Advisors, Investment Managers & Executing Brokers |
| 07 | Exempt collective investment vehicle |
| 08 | Trust |
| 09 | Non-registering local banks |
| 10 | FFI with only Low-Value Accounts |
| 11 | Sponsored investment entity and controlled foreign corporation |
| 12 | Sponsored, Closely Held Investment Vehicle |

2. Active Non Financial Entity (NFE) (any one of the following) : Refer explanation (A) to 114F(6) of Income tax Rules, 1962 for details :

| Code | Sub-category |
|------|--|
| 01 | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; |
| 02 | The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity. The stock of which is regularly traded on an established securities market. |
| 03 | The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing; |
| 04 | Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; |
| 05 | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; |
| 06 | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; |
| 07 | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; |
| 08 | Any NFE that fulfills all of the following requirements: <ul style="list-style-type: none"> • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; |

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely :-

- (i) an Investor Protection Fund referred to in clause (23EA);
- (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
- (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this

purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause 1 (iv)(b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;
- (iii) Passive income

The term passive income includes income by way of :

- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering Rules, 2005 (Maintenance of Records). In determining the beneficial owner, the procedure specified in the following circular as amended from time to time shall be applied, namely :-

- (i) DBOD/AML/BC NO 71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- (ii) CIR/MIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- (iii) IRDA/SDD/GDLC/CIR/019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

| Code | Sub-category |
|------|--|
| 01 | CP of legal person-ownership |
| 02 | CP of legal person-other means |
| 03 | CP of legal person-senior managing official |
| 04 | CP of legal arrangement-trust-settlor |
| 05 | CP of legal arrangement-trust-trustee |
| 06 | CP of legal arrangement-trust-protector |
| 07 | CP of legal arrangement-trust-beneficiary |
| 08 | CP of legal arrangement-trust-other |
| 09 | CP of legal arrangement-Other-settlor equivalent |
| 10 | CP of legal arrangement-Other-trustee equivalent |
| 11 | CP of legal arrangement-Other-protector equivalent |
| 12 | CP of legal arrangement-Other-beneficiary equivalent |
| 13 | CP of legal arrangement-Other-other equivalent |
| 14 | Unknown |

(v) Specified U.S. person – AU.S. person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(vii) Exemption code for U.S. persons (Refer 114F(9) of Income Tax Rules, 1962 for details).

| Code | Sub-category |
|------|--|
| A | An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). |
| B | The United States or any of its agencies or instrumentalities. |
| C | A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities. |
| D | A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). |
| E | A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i). |
| F | A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state. |
| G | Real estate investment trust. |
| H | A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940. |
| I | A common trust fund as defined in section 584(a). |
| J | A bank as defined in section 581. |
| K | A broker. |
| L | A trust exempt from tax under section 664 or described in section 4947(a)(1). |
| M | A tax exempt trust under a section 403(b) plan or section 457(g) plan. |

LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTIONS

For all Schemes of Baroda BNP Paribas Mutual Fund

AMC INVESTOR SERVICE CENTRES :

New Delhi : Unit No. G-04 Naurang House 21, KG Marg Connaught Place, New Delhi - 110 001 • **Bangalore** : Office no. 112, No. 12, Museum Road, Raheja Chambers, Bangalore – 560001 • **Chennai** : 04th Floor, Shop No. 4, D Wing, “Riaz Garden”, Cathedral Garden Road, Kodambakkam High Road, Nungambakkam, Chennai –600034 • **Kolkata** : 02nd Floor, Unit No 2E, The Millennium, 235/2A, AIC Bose Road, Kolkata - 700020 • **Hyderabad** : Office No. 403, 4th floor, Sonthalia Emerald Building, Raj Bhavan Road, Somajiguda, Hyderabad Telangana 500082 • **Pune** : Office No. A-4, 4th floor, Deccan Chambers-33/40, Erandwana, Karve Road, Pune - 411 004 • **Ahmedabad** : Office No. 104, 1st Floor, 6th Avenue Building, Opposite Textile Co-Operative Bank, Mithakhali Six Road, Ahmedabad – 380009 • **Borivali – Mumbai** : Shop no 5, Chitalia enclave co-op hsg soc(kapoor apt), junction of Punjabi lane & Chandavarkar road, Borivali (West), Mumbai 400 092 • **Fort – Mumbai** : Ground Floor Rahimtoola House 7, Homji Street, RBI Hornimal circle, Mumbai Fort 400001 • **Lucknow** : Shop No 104, First Floor, Vaishali Arcade, 6 Park Road, Hazratganj, Lucknow – 226001 • **Kanpur** : Office No.317 Kan Chambers, Civil Lines,Kanpur 208001 • **Thane** : Shop No. 10, Ground Floor, Konark Towers CHS Ltd., Ghantali Road, Village Naupada, Thane (W) – 400602 • **Jaipur** : Ground Floor, “Fortune Heights” G-2-A, Subhash Marg, C-Scheme, Jaipur – 302001 • **Vadodara** : 3rd Floor, Office 344, Emerald One, B wing, Jetalpur Road, Vadodara 390020 • **Rajkot** : 207, Nakhshtra-9, Opp. Gurnar Cinema, Nr. Phulchhab Chowk, M.G. Road, Rajkot - 360001

KFIN CUSTOMER CARE CENTRES/OPATS:

Agartala : Old Rms Chowmuhani,Opp-Rhymond Showroom,Near Jana Sabak Saloon,Agartala, West Tripura,799001 • **Agra** : 3Rd Floor, 303 Corporate Park, Block No- 109, Sanjay Place, Agra -282002, Uttar Pradesh • **Ahmedabad** : 401,Abc-1, Opp: Pushya Hospital,Off: C.G.Road,St. Xavier'S Corner,Navrangpura,Ahmedabad-380009 • **Ahmednagar** : Above Shubham Mobile & Home Appliances, 1St Floor, Tilak Road, Maliwada Ahmednagar, Maharashtra 414001 • **Ajmer** : Shop No. 2 3Rd Floor, Above Raymond Shop, Opp City Power House, Hathi Bhata, Ajmer-305001 • **Akola** : Shop No 25 Ground Floor Yamuna Tarang Complex Murtizapur Road N.H. No- 6 Opp Radhakrishna Talkies Akola 444004 Maharashtra • **Aligarh** : 1St Floor Sevti Complex,Lekhraj Nagar Centre Point, Samad Road, Aligarh-202001, Uttar Pradesh • **Alwar** : Office Number 137 First Floor Jai Complex Road No-2 Alwar 301001 • **Amaravathi** : Shop No. 21 2Nd Floor Above Axis Bank Gulshan Tower Near Panchsheel Talkies Jaistambh Square Amaravathi 444601 • **Ambala** : 6349 2Nd Floor Nicholson Road Adjacent Kos HospitalAmbala Cant Ambala 133001 • **Amritsar** : Sco 5 2Nd Floor District Shopping Complex Ranjit Avenue Amritsar 143001 • **Anand** : 203 Saffron Icon , Opp Senior Citizen Garden ,Mota Bazar , V V Nagar Anand-388120 • **Ananthapur** : #13/4 Vishnupriya Complex Beside Sbi Bank Near Tower Clock Ananthapur-515001. • **Andheri** : Office 103, Vertex Navkar, Commercial Complex, M V Road, Opp Andheri Court, Andheri East , Mumbai 400069 • **Asansol** : 112/N G. T. Road Bhanga Pachil G.T Road Asansol Pin: 713 303; Paschim Bardhaman West Bengal Asansol 713303 • **Aurangabad** : Shop No B 38 Motiwala Trade Center Nirala Bazar Aurangabad 431001 • **Azamgarh** : Shop No 18 Nagar Palika, Infront Of Treasury Office, Civil Lines, Azamgarh-276001, Uttar Pradesh • **Balasore** : 1-B. 1St Floor Kalinga Hotel Lane Baleshwar Baleshwar Sadar Balasore 756001 • **Bangalore** : No 35 Puttanna Road Basavanagudi Bangalore 560004 • **Bankura** : Plot Nos- 80/1/Anatunchati Mahalla 3Rd Floor Ward No-24 Opposite PC Chandra Bankura Town Bankura 722101 • **Bareilly** : 1St Floor, Rear Side, A -Square Building, 54-Civil Lines,Ayub Khan Chauraha,Bareilly-243001, Uttar Pradesh • **Baroda** : 1St Floor 125 Kanha Capital, Above Hdfe Bank , Opposite. Express Hotel, Alkapuri, Vadodara-07,390007 • **Begusarai** : Sri Ram Market, Kali Asthan Chowk, Matihani Road, Begusarai-851101, Bihar • **Belgaum** : Premises No.101 Cts No.1893 Shree Guru Darshani Tower Anandwadi Hindwadi Belgaum 590011 • **Bellary** : Ground Floor 3Rd Office Near Womens College Road Beside Amruth Diagnostic Shanthi Archade Bellary 583103 • **Berhampur (Or)** : Opp Divya Nandan Kalyan Mandap 3Rd Lane Dharam Nagar Near Lohiya Motor Berhampur (Or) 760001 • **Bhagalpur** : 2Nd Floor Chandralok Complex, Radha Rani Sinha Road, Ghantaghar, Bhagalpur-812001, Bihar • **Bharuch** : Shop No : 123, Nexus Business Hub ,Near Dhiraj & Sons, Bharuch ,392001 • **Bhatinda** : Mcb -Z-3-01043 2 Floor Goniana Road Opporite Nippon India Mf Gt Road Near Hanuman Chowk Bhatinda 151001 • **Bhavnagar** : 303,Sterling Building, Above Hdfe Bank,Waghawadi Road, Bhavnagar,364001 • **Bhilai** : Office No.2 1St Floor Plot No. 9/6 Nehru Nagar [East] Bhilai 490020 • **Bhilwara** : Office No. 14 B Prem Bhawan Pur Road Gandhi Nagar Near Canarabank Bhilwara 311001 • **Bhopal** : Sf-13 Gurukripa Plaza 2Nd Floor Plot No.48A Oppsite City Hospital Zone-2 M P Nagar Bhopal 462011 • **Bhubaneswar** : A/181 Back Side Of Shivam Honda Show Room Saheed Nagar - Bhubaneswar 751007 • **Bikaner** : H.No. 10, Himtasar House, Museum Circle, Civil Line, Bikaner, Rajasthan - 334001 • **Bilaspur** : Shop.No.306 3Rd Floor Anandam Plaza Vyapar Vihar Main Road Bilaspur 495001 • **Bokaro** : City Centre Plot No. He-07 Sector-Iv Bokaro Steel City Bokaro 827004 • **Borivali** : Gomati Smuti Ground Floor Jambli Gully Near Railway Station Borivali Mumbai 400092 • **Burdwan** : Saluja Complex, 846 Laxmipur G T Road Burdwan, Ps: Burdwan & Dist: Burdwan-East Pin: 713101 • **Calicut** : Second Floor Manimuriyil Centre Bank Road Kasaba Village Calicut 673001 • **Chandigarh** : First Floor Sco 2469-70 Sec. 22-C - Chandigarh 160022 • **chandrapur** : C/O Global Financial Services,2Nd Floor, Raghuwanshi Complex,Near Azad Garden, Chandrapur, Maharashtra-442401 • **Chennai** : 9Th Floor Capital Towers 180 Kodambakkam High Road Nungambakkam | Chennai - 600 034 • **Chinsura** : No : 96 Po: Chinsurah Doctors Lane Chinsurah 712101 • **Cochin** : Door No:61/2784 Second Floor Sreelakshmi Tower Chittoor Road, Ravipuram Ernakulam-Kerala-682015 • **Coimbatore** : 3Rd Floor Jaya Enclave 1057 Avinashi Road - Coimbatore 641018 • **Cuttack** : Shop No-45 2Nd Floor Netaji Subas Bose Arcade (Big Bazar Building) Adjusent To Reliance Trends Dargha Bazar Cuttack 753001 • **Darbhangha** : H No-185, Ward No- 13, National Statistical Office Campus, Kathal Bari, Bhandar Chowk, Darbhanga-846007, Bihar • **Davangere** : D.No 162/6 1St Floor 3Rd Main P J Extension Davangere Taluk Davangere Manda Davangere 577002 • **Dehradun** : Shop No- 809/799 , Street No- 2A, Rajender Nagar ,Near Sheesha Lounge, Kaulagarh Road, Dehradun -248003, Uttarakhand • **Deoria** : 1St Floor, K K Plaza, Above Apurwa Sweets, Civil Lines Road, Deoria- 274001 , Uttar Pradesh • **Dhanbad** : 208 New Market 2Nd Floor Bank More - Dhanbad 826001 • **Dhule** : Ground Floor Ideal Laundry Lane No 4 Khol Galli Near Muthoot Finance Opp Bhavasar General Store Dhule 424001 • **Durgapur** : Mwav-16 Bengal Ambuja 2Nd Floor City Centre Distt. Burdwan Durgapur-16 Durgapur 713216 • **Eluru** : D.No: 3B-15-1/1, Vaibhav Fort, Aghraharam, Western Street, Eluru Andhra Pradesh - 534001 • **Erode** : Address No 38/1 Ground Floor Sathy Road (Vctv Main Road) Sorna Krishna Complex Erode 638003 • **Faridabad** : A-2B 2Nd Floor Neelam Bata Road Peer Ki Mazar Nehru Groundnit Faridabad 121001 • **Ferozpur** : The Mall Road Chawla Bulding 1st Floor Opp. Centrail Jail Near Hanuman Mandir Ferozpur 152002 • **Gandhidham** : Off No-12 Plot No-300 , Ground Floor ,Shree Ambica Arcade Building ,Near Hdfe Bank , Gandhidham,370201 • **Gandhinagar** : Office No 138, First Floor, Suyas Solitaire,Near Podar International School,Kudasan, Gandhinagar,382421 • **Gaya** : Property No. 711045129 Ground Floorhotel Skylark Swaraipuri Road - Gaya 823001 • **Ghatkopar** : 11/Platinum Mall, Jawahar Road, Ghatkopar (East), Mumbai 400077 • **Ghaziabad** : Ff - 31 Konark Building Rajnagar - Ghaziabad 201001 • **Ghazipur** : Rani Katra, Mahuabagh, Infront Of Shubhra Hotel, Ghazipur - 233001 , Uttar Pradesh • **Gonda** : 782 Shiv Sadan, Iti Road, Near Raghuikul Vidya Peeth, Civil Lines Gonda-271001. Uttar Pradesh • **Gorakhpur** : Cross Road The Mall , Shop No 8-9, 4Th Floor , Bank Road , Gorakhpur 273001, Uttar Pradesh • **Gulbarga** : H No 2-231 Krishna Complex 2Nd Floor Opp. Opp. Municipal Corporation Office Jagat Station Main Road Kalaburagi Gulbarga 585105 • **Guntur** : 2Nd Shatter 1St Floor Hno. 6-14-48 14/2 Lane Arundal Pet Guntur 522002 • **Gurgaon** : No: 212A 2Nd Floor Vipul Agora M. G. Road - Gurgaon 122001 • **Guwahati** : 4Th Floor, Ganapati Enclave, G.S. Road, Near Bora Service, Guwahati 781007 • **Gwalior** : T-303 3Rd Floor, Vasundhara Tower, Near Axis Bank In Front Of Virendra Villa, Patel Nagar, City Centre, Gwalior-474011, Madhya Pradesh • **Haldwani** : Shoop No 5,Kmvr Shopping Complex, Haldwani 263139, Uttarakhand • **Haridwar** : Shop No. - 17,Bhatia Complex,Near Jamuna Palace,Haridwar 249410, Uttarakhand • **Hassan** : Sas No: 490 Hemadri Arcade 2Nd Main Road Salgame Road Near Brahmins Boys Hostel Hassan 573201 • **Hissar** : Shop No. 20 Ground Floor R D City Centre Railway Road Hissar 125001 • **Hoshiarpur** : Unit # Sf-6 The Mall Complex 2Nd Floor Opposite Kapila Hospital Sutheri Road Hoshiarpur 146001 • **Hosur** : No.2/3-4. Sri Venkateswara Layout, Denkanikottai Road, Dinnur Hosur - 635109 • **Hubli** : R R Mahalaxmi Mansion Above Indusind Bank 2Nd Floor Desai Cross Pinto Road Hubballi 580029 • **Hyderabad** : 2Nd Floor Jbs Station, Lower Concourse 1, Situated In Jubilee Bus Metro Station, Secunderabad 500009 • **Hyderabad(Gachibowli)** : Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanakramguda Serilimgampally Mandal Hyderabad 500032 • **Indore** : 101 | Diamond Trade Center | 3-4 Diamond Colony | New Palasia | Above Khurana Bakery | Indore | M.P. 452001 • **Jabalpur** : 2Nd Floor 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001 • **Jaipur** : Office No 101 1St Floor Okay Plus Tower Next To Kalyan Jewellers Government Hostel Circle Ajmer Road Jaipur 302001 • **Jalandhar** : Office No 7 3Rd Floor City Square Building E-H197 Civil Line Next To Kalyan Jewellers Jalandhar 144001 • **Jalgaon** : 3Rd Floor 269 Jaee Plaza Baliram Peth Near Kishore Agencies Jalgaon 425001 • **Jalpaiguri** : D B C Road Opp Nirala Hotel Opp Nirala Hotel Opp Nirala Hotel Jalpaiguri 735101 • **Jammu** : 1D/D Extension 2 Valmiki Chowk Gandhi Nagar Jammu 180004 State - J&K • **Jamnagar** : 131, Madhav Plaza,Opp. Sbi Bank Jamnagar, 361001 • **Jamshedpur** : Madhukunj 3Rd Floor Q Road Sakchi Bistupur East Singhbhum Jamshedpur 831001 • **Jhansi** : 1St Floor, Puja Tower,Near 48 Chambers,Elite Crossing, Civil Lines, Jhansi 284001, Uttar Pradesh • **Jodhpur** : Shop No. 6 Gang Tower G Floor Opposite Arora Moter Service Centre Near Bombay Moter Circle Jodhpur 342003 • **Junagadh** : Shop No. 201 2Nd Floor V-Arcade Complex Near Vanzari Chowk M.G. Road Junagadh 362001 • **Kalyan** : Seasons Business Centre, 104 / 1St Floor, Shivaji Chowk, Opposite Kdmc (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301 • **Kalyani** : Ground Floor,H No B-7/27S, Kalyani, Kalyani Ho, Nadia, West Bengal - 741235 • **Kannur** : 2Nd Floor Global Village Bank Road Kannur 670001 • **Kanpur** : 15/46 B Ground Floor,Opp : Muir Mills,Civil Lines,Kanpur 208001 • **Karimnagar** : 2Nd Shutterhno. 7-2-607 Sri Matha Complex Mankammathota - Karimnagar 505001 • **Karnal** : 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal (Haryana) 132001 • **Karur** : No 88/11 Bb Plaza Nrmp Street K S Mess Back Side Karur 639002 • **Khammam** : 11-4-3/3 Shop No. S-9 1St Floor Srivenkata Sairam Arcade Old Cpi Office Near Priyadarshini Collegenehru Nagar Khammam 507002 • **Kharagpur** : Holding No 254/220 Sbi Building Malancha Road Ward No.16 Po: Kharagpur Ps:

LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTIONS

Kharagpur Dist: Paschim Medinipur Kharagpur 721304 • **Kolhapur** : 605/1/4 E Ward Shahupuri 2Nd Lane Laxmi Niwas Near Sultane Chambers Kolhapur 416001 • **Kolkata** : 2/1 Russel Street 4Thfloor Kankaria Centre Kolkata 70001 Wb • **Kollam** : Sree Vigneswara Bhavan Shastri Junction Kollam - 691001 • **Korba** : Office No #202, 2Nd Floor, Ircr Qube, 97 T. P. Nagar, Korba-495677, Chhatisgarh • **Kota** : D-8 Shri Ram Complex Opposite Multi Purpose School Gumanpur Kota 324007 • **Kottayam** : 1St Floor Csiascension Square Railway Station Road Collectorate P O Kottayam 686002 • **Kurnool** : Shop No:47 2Nd Floor S Komda Shopping Mall Kurnool 518001 • **Lucknow** : 1St Floor, A. A. Complex, 5 Park Road Hazratganj Thaper House, Lucknow 226001 • **Ludhiana** : Sco 122 Second Floor Above HdFc Mutual Fun Feroze Gandhi Market Ludhiana 141001 • **Madurai** : No. G-16/17 Ar Plaza 1St Floor North Veli Street Madurai 625001 • **Malappuram** : MM18/1974, Peekeys Arcade, (ICICI Bank Building), Near Municipal bus stand, A K Road, Downhill, Malappuram, Kerala, 676519 • **Malda** : Ram Krishna Pally; Ground Floor English Bazar - Malda 732101 • **Mandi** : House No. 99/11 3Rd Floor Opposite Gss Boy School School Bazar Mandi 175001 • **Mangalore** : Shop No - 305 Marian Paradise Plaza 3Rd Floor Bunts Hostel Road Mangalore - 575003 Dakshina Kannada Karnataka • **Margao** : Shop No 21 Osia Mall 1St Floor Near Ktc Bus Stand Sgdpa Market Complex Margao - 403601 • **Mathura** : Shop No. 9, Ground Floor, Vihari Lal Plaza, Opposite Brijwasi Centrum, Near New Bus Stand, Mathura 281001, Uttar Pradesh • **Meerut** : Shop No:- 111 First Floor Shivam Plaza Near Canara Bank Opposite Eves Petrol Pump Meerut-250001 Uttar Pradesh India • **Mehsana** : Ff-21, Someswar Shopping Mall Modhera Road- Mehsana-384002 • **Mirzapur** : Triveni Campus, 2Nd Floor, Ratanganj, Near Sbi Life, Mirzapur-231001, Uttar Pradesh • **Moga** : 1St Floor Dutt Road Mandir Wali Gali Civil Lines Barat Ghar Moga 142001 • **Moradabad** : Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad-244001, Uttar Pradesh • **Morena** : House No. Hig 959, Near Court, Front Of Dr. Lal Lab, Old Housing Board Colony, Morena 476001. Madhya Pradesh • **Mumbai** : 6/8 Ground Floor Crossley House Near Bse (Bombay Stock Exchange) Next Union Bank Of India Fort Mumbai - 400 001 • **Muzaffarpur** : 1St Floor, Saroj Complex, Diwan Road, Muzaffarpur -842001 , Bihar • **Mysore** : No 2924 2Nd Floor 1St Main 5Th Cross Saraswathi Puram Mysore 570009 • **Nadiad** : 311-3Rd Floor City Centre, Near Paras Circle, Nadiad-387001 • **Nagercoil** : Hno 45 1St Floor East Car Street Nagercoil 629001 • **Nagpur** : Plot No. 2 Block No. B / 1 & 2 Shree Apratment Khare Town Mata Mandir Road Dharampeth Nagpur 440010 • **Nanded** : Shop No.4 Santakripa Market G G Road Opp. Bank Of India Nanded 431601 • **Nasik** : S-9 Second Floor Suyojit Sankul Sharanpur Road Nasik 422002 • **Navsari** : 103 1St Floor Landmark Mall , Near Sayaji Vaibhav Library , Navsari, 396445 • **Nellore** : H. No: 216/2/561, Ramarao Complex-2 3Rd Floor, Shop No: 305, Nagula Mitta Road, (Indira Bhavan), Opp: Bank Of Baroda, Nellore Pin : 524001 • **New Delhi** : 305 New Delhi House 27 Barakhamba Road - New Delhi 110001 • **Noida** : F-21 2Nd Floor Near Kalyan Jewelers Sector-18 Noida 201301 • **Palghat** : No: 20 & 21 Metro Complex H.P.O.Road Palakkad H.P.O.Road Palakkad 678001 • **Panipat** : Shop No. 20 1St Floor Brmk Market Behind Hive Hotel G.T.Road Panipat-132103 Haryana • **Panjim** : H. No: T-9 T-10 Affran Plaza 3Rd Floor Near Don Bosco High School Panjim 403001 • **Pathankot** : 2Nd Floor Sahni Arcade Complex Adj. Indra Colony Gate Railway Road Pathankot 145001 • **Patiala** : B- 17/423 Lower Mall Patiala Opp Modi College Patiala 147001 • **Patna** : Flat No.- 102, 2Bhk Maa Bhawani Shardalay, Exhibition Road, Patna-800001 • **Pondicherry** : No 122(10B) Muthumariamman Koil Street - Pondicherry 605001 • **Prayagraj** : Shop No- Tf-9, 3Rd Floor, Vinayak Vrindavan Tower, H No-34/26, Tashkhant Road. Civil Station, Prayagraj- 211001 , Uttar Pradesh • **Pune** : Office # 207-210 Second Floor Kamla Arcade Jm Road Opposite Balgandharva Shivaji Nagar Pune 411005 • **Raipur** : Office No- 401, 4Th Floor, Pithalia Plaza , Fafadih Chowk, Raipur -492001 • **Rajahmundry** : D.No: 6-7-7, Sri Venkata Satya Nilayam, 1St Floor, Vadrevu Vari Veedhi, T - Nagar, Rajahmundry Ap- 533101 • **Rajkot** : 302, Metro Plaza Jansata Chowk |Sadar Bazar, Rajkot, 360001 • **Ranchi** : Room No 103, 1St Floor, Commerce Tower, Beside Mahabir Tower, Main Road, Ranchi -834001" • **Ratlam** : 106, Rajaswa Colony, Near Sailana Bus Stand, Ratlam, Madhya Pradesh 457001 • **Renukoot** : C/O Mallick Medical Store, Bangali Katra Main Road, Renukoot Dist. Sonbhadra -231217, Uttar Pradesh • **Rewa** : Shop No-2, Ground Floor, Shree Sai Anmol Complex, Opp Teerath Memorial Hospital, Rewa-486001, Madhya Pradesh • **Rohtak** : Office No:- 61 First Floor Ashoka Plaza Delhi Road Rohtak 124001. • **Roorkee** : Near Shri Dwarkadhish Dharm Shala, Ramnagar, Roorkee-247667. Uttarakhnad • **Rourkela** : 2Nd Floor Main Road Udit Nagar Sundargarh Rourekla 769012 • **Sagar** : 2Nd Floor | Above Shiva Kanch Mandir 5 Civil Lines Sagar Madhya Pradesh -470001 | India • **Saharanpur** : 1St Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur - 247 001, Uttar Pradesh • **Salem** : No.6 Ns Complex Omalur Main Road Salem 636009 • **Sambalpur** : First Floor; Shop No. 219 Sahej Plaza Golebazar; Sambalpur Sambalpur 768001 • **Satara** : G7, 465 A, Govind Park Satar Bazaar, Satara - 415001 • **Satna** : 1St Floor, Gopal Complex, Rewa Road ,Near Bus Stand, Satna-485001, Madhya Pradesh • **Shillong** : Annex Mani Bhawan Lower Thana Road Near R K M Lp School Shillong 793001 • **Shimla** : 1St Floor Hills View Complex Near Tara Hall Shimla 171001 • **Shimoga** : Jayarama Nilaya 2Nd Corss Mission Compound Shimoga 577201 • **Shivpuri** : A. B. Road, In Front Of Sawarkar Park, Near Hotel Vanasthali, Shivpuri 473551, Madhya Pradesh • **Sikar** : First Floorsuper Tower Behind Ram Mandir Near Taparya Bagichi - Sikar 332001 • **Silchar** : Above R.K Associates, 2Nd Floor, N.N. Dutta Road, Near Gurudwara, Shillongpatty, Silchar 788001 • **Siliguri** : Nanak Complex 2Nd Floor Sevoke Road - Siliguri 734001 • **Sitapur** : 12/12 Surya Complex, Station Road ,Uttar Pradesh, Sitapur 261001, Uttar Pradesh • **Solan** : Disha Complex 1St Floor Above Axis Bank Rajgarh Road Solan 173212 • **Solapur** : Shop No 106. Krishna Complex 477 Dakshin Kasaba Datta Chowk Solapur-413007 • **Sonepat** : Shop No. 205, 2Nd Floor, Pp Tower Opp Income Tax Office Subhash Chowk Sonepat. 131001. • **Sri Ganganagar** : Address Shop No. 5 Opposite Bihani Petrol Pump Nh - 15 Near Baba Ramdev Mandir Sri Ganganagar 335001 • **Srikakulam** : D No:1-6/2, First Floor, Near Vijaya Ganapathi Temple, Beside I.K. Rao Building, Palakonda Raod, Srikakulam Dist Andhra Pradesh - 532001 • **Sultanpur** : 1St Floor, Shop No-90A, Ramashankar Market, Civil Lines, Near Bus Station, Sultanpur-228001 Uttar Pradesh • **Surat** : Ground Floor Empire State Building Near Udhna Darwaja Ring Road Surat, 395002 • **Thane** : Room No. 302 3Rd Floor Ganga Prasad Building Near Rbl Bank Ltd Ram Maruti Cross Road Naupada Thane West Thane :- 400602 • **Tinsukia** : 3Rd Floor, Somany Tiles Building Above Uti Mutual Fund, Chirwapatty Road, Tinsukia Assam - 786125 • **Tirunelveli** : 55/18 Jeney Building 2Nd Floor S N Road Near Aravind Eye Hospital Tirunelveli 627001 • **Tirupathi** : Shop No:18-1-421/F1 City Center K.T.Road Airtel Backside Office Tirupathi - 517501 • **Tiruvalla** : 2Nd Floorerinjery Complex Ramanchira Opp Axis Bank Thiruvalla 689107 • **Trichur** : 4Th Floor Crown Tower Shakthan Nagar Opp. Head Post Office Thrissur 680001 • **Trichy** : No 23C/1 E V R Road Near Vekkali Amman Kalyana Mandapam Putthur - Trichy 620017 • **Trivandrum** : 3Rd floor, No- 3B Tc-82/3417, Capitol Center, Opp Secretariat, Mg Road, Statue P O Trivandrum- 695001 • **Tuticorin** : 4 - B A34 - A37 Mangalmani Mani Nagar Opp. Rajaji Park Palayamkottai Road Tuticorin 628003 • **Udaipur** : Shop No. 202 2Nd Floor Business Centre 1C Madhuvan Opp G P O Chetak Circle Udaipur 313001 • **Ujjain** : 227, 2Nd Floor Heritage Plaza, Above Vishal Megha Mart, Near Hotel Kshipra, Ujjain M.P. - 456010 • **Valsad** : 406, 4Th Floor, Dreamland Arcade, Tithal Road, Valsad, 396001 • **Vapi** : Sa/11, A Wing, 2Nd Floor, Solitaire Business Centre, Opp Dcb Bank, Vapi Gidc Char Rasta, Vapi-396195 • **Varanasi** : D 64 / 127 C - H Arihant Complex, 2Nd Floor, Sigra (Near Petrol Pump) Varanasi (U P)-221010 • **Vashi** : Haware Infotech Park 902, 9Th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703 • **Vellore** : No 2/19 1St Floor Vellore City Centre Anna Salai Vellore 632001 • **Vijayawada** : Hno26-23 1St Floor Sundarammastreet Gandhinagar Krishna Vijayawada 520010 • **Visakhapatnam** : Dno : 48-10-40 Ground Floor Surya Ratna Arcade Srinagar Opp Roadto Lalitha Jeweller Showroom Beside Taj Hotel Ladge Visakhapatnam 530016 • **Warangal** : Shop No22 Ground Floor Warangal City Center 15-1-237 Mulugu Road Junction Warangal 506002 • **Yamuna Nagar** : B-V 185/A 2Nd Floor Jagadri Road Near Dav Girls College (Uco Bank Building) Pyara Chowk - Yamuna Nagar 135001

COLLECTION CENTER

Andheri : Office No 103, 1st Floor, MTR Cabin-1, Vertex, Navkar Complex M.V .Road, Andheri East , Opp Andheri Court, Mumbai - 400069 • **Borivali** : Gomati Smutiground Floor Jambli Gully Near Railway Station Borivali Mumbai 400 092 • **Hyderabad (Gachibowli)** : Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanakramguda Serilingampally Mandal Hyderabad 500032 • **Thane** : Room No. 302 3Rd Floorganga Prasad Near Rbl Bank Ltd Ram Maruti Cross Roadnaupada Thane West Mumbai 400602 • **Vashi** : Haware Infotech Park 902, 9th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703



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