

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U65991MH2003PTC142972

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAECA5153B

(ii) (a) Name of the company

BARODA BNP PARIBAS ASSET I

(b) Registered office address

Parinee Crescenzo, Office No. 201(A), 2nd Floor Plot no. C-3  
8 and C-39, G Block, Bandra Kurla Complex Bandra(East)  
Mumbai  
Mumbai  
Maharashtra  
400051

(c) \*e-mail ID of the company

SH\*\*\*\*\*@\*\*\*\*\*

(d) \*Telephone number with STD code

02\*\*\*\*\*20

(e) Website

www.barodabnpparibasmf.in

(iii) Date of Incorporation

04/11/2003

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	95.11
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	4.89

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Bank Of Baroda		Holding	50.1

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	215,883,057	215,883,057	215,883,057
Total amount of equity shares (in Rupees)	3,000,000,000	2,158,830,570	2,158,830,570	2,158,830,570

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital

Number of equity shares	300,000,000	215,883,057	215,883,057	215,883,057
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	2,158,830,570	2,158,830,570	2,158,830,570

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>	Physical	DEMAT	Total			
<b>At the beginning of the year</b>	20	215,883,037	215883057	2,158,830,5	2,158,830,5	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify NA				0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify NA				0	0	
<b>At the end of the year</b>	20	215,883,037	215883057	2,158,830,5	2,158,830,5	

## Preference shares

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify 0				0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify 0				0	0	
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☒

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☒

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		29/05/2023	
Date of registration of transfer (Date Month Year)		27/10/2023	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	114	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		-	
Transferor's Name	Gupta	Kumar	Sarvesh
	Surname	middle name	first name
Ledger Folio of Transferee		-	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank of Baroda"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="114"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	----------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferor's Name	<input type="text" value="Kumar"/>	<input type="text"/>	<input type="text" value="Subrat"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank Of Baroda"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="114"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	----------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Venugopal N"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank of Baroda"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="10"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	---------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="16"/>
----------------------------	---------------------------------

Transferor's Name	<input type="text" value="Krishnan"/>	<input type="text"/>	<input type="text" value="Jyothi"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	<input type="text" value="Asia Limited"/>	<input type="text"/>	<input type="text" value="BNP Paribas Asset Managemer"/>
	Surname	middle name	first name

	Surname	middle name	first name
--	---------	-------------	------------

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="10"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	---------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="18"/>
----------------------------	---------------------------------

Transferor's Name	<input type="text" value="Sharma"/>	<input type="text" value="Kumar"/>	<input type="text" value="Sharad"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	Asia Limited		BNP Paribas Asset Manager
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	27/10/2023
--	------------

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	---------------	--

Number of Shares/ Debentures/ Units Transferred	114	Amount per Share/ Debenture/Unit (in Rs.)	10
---	-----	---	----

Ledger Folio of Transferor	-
----------------------------	---

Transferor's Name			Purshottam
	Surname	middle name	first name

Ledger Folio of Transferee	-
----------------------------	---

Transferee's Name			Bank of Baroda
	Surname	middle name	first name

	Surname	middle name	first name
--	---------	-------------	------------

Date of registration of transfer (Date Month Year)	27/10/2023
--	------------

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	---------------	--

Number of Shares/ Debentures/ Units Transferred	114	Amount per Share/ Debenture/Unit (in Rs.)	10
---	-----	---	----

Ledger Folio of Transferor	-
----------------------------	---

Transferor's Name	Malhotra	Inder	Rajesh
	Surname	middle name	first name

Ledger Folio of Transferee	-
----------------------------	---



Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank of Baroda"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="114"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	----------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferor's Name	<input type="text" value="Dobhal"/>	<input type="text"/>	<input type="text" value="Sanjeev"/>
-------------------	-------------------------------------	----------------------	--------------------------------------

	Surname	middle name	first name
--	---------	-------------	------------

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank of Baroda"/>
-------------------	----------------------	----------------------	---

	Surname	middle name	first name
--	---------	-------------	------------

Date of registration of transfer (Date Month Year)	<input type="text" value="27/10/2023"/>
--	---

Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input type="text" value="114"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
---	----------------------------------	---	---------------------------------

Ledger Folio of Transferor	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferor's Name	<input type="text" value="Rathi"/>	<input type="text" value="Vir"/>	<input type="text" value="Prakash"/>
-------------------	------------------------------------	----------------------------------	--------------------------------------

	Surname	middle name	first name
--	---------	-------------	------------

Ledger Folio of Transferee	<input type="text" value="-"/>
----------------------------	--------------------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Bank of Baroda"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
-------------------	----------------------	----------------------	----------------------

	Surname	middle name	first name
--	---------	-------------	------------

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
-------------------	----------------------	----------------------	----------------------

	Surname	middle name	first name
--	---------	-------------	------------

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

1,208,983,000

**(ii) Net worth of the Company**

1,602,615,643.87

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	108,150,783	50.1	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	107,732,274	49.9	0	
10.	Others	0	0	0	
	<b>Total</b>	215,883,057	100	0	0

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	<b>Total</b>	0	0	0	0
--	--------------	---	---	---	---

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

2

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	11	2
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	0	8	0	8	0	0
(i) Non-Independent	0	8	0	8	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	0	8	0	8	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANJAY NIRANJAN S	00415170	Director	0	
SETHURAM NEELAKA	05253455	Director	0	
NAGESH GANESH AL	01290616	Director	0	
DAVID GUY THOMAS	09570166	Director	0	
SHINJINI KUMAR	02954112	Director	0	
APARNA NARENDRA	07132341	Director	0	
VINCENT MARIE DEN	09533583	Director	0	
SANJAYKUMAR GRO	07217384	Director	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SANJEEV DOBHAL	10055078	Additional director	24/04/2023	Appointment
SANJEEV DOBHAL	10055078	Director	29/05/2023	Change in Designation
SHINJINI KUMAR	02954112	Director	29/05/2023	Change in Designation
SANJEEV DOBHAL	10055078	Director	11/07/2023	Cessation
SANJAYKUMAR GF	07217384	Additional director	21/07/2023	Appointment
JOYDEEP DUTTA F	08055872	Director	31/01/2024	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/05/2023	11	2	99.99

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2023	9	9	100
2	19/07/2023	8	7	87.5
3	27/10/2023	9	9	100
4	09/02/2024	8	8	100

**C. COMMITTEE MEETINGS**

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	25/04/2023	6	6	100
2	Audit Committee	18/07/2023	5	5	100
3	Audit Committee	25/10/2023	6	6	100
4	Audit Committee	06/02/2024	6	6	100
5	Board Risk Management	25/04/2023	4	4	100
6	Board Risk Management	18/07/2023	3	3	100
7	Board Risk Management	25/10/2023	4	4	100
8	Board Risk Management	06/02/2024	4	4	100
9	Unit Holder Protection	09/02/2024	6	6	100
10	Nomination and Remuneration	17/04/2023	5	5	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	31/05/2024
								(Y/N/NA)

1	SANJAY NIRAJ	4	4	100	9	9	100	No
2	SETHURAM NEELAN	4	4	100	11	11	100	No
3	NAGESH GANESH	4	4	100	5	5	100	No
4	DAVID GUY THOMAS	4	3	75	0	0	0	No
5	SHINJINI KUMAR	4	4	100	4	4	100	No
6	APARNA NARENDI	4	4	100	8	8	100	No
7	VINCENT MAHESHWARI	4	4	100	12	12	100	No
8	SANJAYKUMAR	2	2	100	5	5	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	APARNA NARENDI	Director	0	0	0	800,000	800,000
2	NAGESH GANESH	Director	0	0	0	650,000	650,000
3	SANJAY NIRANJAN	Director	0	0	0	850,000	850,000
4	SETHURAM NEELAN	Director	0	0	0	950,000	950,000
5	SHINJINI KUMAR	Director	0	0	0	600,000	600,000
	Total		0	0	0	3,850,000	3,850,000



## XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

## XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

## XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Deepti Joshi

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

8968

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

Aparna  
Sharma

Digitally signed by  
Aparna Sharma  
Date: 2024.07.25  
15:13:08 +05'30'

DIN of the director

**To be digitally signed by**

DEEPTI  
SUDHIR  
JOSHI

Digitally signed by  
DEEPTI SUDHIR  
JOSHI  
Date: 2024.07.25  
15:44:57 +05'30'

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number Certificate of practice number **Attachments**

- List of share holders, debenture holders
- Approval letter for extension of AGM;
- Copy of MGT-8;
- Optional Attachement(s), if any

**List of attachments**

List of Shareholders.pdf  
Details of committee meetings.pdf  
MGT 8 Signed.pdf  
UDIN\_BBNP\_AMC MGT 7.pdf  
Clarification Letter \_Category of Directors.p  
Clarification Letter \_Appointment of DP.pdf  
Clarification Letter DSC Error pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

