COMMON TRANSACTION REQUEST - NON FINANCIAL TRANSACTION

For Existing Unitholder(s) holding units in physical mode. Please read documentation requirements and Terms and Conditions overleaf. Please fill in the information below legibly in English and in CAPITALS.

Baroda BNP PARIBAS MUTUAL FUND

IMPORTANT: Please strike off the section(s) that is (are) not used by you to prevent any unauthorized use.

Date D D / M M / Y Y Y Y

UNIT HOLDER INFORMATION

Folio No(s)

Sole/First Unit Holder Name

A. CHANGE IN MODE OF HOLDING (MOH) from Joint to Anyone or survivor or vice-versa (Please tick the proposed MOH)

All unit holders should sign the form irrespective of mode of holding in the folio Please tick (✓): ☐ Joint ☐ Any one or Survivor

B. CHANGE OF STATUS (Change of status from RI to NRI or Vice Versa) ☐ RI to NRI ☐ NRI to RI

OVERSEAS ADDRESS (Mandatory - in case of RI to NRI)

Address

City

State PIN Country

C. CHANGE OF BANK MANDATE (MANDATORY TO ATTACH OLD AND NEW BANK PROOF) ☐ SB to NRO ☐ NRO to SB

Note: Status cannot be changed to NRE if investment is done through a SB/NRO Account

Ac No. Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others ____

Bank Name :

Branch : Bank City : State :

IFSC Code MICR Code

Note: Unitholders will receive redemption/ dividend proceeds directly into their bank account via Direct Credit/ NEFT/ECS facility.

I/We also request you to release the UNCLAIMED amounts (if any) to the new /updated bank account mentioned above.

D. PAN, DOB AND KYC UPDATION

Sole / First Applicant / Guardian		Date of Birth D D / M M / Y Y Y Y	CKI No.
Second Applicant		Date of Birth D D / M M / Y Y Y Y	CKI No.
Third Applicant		Date of Birth D D / M M / Y Y Y Y	CKI No.

E. CHANGE / UPDATION OF CONTACT DETAILS

SOLE / FIRST APPLICANT

Mobile No. STD Code Office Residence

Mobile No. pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

Email ID (CAPITAL letters only)

Email ID pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

SECOND APPLICANT

Mobile No. STD Code Office Residence

Mobile No. pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

Email ID (CAPITAL letters only)

Email ID pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

THIRD APPLICANT

Mobile No. STD Code Office Residence

Mobile No. pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

Email ID (CAPITAL letters only)

Email ID pertains to ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ Others _____

AKNOWLEDGEMENT SLIP

Baroda BNP PARIBAS MUTUAL FUND

Received from Mr./Mrs. Folio No.

☐ Change of Status ☐ Change of Bank Mandate ☐ PAN, DOB & KYC Updation ☐ Change/Updation of Contact Details

☐ Change of Mode of Operation ☐ Consolidation of Folios ☐ Change of IDCW Option ☐ Revalidation of IDCW/Redemption Cheque

F. FATCA & CRS DETAILS

Place of Birth | Country of Birth

Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India?

(Please tick (✓) any) ◯ Yes ◯ No **If "NO" proceed for the signature of declaration**

If "YES" please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen Resident/ Green Card Holder/ Tax Resident in the respective countries

Country of Tax Residency	Tax Identification Number or Function Equivalent	Identification Type (TIN or other please specify	If TIN is not available please tick the reason
			◯ A ◯ B

Reason A ◯ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

Reason B ◯ TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)

Reason C ◯ Others, please state the reason thereof: _____

G. KYC DETAILS

Occupation ◯ Private Sector Service ◯ Public Sector Service ◯ Government Service ◯ Business ◯ Professional ◯ Agriculturist ◯ Retired ◯ Housewife ◯ Student ◯ Proprietorship ◯ Forex Dealer ◯ Others (Please specify) _____

Gross Annual Income ◯ Below 1 lac ◯ 1-5 lac ◯ 5-10 lac ◯ 10-25 lac ◯ 25 lac- 1 cr ◯ > 1 cr

Others ◯ I am Politically Exposed Person (PEP) ◯ I am related to Politically Exposed Person (PEP) ◯ Not Applicable

H. CONSOLIDATION OF FOLIOS [Refer (ii) from instructions overleaf)

I / We wish to consolidate all my / our investments under specified folios into one folio.
Folios to be consolidated (i.e. source folios):

1 | 2

3 | 4

5 | 6

NOTE: For additional folios, if any, use a separate form.

Target folio~ **(MANDATORY)**:

1. This folio has to be one of the source folios.
2. All joint holders should sign, even in case of 'Anyone or Survivor'

I. CHANGE OF IDCW OPTION

SCHEME NAME	OPTIONS
1.	☐ PAYOUT TO REINVESTMENT ☐ REINVESTMENT TO PAYOUT
2.	☐ PAYOUT TO REINVESTMENT ☐ REINVESTMENT TO PAYOUT
3.	☐ PAYOUT TO REINVESTMENT ☐ REINVESTMENT TO PAYOUT

J. REVALIDATION OF IDCW / REDEMPTION CHEQUE

I/We are in receipt of Redemption / Dividend warrant as below:

Cheque No. | Cheque Date D D / M M / Y Y Y Y | Cheque Amount

I/We are herewith enclosing the warrant as I/We could not deposit the same due to the below reason:

☐ Bank account number incorrectly mentioned ☐ Bank account mentioned was inactive/closed

☐ Warrant validity period has expired ☐ Others

I/We request you to:

☐ Reissue the said warrant after necessary revalidatiion without change in bank Mandate.

☐ * Upload the above new bank details and make payment in new bank through NEFT/RTGS.

(* NOTE: Above Point No. C should be mandatorily filled to facilitate NEFT/RTGS)

K. UNITHOLDER(S) SIGNATURE(S)

Note: (1) To be signed by all unitholders, if mode of holding is joint. (2) Alterations in the form, if any should be countersigned.

Declaration: "I/We hereby declare and confirm that the information provided in this form is true and correct and is duly supported by the document proof enclosed alongwith the form. I/We further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the AMC/Mutual Fund shall be entitled to reject the form. The AMC/Mutual Fund shall not be liable and/or responsible for any loss or damage that I/we may incur if the Form is rejected."

First / Sole Unitholder / Guardian	Second Unitholder	Third Unitholder

INSTRUCTIONS

i. Change of Bank Mandate

Documents required for change of bank - Proof of the existing bank accounts in the folio and proof of the new bank account mentioned in this application form should be submitted. Any one of the following documents are valid supporting documents for a bank account.

- A cancelled original cheque leaf (where the account number and first Unitholder name is printed on the face of the cheque). Unitholders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse.
- A letter from the bank on its letterhead certifying that the Unitholder maintains/maintained an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch and IFSC Code (where available).The letter should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- A copy of the bank passbook or a statement of bank account with current entries not older than 3 months having the name, address and account number of the account holder.

The copy should be certified by the bank manager with his/her full signature, name, designation, employee code, bank seal and contact number. Unitholders may also bring a copy of any of the documents mentioned above along with the original documents to the ISCs/Official Points of Acceptance of Baroda BNP Paribas Mutual Fund ("Fund"). The copy of such documents will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the Unitholder after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his/her full signature, name, designation, employee code, bank seal and contact number.

The new bank account mentioned in this form will be registered as the default account.

In case of investors not having the old bank proof, IPV (In Person Verification) is the only option that can be done.

AMC / Mutual Fund reserves the right to carry out additional/alternate validations to ascertain authenticity of change of bank mandate request.

In the event of a request for change in bank account mandate being invalid / incomplete / not satisfactory in any respect /or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / dividend payments, if any, will be processed and paid to the last registered bank account information. AMC/Mutual Fund will not be liable in case the redemption / dividend proceeds are credited to existing bank mandate account upon rejection of change of bank mandate request.

Any valid request for bank account mandate will be considered at folio level. Unitholders may note that it is desirable to submit their requests for change in bank details atleast 10 (ten) days prior to date of redemption/dividend payment, if any, and ensure that the request for change in bank mandate has been processed before submitting the redemption request. If change in bank mandate has not been processed, payment will be made in the existing bank account registered in the folio. Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption/dividend proceeds.

Change of Bank Request cannot be combined with any other Financial Transaction till the cooling period of 10 days.

Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption /dividend proceeds.

ii. Instructions for Consolidation of Folio

- Folios can be consolidated only if names of the all unit holders (and the pattern of holding),tax status, nomination and mode of operation (single/joint/either or survivor) are same in all source folios.
- All joint holders must sign on consolidation requests irrespective of the mode of operation (single/joint/either or survivor) are same in all source folios.
- Folios once consolidated cannot be separated.

iii. In case there is any changes in your KYC information, please update the same by using the prescribed 'KYC Change Request Form' available on our website www.barodabnpparibasmf.in under download section, and submit the same at the point of service of any KYC Registration Agency.

iv. Date of Birth updation: please provide self-attested PAN, Driving License, Passport and birth certificate.

v. In case of Updation of PAN self-attested copy of the PAN Card needs to be submitted.

vi. In case of Updation of KYC copy of the KYC acknowledgment issued by CVL / KRA needs to be submitted.In case of Change of Status from Resident Indian to Non-Resident Indian or Vice Versa if there is change in Bank account type and all other details remain same, then unit holder need to submit banker letter confirming the account details of the existing bank of the unit holder, reflecting the change in his status from resident to non- resident or vice versa.

Note: For change of status from RI to NRI, change of bank account type from Savings/Current/NRO to NRE will not be accepted

vii. In case the investor wishes to change the Income Distribution cum capital withdrawal Option for multiple schemes in the folio then separate NCT forms need to be filled for each scheme.