


## KEY INFORMATION MEMORANDUM

### BARODA BNP PARIBAS NIFTY BANK ETF

(An open-ended scheme replicating / tracking the Nifty Bank Total Returns Index)

This product is suitable for investors who are seeking*:	Riskometer for the Scheme^^	Benchmark Risk-o-meter^
<ul style="list-style-type: none"> <li>• Long term capital appreciation</li> <li>• An exchange traded fund that aims to provide returns that closely correspond to the returns provided by Nifty Bank Index, subject to tracking error</li> </ul>	 <p>Investors understand that their principal will be at Very HIGH risk</p>	 <p>Benchmark Risk-o-meter is at <b>VERY HIGH RISK</b> Benchmark i.e. Nifty Bank TRI</p>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

^^basis scheme portfolio as on October 31, 2025

^ basis Index Constituents as on October 31, 2025

#### Continuous Offer of Units in Creation Unit Size at Intra-day NAV based prices

The units of the Scheme are listed on the National Stock Exchange of India Ltd. (NSE) and BSE Limited (BSE). All investors including Market Makers and Large Investors can subscribe (buy)/redeem (sell) units on a continuous basis on the NSE/BSE on which the Units are listed during the trading hours on all the trading days. In addition, Market Makers and Large Investors can directly subscribe to/redeem units of the Scheme on all Business Days with the Fund in 'Creation Unit Size' at Intra-day NAV based prices on an ongoing basis.

Name of Mutual Fund (Mutual Fund)	Baroda BNP Paribas Mutual Fund (formerly known as Baroda Mutual Fund)
Name of Asset Management Company (AMC)	Baroda BNP Paribas Asset Management India Private Limited (formerly known as BNP Paribas Asset Management India Private Limited (CIN: U65991MH2003PTC142972)
Name of Trustee Company (Trustee)	Baroda BNP Paribas Trustee India Private Limited (formerly known as Baroda Trustee India Private Limited) (CIN: U74120MH2011PTC225365)
Addresses of the entities	201(A) 2nd Floor, A wing, Crescenzo, C-38 & 39, G Block, Bandra-Kurla Complex, Mumbai, Maharashtra, India - 400 051
Website of the entity	<a href="http://www.barodabnpparibasmf.in">www.barodabnpparibasmf.in</a>
Scheme Code	BBNP/O/O/EET/23/12/0042
NSE Symbol	INF251K01TL6 - BBNPNBETF
BSE Symbol	544196

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996 (SEBI (MF) Regulations), as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI,

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nor has SEBI certified the accuracy or adequacy of this KIM.

### NSE Disclaimer:

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/5614 dated October 27, 2023, permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

### BSE Disclaimer:

BSE Limited ("the Exchange") has given vide its letter dated November 06, 2023 permission to Baroda BNP Paribas Mutual Fund to use the Exchange's name in this SID as one of the Stock Exchanges on which this Mutual Fund's Unit are proposed to be listed. The Exchange has scrutinized this SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to Baroda BNP Paribas Mutual Fund. The Exchange does not in any manner:-

- Warrant, certify or endorse the correctness or completeness of any of the contents of the SID; or
- Warrant that this scheme's unit will be listed or will continue to be listed on the Exchange; or
- Take any responsibility for the financial or other soundness of this Mutual Fund, its promoter, its management or any scheme or project of this Mutual Fund;

and it should not for any reason be deemed or construed that this SID has been cleared or approved by the Exchange. Every person who desires to apply for or otherwise acquires any unit of BARODA BNP PARIBAS NIFTY BANK ETF of this Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/acquisition whether by reason of anything stated or omitted to be stated herein or for any other reason whatsoever.

The Scheme Information Document sets forth concisely the information about the scheme that a prospective investor ought to know before investing. Before investing, investors should also ascertain about any further changes to this Scheme Information Document after the date of this Document from the Mutual Fund / investor Service Centres / Website / Distributors or Brokers.

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This KIM is dated November 28, 2025

<b>Name of the Scheme</b>	Baroda BNP Paribas NIFTY Bank ETF												
<b>Type of the Scheme</b>	An open ended scheme replicating / tracking the Nifty Bank Total Returns Index												
<b>NSE Symbol</b>	INF251K01TL6 - BBNPNBETF												
<b>BSE Symbol</b>	544196												
<b>Scheme Code</b>	BBNP/O/O/EET/23/12/0042												
<b>'Category' as per SEBI Master circular dt. July 27, 2024</b>	Other schemes - Exchange Traded Fund												
<b>Investment objective</b>	The investment objective of the scheme is to provide investment returns closely corresponding to the total returns of the securities as represented by the Nifty Bank Total Returns Index before expenses, subject to tracking errors, fees and expenses. However, there is no assurance that the objective of the Scheme will be realized, and the Scheme does not assure or guarantee any returns.												
<b>Asset Allocation Pattern of the Scheme</b>	<p>The net assets of the Scheme will be invested primarily in equity and equity related securities. The Scheme may invest its net assets in debt and money market instruments, to manage its liquidity requirements. Under normal circumstances, the asset allocation under the Scheme would be as follows:</p> <table border="1"> <thead> <tr> <th rowspan="2">Instruments</th> <th colspan="2">Indicative allocations (% of total assets)</th> </tr> <tr> <th>Minimum</th> <th>Maximum</th> </tr> </thead> <tbody> <tr> <td>Equity and Equity related securities of companies constituting Nifty Bank Index</td> <td>95</td> <td>100</td> </tr> <tr> <td>Money Market Instruments &amp; Units of Liquid scheme &amp; Cash &amp; Cash equivalents.*</td> <td>0</td> <td>5</td> </tr> </tbody> </table> <p>*Money Market Instruments will include treasury bills and government securities having a residual maturity upto one year, Tri-Party Repos, Repo in government securities and treasury bills and any other like instruments as specified by the Reserve Bank of India from time to time.</p> <p>During normal circumstances, the Scheme's exposure to debt and money market instruments will be in line with the asset allocation table. However, in case of addition/deletion of instruments in the Scheme portfolio, the reinvestment will be in line with the index methodology. The cumulative gross exposure through Equity, debt and derivatives money market instruments will not exceed 100% of the net assets of the scheme.</p> <p>The scheme may take exposure to derivative instruments on underlying index (stock/ index futures) up to 20% of the Net Assets. Derivatives shall mean derivatives instruments as permitted by SEBI, including derivative exposure in accordance with SEBI Master Circular dated June 27, 2024 and such other amendments issued by SEBI from time to time. The Scheme may take an exposure to equity derivatives of constituents of the underlying Basket when securities of the Basket are unavailable, insufficient or for rebalancing at the time of change in Basket or in case of corporate actions, for a short period of time, subject to derivative limits. Such exposure to derivatives will be rebalanced within seven calendar days. The Scheme may use derivative instruments such as stock/index futures and stock/index options contracts, swap agreements or any other derivative instruments that are permissible or may be permissible in future under applicable regulations and such investments shall be in accordance with the investment objective of the Scheme.</p> <p>The scheme shall also undertake stock lending for up to 20% of its Net Assets. The same shall be in accordance with para 12.11 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024.</p> <p>The Scheme will not invest in Foreign Securities. The Scheme will not indulge in short selling. The</p>		Instruments	Indicative allocations (% of total assets)		Minimum	Maximum	Equity and Equity related securities of companies constituting Nifty Bank Index	95	100	Money Market Instruments & Units of Liquid scheme & Cash & Cash equivalents.*	0	5
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	Minimum	Maximum											
Equity and Equity related securities of companies constituting Nifty Bank Index	95	100											
Money Market Instruments & Units of Liquid scheme & Cash & Cash equivalents.*	0	5											

scheme shall not participate in reverse repurchase agreements in corporate debt securities, Credit Default Swaps (CDS) for Corporate Bonds, Structured Obligation and Credit Enhancement, debt derivatives, securitised debt, investment in debt instruments with special features (AT1 and AT2 bonds) and investment in REITs & InVITs.

The Scheme may enter into repos/reverse repos as may be permitted by RBI other than repo in corporate debt securities. From time to time, the Scheme may hold cash. A part of the net assets may be invested in Tri-Party repo or repo or in an alternative investment as may be provided by RBI. However, with reference to SEBI letter No. SEBI/HO/IMD-II/DOF3/OW/P/2021/31487/1 dated November 03, 2021, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash equivalent shall consist of following securities having residual maturity of less than 91 days:

1. Government securities
2. T- Bills and
3. Repo on Government Securities

It may be noted that AMC has to adhere to the asset allocation pattern indicated in the Scheme Information Document under normal circumstances.

According to para 12.16 of SEBI Master circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, pending deployment of funds of the Scheme in securities in terms of investment objective of the Scheme, the Mutual Fund may invest the funds of the Scheme in short term deposits of scheduled commercial banks subject to restrictions laid down under the SEBI Regulations from time to time.

#### **Portfolio Rebalancing Strategy in case of passive deviation:**

- (a) The Scheme shall seek to replicate the index completely at all times.
- (b) In the event of index reconstitution and rebalance, the scheme shall complete the rebalance of its portfolio within 7 calendar days from the date of occurrence of deviation.

In case of change in constituents of the index due to periodic review, the portfolio of the scheme shall be rebalanced within 7 calendar days. Any transactions undertaken in the scheme portfolio in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time. At all times the AMC shall ensure that the portfolio will adhere to the overall investment objective of the Scheme.

In the event of involuntary corporate action, the Scheme shall dispose the security not forming part of the underlying index within 7 Days from the date of allotment/ listing, whichever is later.

#### **Portfolio rebalancing under defensive consideration**

As per para 3.6.7.1 of SEBI Master Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, the asset allocation pattern indicated above may change for a short term period on defensive considerations, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be rebalanced within 7 calendar days from the date of deviation and further action may be taken as specified under SEBI Circulars/ AMFI guidelines issued from time to time.

#### **Tracking Error**

Tracking error is defined as the standard deviation of the difference between the daily returns of the Underlying index and NAV of the scheme. The scheme's returns may deviate from the underlying benchmark index for the following reasons:

1. Fees & expenditure incurred by the scheme.
2. Cash held by the scheme due to subscriptions or to meet redemptions, expenses etc.
3. Corporate Actions
4. Halting of trading in underlying securities by exchange
5. Methodology of calculation of settlement price of the index. The benchmark or underlying

	<p>index reflects the Volume Weighted Average Price (VWAP) of securities in the last half hour. However the scheme may buy and sell at different points in time during the trading session at the then prevailing prices which may not correspond to the closing prices on the exchange.</p> <ol style="list-style-type: none"> <li>6. Inability to acquire the securities due to various reasons like circuit filters, lack of liquidity etc.</li> <li>7. Delay in replicating the portfolio during times of high volatility and reconstitution/rebalancing of index.</li> <li>8. Rounding off securities for buying or selling as compared to the underlying index.</li> </ol> <p>The AMC and fund manager would monitor the tracking error of the scheme on an ongoing basis and would seek to keep tracking error as low as possible. Under normal circumstances and as per SEBI regulation, it would not cross 2% per annum. However, in case of various market events beyond the control of AMC or fund manager like dividend issuance by constituent members, rights issuance by constituent members, market volatility during reconstitution and rebalancing of portfolio and any abnormal circumstances, tracking error may exceed the prescribed limits. . In case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMCs, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the AMC, if any. There can be no assurance or guarantee that the scheme will achieve any particular level of tracking error relative to performance of the Underlying Index</p> <p><b>Disclosure of Tracking Error &amp; Tracking Difference:</b>  The Scheme will disclose the tracking error based on past one year rolling data, on a daily basis, on the website of AMC and AMFI. In case the Scheme has been in existence for a period of less than one year, the annualized standard deviation shall be calculated based on available data.  Tracking Difference is the annualized difference of daily returns between the index and NAV of the scheme. It shall be disclosed on a monthly basis on website of AMC and AMFI for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units.</p> <p>For details please refer Annexure 1 of the SID</p>
<b>Investment Strategy</b>	<p>The scheme is an Exchange Traded Fund (ETF) tracking the Nifty Bank Total Returns Index. The Scheme will be passively managed employing an investment strategy that seeks to track/replicate the performance of the underlying index, subject to tracking error. The scheme seeks to achieve this goal by investing in the securities constituting the Nifty Bank Total Returns Index in the same proportion as in the Index.</p> <p>The scheme may take exposure to derivative instruments on underlying index (stock/ index futures) up to 20% of the Net Assets. Derivatives shall mean derivatives instruments as permitted by SEBI, including derivative exposure in accordance with SEBI Master Circular dated June 27, 2024 and such other amendments issued by SEBI from time to time. The Scheme may take an exposure to equity derivatives of constituents of the underlying Basket when securities of the Basket are unavailable, insufficient or for rebalancing at the time of change in Basket or in case of corporate actions, for a short period of time, subject to derivative limits. Such exposure to derivatives will be rebalanced within seven calendar days. The Scheme may use derivative instruments such as stock/index futures and stock/index options contracts, swap agreements or any other derivative instruments that are permissible or may be permissible in future under applicable regulations and such investments shall be in accordance with the investment objective of the Scheme. Derivative limit subject to limit of 20% of net assets.</p>
<b>Risk Profile of the Scheme</b>	<p>Mutual Fund units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme Specific Risk Factors are summarized as follows:</p> <p><b>Scheme Specific Risk Factors</b></p> <p>The scheme tracks benchmark index which may comprise of large Indian banking stocks. The scheme being passively managed invests in stocks of the underlying index and will therefore be subject to the risks associated with concentration of investments in a particular company/sector. The weightage of each stock is capped at the time of rebalancing of index, which may help in limiting concentration risk. In addition, the scheme may be subject to following sector specific risks including but not limited to:</p> <ul style="list-style-type: none"> <li>• The performance of the banking sector has a direct correlation to the performance of the</li> </ul>

economy. The sector is vulnerable to the adverse impacts on domestic as well as the global economy. Events such as recession, war, monsoon, political upturn, etc. may adversely affect the banks.

- The sector is highly regulated in terms of capital and liquidity requirements, recognition and provisioning requirement for advances, valuation of investments, etc. Hence, changes in such requirements may adversely affect performance of banks.
- Changes in Government/Reserve Bank of India policy/regulation/reforms etc. affecting banking sector may have a significant bearing on performance of the banks.
- Macroeconomic factors like changes in interest rate, inflation rate, etc. can have direct impact on the performance of banks or the companies which have been provided funding by banks.
- The volatility and/or performance of the said sector and/or of the companies belonging to this sector may have a material adverse bearing on the performance of the Scheme

**Right to Limit Redemptions:** The Trustee, in the general interest of the Unit holders of the Scheme offered in this Document and keeping in view the unforeseen circumstances / unusual market conditions, may limit the total number of Units which can be redeemed on any Business Day. The same shall be in accordance with para 1.12 of SEBI Master circular dated June 27, 2024.

**Risks associated with investing in Equities:**

- Trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments. Different segments of the Indian financial markets have different settlement periods, and such periods may be extended significantly by unforeseen circumstances. The inability of the Schemes to make intended securities purchases due to settlement problems could cause the Schemes to miss certain investment opportunities.
- The value of the Schemes' investments in Equity & equity related instruments may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or any other appropriate authority policies and other political and economic developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the Units of the Schemes may fluctuate and can go up or down.
- The Mutual Fund may not be able to sell securities, which can lead to temporary illiquidity. There are risks inherent in securities lending, including the risk of failure of the other party, in this case the approved intermediary to comply with the terms of the agreement. Such failure can result in a possible loss of rights to be collateral, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of corporate benefits accruing thereon.
- Investors may note that IDCW is due only when declared and there is no assurance that a company (even though it may have a track record of payment of IDCW in the past) may continue paying IDCW in future. As such, the schemes are vulnerable to instances where investments in securities may not earn IDCW or where lesser IDCW is declared by a company in subsequent years in which investments are made by schemes. As the profitability of companies are likely to vary and have a material bearing on their ability to declare and pay IDCW, the performance of the schemes may be adversely affected due to such factors.
- While securities that are listed on the stock exchange carry lower liquidity risk, the ability to sell these investments is limited by the overall trading volume on the stock exchanges. The liquidity of the Schemes' investments is inherently restricted by trading volumes in the securities in which it invests.
- Fund manager endeavors to generate returns based on certain past statistical trend. The performance of the schemes may get affected if there is a change in the said trend. There can be no assurance that such historical trends will continue.

- ◆ The schemes are also vulnerable to movements in the prices of securities invested by the schemes which again could have a material bearing on the overall returns from the schemes. These stocks, at times, may be relatively less liquid as compared to growth stocks.
- ◆ Changes in Government policy in general and changes in tax benefits applicable to mutual funds may impact the returns to investors in the Schemes or business prospects of the Company in any particular sector.
- ◆ The Scheme may find itself invested in unlisted securities due to external events or corporate actions. This may increase the risk of the portfolio as these unlisted securities are inherently illiquid in nature and carry larger liquidity risk as compared to the listed securities or those that offer other exit options to the investors.

**Risks associated with ETF:**

- Investments in the securities constituting the Index are subject to price fluctuation on daily basis. The volatility in the value of those securities is due to various micro and macroeconomic factors like economic and political developments, changes in interest rates, etc. affecting the securities markets. This may have adverse impact on the NAV of Scheme.
- As the units of the ETF are listed on the Stock Exchange, trading in the units may be halted due to market conditions or for reasons that in the view of the Exchange Authorities or Regulator. There could also be trading halts caused by extraordinary market volatility and pursuant to Exchange Authorities and Regulator circuit filter rules and the ETF's would not be able to buy/sell securities in case of subscriptions/redemptions, which may impact the Scheme. Further, there can be no assurance that the requirements of the exchange necessary to maintain the listing of the ETF's will continue to be met or will remain unchanged.
- Listing and trading of the ETF's are undertaken on the Stock Exchanges within the rules, regulation and policy of the Stock Exchange and Regulator. Any change in trading rules, regulation and policy by the regulatory authority would have a bearing on the trading of the units of the ETF's and its prices.
- The NAV of the ETF's and or Index funds reflect the valuation of its investment and any changes in market value of its investments would have a bearing on its NAV. When the units are traded on the Stock Exchange, the units of the ETF's may trade at prices which can be different from the NAV due to various factors like demand and supply for the units of the ETF's, perceived trends in the market outlook, etc.
- **Market Risk:** The ETF's and or Index funds NAV will react to stock market movements. The value of investments in the scheme may go down over a short or long period due to fluctuations in ETF's and or Index funds NAV in response to factors such as performance of companies whose stock comprises the underlying portfolio, economic and political developments, changes in government policies, changes in interest rates, inflation and other monetary factors causing movement in prices of underlying investments.
- **Index-Related Risk:** The ETF's and or Index funds invests in securities of the Nifty Bank Total Returns Index and in the same proportion as the securities have in the Index. Hence, the risk associated with the corresponding Index would be applicable to the ETF's and or index funds. The Index may have its own criteria and policy for inclusion/exclusion of securities from the Index, its maintenance thereof and effecting corporate actions. The ETF's and or Index funds would invest in the securities of the Index regardless of investment merit, research, without taking a view of the market and without adopting any defensive measures. The ETF's and or Index funds would not select securities in which it wants to invest but is guided by the Index. As such the ETF's and or Index funds is not actively managed but is passively managed. There is no guarantee that the ETF's and or Index funds will achieve a high degree of correlation to the Underlying Index and therefore achieve its investment objective.

- **Management Risk.** As the ETF's and or Index funds may not fully replicate the Underlying Index, it is subject to the risk that investment strategy may not produce the intended results.
- **Concentration Risk.** The ETF's and or Index funds may be susceptible to an increased risk of loss, including losses due to adverse occurrences affecting the ETF's and or index funds more than the market, to the extent that the investments are concentrated in the securities of a particular issuer or issuers, country, group of countries, region, market, industry, group of industries, sector or asset class.
- In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of the ETF to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities as in certain cases, settlement periods may be extended significantly by unforeseen circumstances. Similarly, the inability to sell securities held in the ETF portfolio may result, at times, in potential losses to the Scheme, and there can be a subsequent decline in the value of the securities held in the ETF.
- **Passive Investments:** The ETF's and or Index funds is not actively managed. Since the Scheme is linked to an index, it may be affected by a general decline in the securities constituting that index. The Scheme as per its investment objective invests in the securities of the underlying index regardless of their investment merit.
- **Equity Securities Risk:** Equity securities are subject to changes in value and their values may be more volatile than those of other asset classes.

**Risks associated with investing in fixed income securities:**

- **Credit and Counterparty risk:** Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security or honor its contractual obligations).
- **Counterparty risk** refers to the counterparty's inability to honor its commitments (payment, delivery, repayment, etc.) and to risk of default. This risk relates to the quality of the counterparty to which the scheme has exposures. Losses can occur in particular for the settlement/delivery of financial instruments or the conclusion of financial derivatives contracts.
- The value of a fixed income security will fluctuate depending upon the changes in the perceived level of credit and counterparty risk as well as any actual event of default. Changes in financial conditions of an issuer, changes in economic and political conditions in general, or changes in economic or and political conditions specific to an issuer, all of which are factors that may have an adverse impact on an issuer's credit quality and security value.
- **Liquidity Risk:** The liquidity of the scheme's investment is inherently restricted by trading volumes in the securities in which the scheme invests.  
 A lower level of liquidity affecting an individual security or an entire market at the same time, may have an adverse bearing on the value of the scheme's assets. More importantly, this may affect the Fund's ability to sell particular securities quickly enough to minimize impact cost, as and when necessary to meet requirements of liquidity or to sell securities in response to triggers such as a specific economic/corporate event.  
 Trading volumes, settlement periods and transfer procedures may restrict the liquidity of a few or all the investments and may affect the liquidity of the investments of the scheme.  
 The scheme may be unable to implement purchase or sale decisions when the markets turn illiquid, missing some investment opportunities or limiting ability to face redemptions. The lack of liquidity could also lead to the risk that the sale price of a security could be substantially lower than the fair value of the security.
- **Interest Rate Risk & Re-investment Risk:** The value of an investment may be affected by interest rate fluctuations. Interest rates may be influenced by several elements or events, such as monetary policy, the discount rate, inflation, etc. The value of debt and fixed income securities held by the Scheme generally will vary inversely with the changes in prevailing interest rates. In general, price of debt and fixed income securities go up when interest rates

fall, and vice versa. Securities of any issuer that has higher duration could be riskier in terms of price movements relative to those with lower duration. Thus, any impact of interest rate changes would be higher on securities with higher duration irrespective of the status of the issuer of the security. The investments made by the Scheme are subject to reinvestment risk. This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.

- **Sovereign risk:** The Central Government of India is the issuer of the local currency debt in India. The Government raises money to meet its capital and revenue expenditure by issuing debt or discounted securities. Since payment of interest and principal amount has a sovereign status implying least probability of a default, such securities are known as securities with sovereign credit. It also implies that the credit risk on such Government securities is even lower than that on non-government securities with "AAA" rating and hence yields on government securities are even lower than yields on non-government securities with "AAA" rating.
- **Concentration Risk:** The Scheme may pursue only a limited degree of diversification. It may invest in a limited number of securities or invest a greater proportion of assets in the securities of very few issuers (within the limits permitted by regulation) or be concentrated on a few market sectors as compared to a diversified scheme. The scheme is also expected to have higher market liquidity risk on account of concentration. This could have implications on the performance of the scheme. The scheme may be more sensitive to economic, business, political or other changes and this may lead to sizeable fluctuation in the Net Asset Value of the scheme.

#### **Risk Factors associated with Investments in Derivatives:**

The Scheme(s) may use various derivative instruments and techniques, permitted within SEBI (Mutual Funds) Regulations, 1996 from time to time including but not limited for portfolio balancing and hedging purpose, which may increase the volatility of Scheme's performance. Usage of derivatives will expose the Scheme(s) to certain risks inherent to such derivatives.

Derivative products are specialized instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.

The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. There is a possibility that a loss may be sustained by the Scheme(s) as a result of the failure of another party (usually referred to as the "counterparty") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, interest rates and indices. Even a small price movement in the underlying instrument could have a large impact on their value. This could increase the volatility of the Scheme's performance.

In case of hedge, it is possible that derivative positions may not be perfectly in line with the underlying assets they are hedging. As a consequence the derivative cannot be expected to perfectly hedge the risk of the underlying assets. This also increases the volatility of the Scheme's performance. Some of the risks inherent to derivatives investments include:

1. **Price Risk:** Despite the risk mitigation provided by various derivative instruments, there remains an inherent price risk which may result in losses exceeding actual underlying.
2. **Default Risk:** This is the risk that losses will be incurred due to default by counter party. This is also known as credit risk or counterparty risk.
3. **Basis Risk:** This risk arises when the derivative instrument used to hedge the underlying asset

does not match the movement of the underlying being hedged for e.g., mismatch between the maturity date of the futures and the actual selling date of the asset.

4. Limitations on upside: Derivatives when used as hedging tool can also limit the profits from a genuine investment transaction.

5. Liquidity risk: This risk pertains to how saleable a security is in the market. All securities/instruments irrespective of whether they are equity, bonds or derivatives may be exposed to liquidity risk (when the sellers outnumber buyers) which may impact returns while exiting opportunities.

6. Systematic Risk: The risk inherent in the capital market due to macro economic factors like Inflation, GDP, Global events

7. System Risk: The risk arising due to failure of operational processes followed by the exchanges and OTC participants for the derivatives trading.

#### Risks associated with investments in mutual fund units

To the extent of the investments made by the scheme in mutual funds units, the risks associated with investing in such funds like market risk, credit & default risk, liquidity risk, redemption risk including the possible loss of principal; etc. will exist.

#### Other Risks:

- **Risk associated with inflation:** Over time, yields of short-term investments may not keep pace with inflation, leading to a reduction in an investment's purchasing power.
- **Legal risk:** The scheme may be affected by the actions of government and regulatory bodies. Legislation could be imposed retrospectively or may be issued in the form of internal regulations which the public may not be aware of. Legislation (including legislation relating to tax) or regulation may be introduced which inhibits the scheme from pursuing their strategies or which renders an existing strategy less profitable than anticipated. Such actions may take any form, for example nationalization of any institution or restrictions on investment strategies in any given market sector or changing requirements and imposed without prior warning by any regulator.
- **Taxation risk:** The value of an investment may be affected by the application of tax laws, including withholding tax, or changes in government or economic or monetary policy from time to time. As such, no guarantee can be given that the financial objectives will actually be achieved. The tax information described in this Scheme Information Document (SID) is as available under the prevailing taxation laws. This could be changed at any moment by regulation. Further, there can be no guarantee that the tax position or the proposed tax position prevailing at the time of an investment in the scheme will endure indefinitely.
- **Valuation risk:** This risk relates to the fact that markets, in specific situations and due to lack of volumes of transactions, do not enable an accurate assessment of the fair value of invested assets. In such cases, valuation risk represents the possibility that, when a financial instrument matures or is sold in the market, the amount received is less than anticipated, incurring a loss to the portfolio and therefore impacting negatively the NAV of the scheme.
- **Operational Risk:** Operational risk addresses the risk of trading and back office or administration issues that may result in a loss to the Scheme. This could be the result of oversight, ineffective securities processing procedures, computer systems problems or human error. There could also be risk associated with grouping of orders. For instance, at the time of placing the trades, the fund manager shall group orders on behalf of all schemes managed by him, provided it is unlikely to be detrimental overall for any of the schemes whose orders have been included. However, such grouping may have a detrimental effect to the scheme compared to the execution of an individual order for the scheme.

**Risk factors associated with processing of transaction in case of investors investing in mutual fund units through Stock Exchange Mechanism:** The trading mechanism introduced by the stock exchange(s) is configured to accept and process transactions for mutual fund units in both Physical and Demat Form. The allotment and/or redemption of Units through NSE and/or BSE or any other

recognized stock exchange(s), on any Business Day will depend upon the modalities of processing viz. collection of application form, order processing / settlement, etc. upon which the Fund and the AMC have no control. Moreover, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by respective recognized stock exchange(s) upon which the Fund and the AMC have no control. Accordingly, there could be negative impacts to the investors such as delay or failure in allotment / redemption of Units. The Fund and the AMC are not responsible for the negative impacts.

**Tracking Error Risk:** Tracking error is defined as the standard deviation of the difference between the daily returns of the Underlying index and NAV of the scheme. The scheme's returns may deviate from the underlying benchmark index for the following reasons:

- Fees & expenditure incurred by the scheme.
- Cash held by the scheme due to subscriptions or to meet redemptions, expenses etc.
- Corporate Actions
- Halting of trading in underlying securities by exchange
- Methodology of calculation of settlement price of the index. The benchmark or underlying index reflects the Volume Weighted Average Price (VWAP) of securities in the last half hour. However, the scheme may buy and sell at different points in time during the trading session at the then prevailing prices which may not correspond to the closing prices on the exchange.
- Inability to acquire the securities due to various reasons like circuit filters, lack of liquidity etc.
- Delay in replicating the portfolio during times of high volatility and reconstitution/rebalancing of index.
- Rounding off securities for buying or selling as compared to the underlying index.

The AMC and fund manager would monitor the tracking error of the scheme on an ongoing basis and would seek to keep tracking error as low as possible. Under normal circumstances and as per SEBI regulation, it would not cross 2% per annum. However, in case of various market events beyond the control of AMC or fund manager like dividend issuance by constituent members, rights issuance by constituent members, market volatility during reconstitution and rebalancing of portfolio and any abnormal circumstances, tracking error may exceed the prescribed limits. There can be no assurance or guarantee that the scheme will achieve any particular level of tracking error relative to performance of the Underlying Index

**Tracking Difference:** The tracking difference i.e. the annualized difference of daily returns between the index and the NAV of the Scheme will be disclosed on the website of the AMC and AMFI, on a monthly basis, for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units.

**Risks associated with segregated portfolio:**

- Investor holding units of segregated portfolio may not able to liquidate their holding till the time recovery of money from the issuer.
- Security comprises of segregated portfolio may not realise any value.
- Listing of units of segregated portfolio in recognised stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower than the prevailing NAV.
- Trading in the units of segregated portfolio on the Exchange may be halted because of market conditions, including any halt in the operations of Depository Participants or for reasons that in view of the Exchange Authorities or SEBI, trading in the units is suspended and / or restricted. In addition, trading in units is subject to trading halts caused by extraordinary market volatility and pursuant to stock exchange rules of 'circuit filter'. There can be no assurance that the requirements of Stock Exchange necessary to maintain the listing of units of scheme will continue to be met or will remain unchanged.

**Passive Investments:**

The Scheme is not actively managed. Since the Scheme is linked to index, it may be affected by a general decline in the Indian markets relating to its underlying index. The Scheme as per its investment objective invests in Securities which are constituents of its underlying index regardless of

	<p>their investment merit. The AMC does not attempt to individually select stocks or to take defensive positions in declining markets.</p> <p><b>Investors in the Scheme are not being offered any guaranteed returns.</b></p> <p><b>For details on risk factors and risk mitigation/control measures, please refer SID.</b></p>
<b>Plans &amp; Options</b>	<p>Currently, there are no investment Plans/Options being offered under the Scheme. However, the Trustee reserves the right to introduce investment Plans/Options under the Scheme at a future date in accordance with SEBI (MF) Regulations.</p> <p>The Trustee also reserves the right to discontinue/withdraw any option/investment plan, if deemed fit.</p>
<b>Applicable NAV for subscription</b>	<p>Units of the Scheme will be allotted at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Additionally, the difference in the value of portfolio and cost of purchase/sale of Portfolio Deposit on the exchange for creation/redemption of the Units including the Cash Component and transaction handling charges, if any, will have to be borne by the Authorized Participant/Large Investor.</p> <p>The Units of the Scheme will be listed on the Capital Market Segment of the National Stock exchange of India Ltd. (NSE) and/ or BSE Limited (BSE) and/or on any other recognized Stock exchange(s) as may be decided by AMC from time to time. All investors including Authorized Participants and Large Investors can subscribe (buy) / redeem (sell) Units on a continuous basis on the NSE and/ or BSE on which the Units are listed during the trading hours on all the trading days. In addition, Authorized Participants and Large Investors can directly subscribe to/ redeem the Units on all Business Days with the Fund in 'Creation Unit Size' on an ongoing basis.</p> <p>The subscription/redemption of Units of the Scheme in Creation Unit Size will be allowed both by means of exchange of Portfolio Deposit and by Cash.</p> <p><b>ON THE EXCHANGE</b></p> <p>As Units of the Scheme are listed on the NSE and BSE, Investors can buy/sell Units on a continuous basis on the Stock Exchange(s) on which the Units are listed during the trading hours on all trading days like any other publicly traded stock at prices which may be close to the NAV of the Scheme. Subscriptions made through Stock Exchanges/demat mode will be made by specifying the number of Units to be subscribed and not the amount to be invested.</p> <p>The minimum number of Units that can be bought or sold through the Stock Exchange is 1 (one) Unit of the Scheme.</p> <p><b>DIRECTLY FROM THE FUND</b></p> <p>The Scheme offers for subscriptions (purchase)/redemptions (sale) only for Authorised Participants and Large Investors in 'Creation Unit Size' on all Business Days from the date of listing at NAV based prices.</p> <p>Pursuant to para 8.7 of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, transactions in units of the Scheme by Authorized Participants / Large Investors directly with the AMC, intra-day NAV, based on the executed price at which the securities representing the underlying index are purchased / sold shall be applicable. For large investors, any order placed for redemption or subscription directly with AMC must be greater than INR 25 crore and in multiples of creation unit size exceeding INR 25 crore.</p> <p>The AMC will appoint Authorised Participants to also provide for the liquidity in secondary market on an ongoing basis. The Authorised Participants would offer two-way quotes (buy and sell quotes) in the secondary market for ensuring liquidity in Units of the Scheme.</p>
<b>Applicable NAV for Redemption</b>	<p><b>1. For Redemption of units directly with the Mutual Fund: (Authorized Participants &amp; Large Investors)</b></p> <p>Mutual Fund will repurchase units from Authorized participants/Large Investors on any Business Day in Creation Unit size at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly</p>

	<p>received at AMC. However, transaction charges payable to Custodian/Depository Participants, and other incidental charges relating to conversion of units into basket of securities may be deducted from redemption proceeds.</p> <p>2. For Redemption of units directly with the Mutual Fund (other than Authorized Participants): Investors other than Authorized Participants and Large Investors can redeem units directly with the Fund for less than Creation Unit size at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer of units without any exit load if:</p> <p>a. Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or</p> <p>b. No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or</p> <p>c. Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days.</p> <p>In case of the above scenarios, applications received from investors for redemption up to 3.00 p.m. on any trading day, shall be processed by the AMC at the closing NAV of the day. Such instances shall be tracked by the AMC on an ongoing basis and in case any of the above mentioned scenario arises, the same shall be disclosed on the website of the Mutual Fund.</p> <p>3. For Sale through Stock Exchange(s): All categories of investors may sell the Units of the Scheme through the Stock Exchange(s) on which the units of the Scheme are listed, on any trading day in round lot of one (1) Unit and multiples thereof.</p> <p>Note: The transaction handling charges which include brokerage, Securities transaction tax, regulatory charges if any, depository participant charges, uploading charges and such other charges that the mutual fund may have to incur in the course of cash subscription/ redemption or accepting the portfolio deposit or for giving a portfolio of securities as consideration for a redemption request, shall be recoverable from the transacting Authorized Participant or Large Investor. As required under the Regulations, the Fund will ensure that the Redemption Price is not lower than 95% of the NAV applicable at that moment, provided that the difference between the Redemption Price and Purchase Price of the Units shall not exceed the permissible limit of 5% of the Purchase Price, as provided for under the Regulations.</p>
<b>Minimum amount for Purchase / Redemption/ Switches</b>	<p><b>ON THE EXCHANGE</b></p> <p>Investors can subscribe (buy) and redeem (sell) Units on a continuous basis on the NSE and BSE on which the Units are listed. On the Stock Exchange(s), the Units of the Scheme can be purchased/sold in minimum lot of 1 (one) Unit and in multiples thereof.</p> <p><b>DIRECTLY FROM THE FUND</b></p> <p>The Scheme offers for subscriptions/redemptions only for Authorized Participants and Large Investors in 'Creation Unit Size' on all Business Days at a price determined on the basis of approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Additionally, the difference in the value of portfolio and cost of purchase/sale of Portfolio Deposit on the Exchange for creation/redemption of scheme Units including the Cash Component and transaction handling charges, if any, will have to be borne by the Authorized Participant/Large Investor.</p> <p>The Fund creates/redeems Units of the Scheme in large size known as "Creation Unit Size". Each "Creation Unit" consists of 50,000 Units of the Scheme. The "Portfolio Deposit" which is a pre-defined basket of Securities that represent the underlying index and will be defined and announced by the Fund on daily basis and can change from time to time and a "Cash Component" which will be exchanged for 50,000 Units of the Scheme. During buying or selling, for a concerned transaction. The Portfolio Deposit and Cash Component for the Scheme may change from time to time due to change in NAV.</p>
<b>Despatch of Repurchase (Redemption) Request</b>	<p>The redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from the date of redemption or repurchase. For details refer para 14.1.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024</p>
<b>Benchmark (Total Return Index)</b>	<p><b>Name of benchmark:</b> Nifty Bank Total Returns Index  <b>Justification for use of benchmark:</b> The aforesaid benchmark is most suited for comparing the performance of the Scheme.</p>
<b>Distribution Policy</b>	<p>Not applicable.</p>

KEY INFORMATION MEMORANDUM

(i.e. Dividend Policy)				
Name of the Fund Manager	Mr. Neeraj Saxena (managing the Scheme since June 18, 2024 i.e 1.37 years)			
Name of the Trustee Company	Baroda BNP Paribas Trustee India Private Limited			
Performance of the scheme	Compounded Annualised Returns	Scheme Returns (%) Regular Plan	Scheme Returns (%) Direct Plan	Benchmark Returns^ (%)
	Returns for last 1 year	12.89	NA	13.13
	Returns for last 3 years	NA	NA	NA
	Returns for last 5 years	NA	NA	NA
	Returns since inception - Reg Plan	10.81	-	11.33
	Returns since inception - Direct Plan	-	NA	NA
<p>^Benchmark – Nifty Bank TRI; Inception Date: June 18, 2024</p> <p>Scheme has not completed 3 and 5 years, hence 3 and 5 years performance are not provided.</p> <p><b>Absolute Returns for each financial by means of a bar diagram has not been provided since the Scheme has not completed a year.</b></p>				
Additional Scheme Related Disclosures	<ul style="list-style-type: none"> <li>• Scheme's portfolio holdings (top 10 holdings) by issuer, fund allocation towards various sectors, Top 7 issuers, stocks, groups and sectors as a percentage of NAV, is available at <a href="https://www.barodabnpparibasmf.in/downloads/sid-related-disclosures">https://www.barodabnpparibasmf.in/downloads/sid-related-disclosures</a></li> <li>• Portfolio Turnover Ratio – 0.74%</li> </ul> <p>Aggregate investment in the Scheme - NA since ETFs are exempt from requirement of mandatory investment by Fund Manager or other Key personnel</p>			

Expenses of the Scheme	<p><b>a. Load</b></p> <p>Exit Load: Nil</p> <p>There shall be no exit load levied in case of switch investments:  i) between the plans (i.e. Regular and Direct Plans) and/or  ii) between the options (i.e. IDCW and Growth options), within the Scheme/Plan  No load will be charged on units issued upon re-investment of amount of distribution under same IDCW option and bonus units</p> <p>In accordance with the requirements specified by SEBI at para 10.4.1 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 no entry load will be charged for purchase/additional purchase/ switch-in accepted by the Fund.</p> <p>For any change in load structure, the AMC will issue an addendum and display it on the website/ISCs.  The AMC reserves the right to modify the load structure on a prospective basis.</p> <p><b>b. Recurring Expenses</b></p> <p>The AMC has estimated that upto 1.00% of daily net assets of the Scheme will be charged to the Scheme as expenses. For the actual current expenses being charged, the Investor should refer to the website of the AMC.</p> <p><b>Note:</b></p> <ul style="list-style-type: none"> <li>The total expenses of the Scheme including the Investment Management and Advisory Fee shall not exceed the limits stated in Regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.</li> <li>For the actual current expenses being charged, the investor should refer to the website of the mutual fund at the following link &lt;<a href="https://www.barodabnpparibasmf.in/downloads/total-expense-ratio-of-mutual-fund-schemes">https://www.barodabnpparibasmf.in/downloads/total-expense-ratio-of-mutual-fund-schemes</a>&gt;. Investors are requested to read section 'Annual Recurring Expenses' in the SID.</li> </ul> <p><b>Actual expenses for the financial year 2024-25:</b>  <b>Total recurring expense as a % to daily net assets :</b>  Regular Plan - 0.09%</p>
Tax treatment for the Investors (unitholders)	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his/her tax advisor.
Daily Net Asset Value (NAV) Publication	The AMC shall declare the Net Asset Value (NAV) of the scheme on every Business Day on AMFI's website (www.amfiindia.com) by 11 pm and also on its website (www.barodabnpparibasmf.in).
For Investor Grievances, please contact	<p>All investor grievance / complaints and related correspondence may be addressed to:  <b>Mr. Vivek Kudal</b>, Investor Relations Officer,  <b>Baroda BNP Paribas Asset Management India Private Limited</b>  201(A) 2nd Floor, A wing, Crescenzo, C-38 &amp; 39, G Block, Bandra-Kurla Complex, Mumbai, Maharashtra, India - 400 051 Phone: 1800-267-0189 (Monday to Saturday, 9 AM to 7 PM)  Email id: <a href="mailto:service@barodabnpparibasmf.in">service@barodabnpparibasmf.in</a></p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stock broker or the investor grievance cell of the respective stock exchange.  Investors also have the option to approach SEBI, by logging a complaint on SEBI's complaints redressal system (SCORES) (<a href="https://scores.sebi.gov.in/">https://scores.sebi.gov.in/</a>)</p>
Unitholders' Information	<p><b>Allotment Confirmation</b></p> <p><b>(a) Allotment:</b> The process of allotment of units will be completed within 5 (five) business days from the date of closure of the New Fund Offer Period.</p> <p><b>(b) Units to be held in Demat Mode:</b> Units of the scheme shall be in dematerialised form only , a demat statement shall be provided by the depository participant (DP) periodically, in such form and in such manner and at such time as provided in the agreement between investor and the DP.</p> <p><b>(c) Consolidated Account Statement (CAS)</b>  The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or</p>

SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form).

The CAS shall be generated on a monthly basis and shall be issued on or before 15th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)] and shall be issued on or before 21st of the immediately succeeding month.

Investors having MF investments and holding securities in Demat account shall receive a CAS containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode.

For further details, refer SAI.

**Unitholders are requested to ensure that their email id and mobile number are registered/updated with the AMC/RTA through our investor service centres in order to facilitate effective communication.**

**For more details, Investors are requested to refer the Scheme Information Document (SID).**

#### **Portfolio Disclosure**

1. AMC shall disclose portfolio (along with ISIN) as on the last day of the month and half-year (i.e. 31st March and on 30th September) for the Scheme on its website and on the website of AMFI within 10 days from the close of each month/ half-year respectively.
2. AMC shall send the monthly and half-yearly statement of scheme portfolio **via email** to those unitholders whose email addresses are registered with AMC/Mutual Fund within 10 days from the close of each month and half-year respectively. **The unit holders are requested to ensure that their email address is registered with AMC/Mutual Fund.**
3. AMC shall publish an advertisement, in the all India edition of at least two daily newspapers, one each in English and Hindi, every half-year disclosing the hosting of the half-yearly statement of its schemes portfolio on its website and on the website of AMFI and the modes such as telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the half-yearly statement of its schemes portfolio.
4. Further, AMC shall provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder.
5. Unitholders' can obtain the scheme's latest portfolio holding in a user-friendly and downloadable spreadsheet format at the following link <https://www.barodabnpparibasmf.in/downloads/monthly-portfolio-scheme>

#### **Half Yearly Unaudited Financial Results Disclosure:**

AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website ([www.barodabnpparibasmf.in](http://www.barodabnpparibasmf.in)). Further, the AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in atleast one national English daily newspaper and a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

Necessary link for the Half Yearly Unaudited Financial Results Disclosure shall also be provided on the AMFI website ([www.amfiindia.com](http://www.amfiindia.com)).

#### **Annual Report or abridged summary thereof:**

Scheme wise annual report or an abridged summary thereof shall be provided to all unit holders within four months from the date of closure of the relevant accounts year i.e. 31st March each year.

**For more details, Investors are requested to refer the Scheme Information Document (SID) on the website.**

Notwithstanding anything contained in the Key Information Memorandum, the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Further, investors may ascertain about any further changes from the Mutual Fund/Investor Service Centres / Distributors or Brokers.

# INSTRUCTIONS

## 1. GENERAL INFORMATION

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme.
2. Applications from U.S. Person and residents of Canada, except Non-Resident Indians (NRI)/ Persons of Indian Origin (PIO) **will not be accepted**. NRI/PIO may invest in schemes of the Fund, when physically present in India, as lumpsum subscriptions / switch requests, only through physical mode and upon submission of requisite declaration and documents, on such terms as may be prescribed by the AMC and subject to compliance with applicable laws. Further applications shall not be accepted from any other restricted jurisdiction Financial Action Task Force (FATF) declared Non Compliant Countries or Territories (NCCTs) will not be accepted.
3. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Common Application Form and tendering the payment.
4. Common Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
5. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.
6. If an application for purchase, switch, SIP or STP is received from or under the ARN of a non-empaneled Distributor, such a transaction shall be processed under the Direct Plan.

## 2. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations exactly as it appears in your PAN.
2. Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Name, Email and Mobile No. of the Contact Person should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karla should sign on behalf of the H.U.F. In case the applicants are more than one, all the applicants should sign the application form, irrespective of mode of holding.
5. The designated Investor Service Center/ Collection Center will affix time stamp/manual stamp and return the acknowledgment slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application. Please refer to the checklist provided at the end of the Common Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
7. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
8. Direct application- Investors are requested to mention the correct distributor Code in the Application Form. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the column mentioned Name and Distributor Code, in all such cases where applications are not routed through any distributor/ agent/broker. In cases where unit holder uses a pre-printed Broker Code, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column and it should also be counter signed by the First unit holder.
9. If the investor does not specify any plan or distributor code in the application form or in case of any ambiguity of these fields, the Direct Plan shall be considered as the default plan.
10. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
11. Some additional details are required for validating your identity for certain transactions/ Communications. Hence please fill the parent's name in case of first applicant and date of birth of all unit holders.
12. Please provide email ID & Mobile Number, as this will help us send investment / product related communication and resolve any queries more promptly.
13. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all IDCW/redemption will be paid to the first named holder.

## 3. EMAIL COMMUNICATION

For those unit holders who have provided an email address, the AMC will send the communication by email. Unit holders who receive email statements may download the documents after receiving email from the Mutual Fund. In case the Unit holder experiences any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. Failure to inform the Mutual Fund of such difficulty within 24 hours after receiving the email will serve as a confirmation regarding the acceptance by the Unitholder of the account statement.

It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. For ease of communication, the first applicant's own email ID

and mobile number should be provided. Subsequent Account Statements/Newsletters /Annual Reports / Other statutory information/Disclosures (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve your queries more promptly. Unitholders who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Service Center. MF/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. In case the Unit holder experiences any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same.

## 4. BANK ACCOUNT DETAILS

It is mandatory to attach cancelled original cheque / self certified copy of blank cheque / self certified Bank Statement/ first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) as an incremental additional document in case of: a. Registration of the investor's Bank Mandate at the time of investment b. Subsequent change in the investor's Bank Mandate.

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/ Adding Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

## 5. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN) (except MICRO SIP Investments) and submit self certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. Central Board of Direct Taxes vide its gazette notification G.S.R 112 (E) dated February 12, 2020 inserted rule 114AAA in the Income Tax Rule, which made Aadhar linking mandatory with PAN for all PAN holders on or before September 30, 2021 or such extended timeline as may be prescribed in this regard, post which if PAN is not linked with Aadhar, then PAN of such person shall become inoperative immediately.

## 6. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected the default option/ Sub option as prescribed in SID will be applicable.

Investors have the option to sweep their IDCW in any other Open-ended Scheme of the Fund at the applicable NAV based prices, please refer SID/ SAI for further information. If the Scheme name on the application form and on the payment instrument are different, the application will be processed and units allotted at applicable NAV of the Scheme mentioned in the application/ transaction slip duly signed by investor(s).

## 7. IN CASE MINOR APPLICANT

### A. On behalf of Minor's accounts:

- a. The Minor shall be the first and sole holder in the account. As per paragraph 17.6 of SEBI master circular for Mutual Funds dated June 27, 2024, payments can be accepted from the bank account of the Minor, Parent (Guardian in the folio) or Legal Guardian, or from a joint Account of the Minor with the Natural / Legal Guardian.
- b. No joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- c. Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- d. Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- e. A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photo copy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate/ mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- f. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- g. If the mandatory details and/or documents are not provided, the application is liable to be rejected.

### B. Minor attaining majority (MAM)

- a. In case of a minor investor attaining the age of majority (i.e. completes 18 years of age), the AMC / Registrar will send 30 days advance notice advising the guardian and minor to submit an application along with prescribed documents for changing the status in the Fund's records from 'Minor' to 'Major'.

List of documents required for minor attaining major are duly filled MAM form, copy of PAN Card of the applicant, KYC Acknowledgment or a duly completed KYC form, a cancelled cheque leaf with the applicant's name pre-printed or the applicant's latest Bank Statement/Passbook and Nomination Form.

- b. The guardian shall not be allowed to undertake any financial or non-financial transactions from the date of the minor attaining majority. Accordingly all existing standing instructions like SIP, SWP & STP, if registered for a period beyond the date on which the minor attains majority, will cease to be executed from the date of the minor attaining majority.

## INSTRUCTIONS (Contd...)

The unitholder (erstwhile minor) will need to submit a fresh SIP. STP. SWP mandate in the prescribed form while applying for change in status from minor to major, in order to continue the SIP, STP, SWP.

The minor's account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no further customer-initiated transactions shall be permitted till the the status is changed from minor to major.

c. Before submitting the MAM application form for change in status from Minor to Major, the unitholder should -

- i. Apply for PAN & obtain a PAN card;
- ii. Complete the KYC process; and
- iii. Change his/her status in his/her existing bank account from Minor to Major OR open a new bank account immediately upon becoming a major and procure a new cheque book with his/her name pre-printed on the cheque.

Only after fulfilling the above steps, he/she should submit the prescribed MAM form duly completed to the AMC/ Registrar along with the requisite supporting documents.

### C. Change in Guardian:

In case there is a change in guardian of the minor, the new guardian must be either a natural guardian (mother/father) or court appointed guardian and such guardian will have to provide valid prescribed document/s prior to registering the guardianship including KYC related processes and bank attestation of his/her signature from the Branch Manager of the bank with whom his/her name is registered as the guardian of the minor.

Prescribed form pertaining to Minors DOB and details of Guardian, change in Guardian of Minor, change in status from minor to major and Bank attestation of account details and account holder signature is available on our website [www.barodabnpparibasmf.in](http://www.barodabnpparibasmf.in)

### 8. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Baroda BNP Paribas Mutual Fund shall not accept applications for subscriptions with third party payment instruments. For further information please refer SAI.

2. Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/ Kfin ISC's or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account

3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.

4. Payment through Stock invest, outstation cheques and cash will not be accepted.

5. The cheque should be drawn in favor of Scheme and should be crossed 'Account Payee Only'.

6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.

7. Single cheque for investments in multiple Schemes and multiple cheques for investments in Single Scheme will not be accepted.

8. In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest AMC/ Kfin ISC for the Bank Account Number to which the purchase/ additional purchase amount is to be credited. The bank acknowledgment copy has to be submitted along with purchase application.

9. Guidelines specific to NRI/ FII:

Repatriation basis: Payments by NRIs/FLIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.

Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

### 9. PAYMENT OF REDEMPTION / IDCW PAYOUT

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank,branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of IDCW PAYOUTS/ redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

a. The 11 digit IFSC Code b. The 9-digit MICR number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification) Based on the above information AMC will enable secure transfer of your redemption and IDCW PAYOUTS via one of the various mode of transfers RTGS/NEFT/Direct Credit mode that are available in the banking system.

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available.

If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible. For validation of IFSC/MICR code, investor is required to attach the cancelled cheque/copy of cheque ( PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the fund will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

Amounts can be distributed under the IDCW option out of investors capital (equalization reserve), which is part of the sale price that represents realized gains. However, investors are requested to note that the distribution of the amount under the IDCW option is not guaranteed and subject to the availability of distributable surplus.

### 10. NOMINATION DETAILS

Applicants applying for Units singly/ jointly can make a nomination at the time of initial investment or during subsequent investments.

1. The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a

religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, etc. Nomination is not allowed in a folio held on behalf of a minor. All holders will have to sign request for nomination or cancellation of nomination, even if the mode of holding is not joint. Nomination cannot be signed by Power of Attorney (POA) holders.

2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

3. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.

4. Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.

5. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.

6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.

7. Nomination shall maintained at the folio / account level and shall be applicable for all Schemes in the folio / account.

8. A Nominee cannot be a resident of US & Canada.

9. The applicant who does not wish to nominate must select "**Wish to Opt-Out of Nomination**", failing which the form shall be treated as incomplete and may be rejected at the discretion of the AMC/Mutual Fund.

10. Nomination is mandatory for new folios/accounts opened by individuals no new folios/accounts for individuals in shall be opened without nomination or investor declaration to "Wish to Opt-Out of Nomination"

### 11. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC) ACCORDING TO SEBI GUIDELINES UNDER 'THE PREVENTION OF MONEY LAUNDERING ACT, 2002'

Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website [www.barodabnpparibasmf.in](http://www.barodabnpparibasmf.in)

The Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the investors on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. KFin Technologies Limited ("Kfin") may also undertake the KYC of the investors on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a communication to the investor within SEBI stipulated timelines, confirming the details thereof.

Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary but can submit the letter/acknowledgment issued by the KRA.

It is mandatory for intermediaries including mutual funds to carry out IPV of its new investors. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. Baroda BNP Paribas Asset Management India Private Limited and NISM/AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for mutual fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

Existing KYC compliant investors of the Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYG requirements including IPV as mandated by SEBI.

Application Form not accompanied by KYC Application Form or letter/acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the investors/ application/reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/ PMLA Regulation from time to time.

### 12. PURCHASE/REDEMPTION OF UNITS THROUGH STOCK EXCHANGE INFRASTRUCTURE

The investors may subscribe to the Units in the "Growth" option and "IDCW" option of the Scheme through Mutual Fund Service System ("MFSS") platform of National Stock Exchange of India Limited ("NSE"), "BSESTAR MF" platform of Bombay Stock Exchange of India Limited ("BSE") or any such other exchange providing Mutual Fund subscription facility, as and when units are available for transactions on such exchanges.

Please refer Scheme Information Document(s) of the Scheme(s) for further details.

### 13. LEGAL ENTITY IDENTIFIER

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crores and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from





**5. JOINT APPLICANTS (IF ANY) DETAILS** Mode of Holding (Please tick ✓)  Joint  Anyone or Survivor (Default)

Name of 2nd Applicant (As per PAN) (Refer Instructions) \_\_\_\_\_ Date of Birth (Mandatory) \_\_\_\_\_  
 PAN (2nd Applicant) \_\_\_\_\_ CKYC - KIN \_\_\_\_\_  
 a. Relationship with 1st Holder (Please tick ✓)  Spouse  Father  Mother  Daughter  Son  Others (Please specify) \_\_\_\_\_  
 b. Mobile \_\_\_\_\_ Tel. STD Code \_\_\_\_\_  
 c. Email ID \_\_\_\_\_ (CAPITAL Letters Only)  
 d. Email ID belongs to (Please tick ✓)  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian in case of minor  Others \_\_\_\_\_  
 e. Mobile No. belongs to (Please tick ✓)  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian in case of minor  Others \_\_\_\_\_  
 f. Occupation Details (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others (Please specify) \_\_\_\_\_  
 g. Status (Please tick ✓)  Resident Individual  NRI  
 h. Gross Annual Income (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore  
 i. Politically Exposed Person (PEP) Status : (Please tick ✓)  Not Applicable  I am Politically Exposed Person  I am Related to Politically Exposed Person

Name of 3rd Applicant (As per PAN) (Refer Instructions) \_\_\_\_\_ Date of Birth (Mandatory) \_\_\_\_\_  
 PAN (3rd Applicant) \_\_\_\_\_ CKYC - KIN \_\_\_\_\_  
 a. Relationship with 1st Holder (Please tick ✓)  Spouse  Father  Mother  Daughter  Son  Others (Please specify) \_\_\_\_\_  
 b. Mobile \_\_\_\_\_ Tel. STD Code \_\_\_\_\_  
 c. Email ID \_\_\_\_\_ (CAPITAL Letters Only)  
 d. Email ID belongs to (Please tick ✓)  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian in case of minor  Others \_\_\_\_\_  
 e. Mobile No. belongs to (Please tick ✓)  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian in case of minor  Others \_\_\_\_\_  
 f. Occupation Details (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others (Please specify) \_\_\_\_\_  
 g. Status (Please tick ✓)  Resident Individual  NRI  
 h. Gross Annual Income (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore  
 i. Politically Exposed Person (PEP) Status : (Please tick ✓)  Not Applicable  I am Politically Exposed Person  I am Related to Politically Exposed Person

**6. FATCA AND CRS DETAILS (Non-Individual investors please fill separate UBO & FATCA/CRS Form)**

First Applicant/Guardian			2nd Applicant			3rd Applicant			POA
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	
Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other _____			Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other _____			Nationality <input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Other _____			

Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India?  Yes  NO (If yes please provide information below.)

Country#	Tax Identification Number	Identification Type/Reason•	Country#	Tax Identification Number	Identification Type/Reason•	Country#	Tax Identification Number	Identification Type/Reason•
1			1			1		
2			2			2		
3			3			3		

# Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.  
 • 11 TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.

**7. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)**

Bank Name \_\_\_\_\_ Bank A/c No. \_\_\_\_\_  
 LEI \_\_\_\_\_ A/C Type  Savings  Current  NRE  NRO  FCNR  Others  
 Branch \_\_\_\_\_ Pin \_\_\_\_\_ IFSC code: (11 digit) \_\_\_\_\_

**8. PAYMENT & INVESTMENT DETAILS (In Case Of Multiple Schemes, Cheque Should Be Drawn In Favor Of "Baroda BNP Paribas Mutual Fund Collection Account")**

Zero Balance  Lumpsum  SIP (Please fill details below)  SIP (Fill separate SIP form)  
 Payment Mode  Cheque  NEFT  RTGS  OTM (Please tick ✓)

Investment Amount (₹)	Instrument No/TR No.	Date	Drawn on Bank	Bank Branch	City
		DDMMYYYY			

Scheme	Plan	Option	Frequency	Purchase Amount
1. BBNPP	<input type="radio"/> Direct <input type="radio"/> Regular	<input type="radio"/> Growth <input type="radio"/> IDCW Payout <input type="radio"/> IDCW Reinvestment		
2. BBNPP	<input type="radio"/> Direct <input type="radio"/> Regular	<input type="radio"/> Growth <input type="radio"/> IDCW Payout <input type="radio"/> IDCW Reinvestment		
3. BBNPP	<input type="radio"/> Direct <input type="radio"/> Regular	<input type="radio"/> Growth <input type="radio"/> IDCW Payout <input type="radio"/> IDCW Reinvestment		
4. BBNPP	<input type="radio"/> Direct <input type="radio"/> Regular	<input type="radio"/> Growth <input type="radio"/> IDCW Payout <input type="radio"/> IDCW Reinvestment		
5. BBNPP	<input type="radio"/> Direct <input type="radio"/> Regular	<input type="radio"/> Growth <input type="radio"/> IDCW Payout <input type="radio"/> IDCW Reinvestment		

The cheque amount should match with the Total Investment Amount mentioned here. **Total Amount** \_\_\_\_\_

Please use the details below for initiating RTGS/NEFT: Bank Name: **HDFC BANK**, Bank Account Number: **00600350106284**, IFSC Code: **HDFC0000060**, Account Name: **Baroda BNP Paribas Mutual Fund Collection Account**

Email Id : [service@barodabnp-paribasmf.in](mailto:service@barodabnp-paribasmf.in) www.barodabnp-paribasmf.in Toll Free No.: 1800 2670 189

Quick Checklist			
<input type="checkbox"/>	<input type="radio"/> Name/s mentioned are as per PAN only	<input type="radio"/> Full scheme name, plan, option is mentioned	<input type="radio"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
<input type="checkbox"/>	<input type="radio"/> Address, Email ID/Mobile are correctly mentioned	<input type="radio"/> Pay-In bank details and supportings are attached	<input type="radio"/> Non Individual investors should attach
<input type="checkbox"/>	<input type="radio"/> KYC information provided for each applicant	<input type="radio"/> Nomination facility opted	<input type="radio"/> FATCA Details and Declaration Form <input type="radio"/> UBO Declaration Form
<input type="checkbox"/>	<input type="radio"/> FATCA/CRS details provided for each applicant	<input type="radio"/> Form is signed by all applicants	

**9. DEMAT ACCOUNT DETAILS**

National Securities Depository Ltd.     Depository Participant Name      DP ID No.      Beneficiary Account No.   
 Central Depository Services (India) Ltd.

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

**10. NOMINATION - MANDATORY, Minor & PoA holder cannot nominate and should not fill this section**

- A. I/We wish to nominate:** I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s).  
 I / We want the details of my/ our nominee to be printed in the statement of account, provided to me/us by the AMC as follows: **(Mandatory in case Nomination is provided)**  
 Name of Nominee(s)     Nomination Status^ (Yes/No)

Mandatory Information							Non-mandatory Information
Name of Nominee(s) (IN CAPITALS) (Mandatory)	Share of each Nominee#	Date of Birth (for Minor)	Relationship with the Applicant (select one)	Nominee/ Guardian (in case of Minor) Identification details <small>[Please tick any one of the following and provide ID Number and no copies required].</small>	Address of Nominee(s)/ Guardian in case of Minor City / Place, State & Country	Mobile & Email ID of nominee(s)/ Guardian in case of Minor (Mandatory)	Nominee Guardian Name (in case Nominee is Minor)
Mr./Ms. Details of 1st Nominee			<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify)	<input type="radio"/> PAN  <input type="radio"/> Aadhaar (masked last 4 digits) **** *  <input type="radio"/> Passport (for NRIs/OCIs/PIOs)  <input type="radio"/> Driving Lincense	Pin Code:		
Mr./Ms. Details of 1st Nominee			<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify)	<input type="radio"/> PAN  <input type="radio"/> Aadhaar (masked last 4 digits) **** *  <input type="radio"/> Passport (for NRIs/OCIs/PIOs)  <input type="radio"/> Driving Lincense	Pin Code:		
Mr./Ms. Details of 1st Nominee			<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify)	<input type="radio"/> PAN  <input type="radio"/> Aadhaar (masked last 4 digits) **** *  <input type="radio"/> Passport (for NRIs/OCIs/PIOs)  <input type="radio"/> Driving Lincense	Pin Code:		

Nomination can be made upto three nominees in the account in case the above percentages do not total upto 100 %. # Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

- B. I/We do not wish to nominate:** I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

**\*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature**

Name of the Holder	Signature of two witness(es) along with name & address are required, if the account holder affixes thumb impression instead of signature	
	Name & Address of Witness	Witness Signatue
Sole / 1st Holder (Mr./Ms.)	Witness 1 - name Address	Witness 1
	Witness 2 - name Address	Witness 2
2nd Holder (Mr./Ms.)	Witness 1 - name Address	Witness 1
	Witness 2 - name Address	Witness 2
3rd Holder (Mr./Ms.)	Witness 1 - name Address	Witness 1
	Witness 2 - name Address	Witness 2

**11. DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document (SID) and Statement of Additional Information (SAI), Key Information Memorandum (KIM), Instructions and addenda issued by Baroda BNP Paribas Mutual Fund (MF) , I / We, hereby apply to the Trustee of MF for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality.

- To receive physical annual statements and scheme wise abridged report please tick here (✓)

Sole / First Applicant / Guardian	Second Applicant	Third Applicant	POA holder, if any
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## COMMON CHECKLIST

### Please ensure that :

- I. Please ensure that your Application Form is complete in all respect and signed by all applicants:
  - Name, Address and Contact Details are mentioned in full. • Status of First/Sole Applicant is correctly indicated. • Bank Account Details are entered completely and correctly. • Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated) OR PAN Exempt KYC Reference Number (PEKRN) in case of PAN exempt investment. • Please attach proof of KYC Compliance status if not already validated. • Appropriate Plan / Option is selected. • If units are applied by more than one applicant, Mode of Operation of account is indicated. • Mention contact details with relationship flag for all the holders.
- II. Your investment Cheque is drawn in favour of 'the Specific Scheme A/c. PAN' or 'the Specific Scheme A/c Investor Name' dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. is mentioned on the reverse of the Cheque/DD.
- III. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

Documents	Companies / Trusts / Societies/ Partnership Firms / LLP / FIs*	FPI	NRI / OCI / PIO	Minor	Investments through Constituted Attorney
1. Board/ Committee Resolution / Authority Letter	✓				
2. List of Authorised Signatories with Specimen Signature(s) @	✓	✓			✓
3. Notarised Power of Attorney					✓
4. Account Debit Certificate in case payment is made by DD from NRE / FCNR A/c. where applicable			✓		
5. PAN Proof	✓	✓	✓	✓#	✓
6. KYC Acknowledgement Letter / Print out of KYC Compliance Status downloaded from CDSL Ventures Ltd. website (www.cvindia.com)	✓	✓	✓	✓#	✓
7. Proof of Date of Birth				✓	
8. Proof of Relationship with Guardian				✓	
9. PIO / OCI Card (as applicable)			✓		
10. Certificate of registration granted by Designated Depository Participant on behalf of SEBI		✓		✓	

@ Should be original or true copy by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

\* For FIs, copy of SEBI registration certificate should be provided. # If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

## MICRO INVESTMENT - CHECKLIST

### Documents required for Micro SIP applications (any one of the following):

Ref. No.	Documents
I.	Voter Identity Card
II.	Driving License
III.	Government / Defence identification card
IV.	Passport
V.	Photo Ration Card
VI.	Photo Debit Card
VII.	Employee ID cards issued by companies registered with Registrar of Companies
VIII.	Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
IX.	ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
X.	Senior Citizen / Freedom Fighter ID card issued by Government.
XI.	Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.
XII.	Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
XIII.	Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.

In addition to the photo identification documents prescribed above, a copy of the proof of address which is self attested and also attested by the ARN Holder will be required.

## CHECKLIST FOR "APPLICATIONS ON BEHALF OF MINOR"

I.	Birth certificate of the minor, or School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or Passport of the minor, or Any other suitable proof evidencing the date of birth of the minor / relationship.
II.	Copy of PAN of Guardian.
III.	KYC acknowledgement of Guardian.



## INSTRUCTIONS

- The SIP Registration Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
- Minimum SIP Amount and in multiples thereafter:

Scheme	Daily (INR)	Weekly (INR)	Fortnightly (INR)	Monthly (INR)	Quarterly (INR)
Schemes mentioned below defined as "Schemes Eligible for Fortnightly Frequency"	500 and 1 thereafter		250 and 1 thereafter		1500 and 1 thereafter
Schemes, other than those mentioned below defined as "Schemes Eligible for Fortnightly Frequency" and ELSS	500 and 1 thereafter		Not Eligible	500 and 1 thereafter	1500 and 1 thereafter
ELSS	500 and 500 thereafter		Not Eligible	500 and 500 thereafter	

**Schemes Eligible for Fortnightly Frequency :** BBNPP Dividend Yield Fund, BBNPP Flexi Cap Fund, BBNPP Focused Fund, BBNPP Large & Midcap Fund, BBNPP Large Cap Fund, BBNPP Multi Cap Fund, BBNPP Value Fund, BBNPP Aggressive Hybrid Fund, BBNPP Arbitrage Fund, BBNPP Balanced Advantage Fund, BBNPP Conservative Hybrid Fund, BBNPP Equity Savings Fund, BBNPP Multi Asset Fund, BBNPP Nifty 50 Index Fund, BBNPP Children's Fund, BBNPP Retirement Fund

**Minimum number of Instalments**  
For Daily, Weekly, Fortnightly and Monthly frequency: 6 instalments  
For Quarterly frequency: 4 instalments  
Accordingly, the provision of criteria of Minimum Application Amount of the scheme shall not apply to such applications using the SIP facility.
- Applicable Load Structure for SIP**  
**Entry Load:** Nil  
The provisions of Exit Load as applicable to the normal investments as on the date of Registration will be applicable to fresh SIP investments.
- The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request to this effect at least 2 days prior to the subsequent SIP date. SIP cancellation request will be processed within two working days from the date of submission of a valid request. However, if the next SIP date falls within ten days from the request date, the debit will occur for that installment and SIP will be ceased from the subsequent month.
- Fortnightly SIP frequency is available only in selected schemes. Trigger dates for Fortnightly SIP frequency are 5 and 20.
- Date and the validity of the mandate should be mentioned in DD/MM/YYYY format. The maximum mandate validity period is 40 years only. **SIP Enrollment period should be less than or equal to the mandate tenure.**
- The registration period of SIP will be as per the instruction given by the investor. In case of any ambiguity in registration period or if the end date of SIP is not mentioned, the default period for SIP will be 40 years.
- In case investor has not selected any frequency or in case of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default Trigger date.
- In case of no credit receipt/ failed debit instalment for Daily, Weekly, Monthly SIP for 3 consecutive installments, SIP will be ceased. In case of no credit receipt/ failed debit instalment for Quarterly SIP for 2 consecutive installments, SIP will be ceased
- In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.
- The Trustee / AMC reserves the right to change / modify the terms of the SIP.
- If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.
- Daily SIP shall be triggered and processed on all Business Days only.
- Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.
- The amount mentioned on the first cheque should be equal to the combined SIP instalment amount mentioned against all the Schemes in the Form. Accordingly, the first cheque amount will get invested in multiple Schemes as mentioned in the form. In case of mismatch, the transaction is liable to be rejected. Further, investor should ensure that the amount mentioned on the OTM is equal to the total consolidated amount across all schemes mentioned as per the form
- If a Micro SIP application is found such that the registration of the application will result in the aggregate of all investments made by the investor in a financial year exceeding Rs. 50,000 or where there is any deficiency in the application form or any supporting document In case the first Micro SIP instalment is processed (as the cheque may be banked), and the application / supporting document is found to be defective, such Micro SIP will be ceased for future instalments. No refunds shall be made for the units already allotted. Investor, can however, redeem the units if so desired.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI)/ NPCI(National Payment Corporation of India). Investor will not hold Baroda BNP Paribas Mutual Fund, Baroda BNP Paribas Asset Management Company Limited (the AMC), Baroda BNP Paribas Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to Baroda BNP Paribas Mutual Fund/the AMC/the Trustee. Baroda BNP Paribas Mutual Fund reserves the right to reject any SIP application without assigning any reason thereof.

### The terms and conditions for availing the 'Top-Up SIP' shall be as follows:

- Frequency for Top-Up SIP**
  - For Monthly SIP:**
    - Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 6th SIP installment.
    - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 12th SIP installment.
  - For Quarterly SIP:**
    - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen by Investor post every 4th SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.
- Minimum Top-Up SIP Amount:**  
₹ 100 and in multiples of ₹ 100 thereafter.
- Default Top-Up SIP Frequency and amount:**  
**For all open ended schemes, other than Baroda BNP Paribas ELSS Fund:**  
In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 100 respectively and the application form shall be processed accordingly.  
**For Baroda BNP Paribas ELSS Fund:**  
In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly.  
In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.
- Top-Up SIP shall be available for SIP Investments only through NACH / Direct Debit Facility only.
- Top-Up facility shall only be available for Monthly and Quarterly SIP frequency
- Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP. The Top-up details cannot be modified once enrolled. In order to make any changes, the investor needs to cancel the existing SIP and enroll for a fresh SIP with Top-up option
- Investors should ensure the amount mentioned in the OTM is on the higher side to be able to accommodate the increase as and when the top up amount is triggered. In case the OTM amount is lesser than the base amount + top up amount for any trigger in future, Top up facility will stand cancelled and the future SIP instalments will continue to be processed with the last topped up amount till the SIP end date.

## INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

Along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate, failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.

Please mention the amount in figures and words.

Please fill in all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.

The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled in by the investors.

The 9-digit MICR and the 11-digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

As per the Mandate circular (NPCI/NACH/OC No. 010/2023-24) dated October 31, 2023, effective April 1, 2024, the mandate can be for maximum duration of 40 years from date of application.



## GENERAL INSTRUCTIONS

1. Please refer the Key Information Memorandum and Offer Document/ SAI/ SID of the respective scheme(s) for Applicable NAV, Risk Factors, Load Structure and other information before investing.
2. New Applicants need to compulsorily fill in all sections in the Investment Application Form in addition to Systematic Investment Form.
3. Irrespective of the investment amount please furnish your PAN details and enclose a photocopy of PAN Card for all applications. In case of minor applicants, please furnish the PAN details and PAN proof of the Guardian. Payment for investment shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.
4. KYC compliance is mandatory, irrespective of the amount of investment.
5. (i) First SIP Installment : Your first SIP can be for any day of the month, however subject to the condition that, there shall be a minimum gap of 30 day between the first and the second SIP.  
(ii) Second & subsequent SIP Installment : Your second and subsequent SIPs are available only on above specified dated of the month. You can choose only one of these dates for the purpose of SIP. In case the chosen date turns out to be a non-working day for the scheme, the SIP will be processed on the immediately following working day.
6. We would send you an Account Statement confirming your systematic investment within 10 working days from the date of your first systematic investment transaction. Confirmation for subsequent Systematic Investments would be sent to you as CAS (Consolidated Account Statement) on monthly basis.
7. Baroda BNP Paribas Mutual Fund, its Investment Manager, Trustees, Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank/ branch or its refusal to register the SIP mandate.
8. You will not hold Baroda BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers responsible if the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS. Baroda BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers responsible and liable for any damages / compensation for any loss, damage, etc. incurred by you as a result of use of this facility by you.
9. If you have not indicated any of your SIP preference completely in the form, or in case of any discrepancy, we would presume the same as below:  
SIP Frequency: Monthly; SIP Date: 7th; End Date: OTM mandate end period; Scheme Name: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the investment application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
10. Any discrepancy in the Account Statement should be brought to the notice of the Fund/AMC immediately. Contents of the Account Statement will be deemed to be correct if no error is reported within 30 days from the date of Transaction.
11. SIP will be ceased after 3 consecutive rejections
12. Incorrect and ambiguous forms will not be accepted and rejection for the same shall be communicated to the investor.

## SIP PAYMENT THROUGH OTM / NACH / DIRECT DEBIT

1. The TAT for SIP Debit Mandate Form registration will be 30 days. Therefore, there has to be a minimum gap of 30 days between date of submission of SIP Mandate and subsequent SIP Auto Debit, provided the OTM gets successfully registered within this period.
2. In case of incomplete SIP Debit Mandate Forms or SIP Registration pending due to technical problems at NPCI/ Bankers end, AMC may seek remediation process to obtain incomplete details. In such cases registration process may exceed 30 days and hence may also impact processing of subsequent SIP instalments.
3. SIP start date shall not be beyond 90 days from the date of submission of SIP application.
4. The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request to this effect at least 2 days prior to the subsequent SIP date.  
SIP cancellation request will be processed within two working days from the date of submission of a valid request. However, if the next SIP date falls within ten days from the request date, the debit will occur for that installment and SIP will be ceased from the subsequent month.
5. If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the investment application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
6. In case SIP date is not selected or the date mentioned is not legible or clear or multiple SIP date are opted, then the SIP will be registered on or before date as applicable.
7. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
8. In case the SIP 'End Period' is incorrect or not mentioned by the investor in the SIP form, then the SIP End Date will be considered as OTM Mandate End Date.
9. Maximum Amount: The Maximum Amount in SIP NACH Debit Mandate Form refers to the maximum SIP debit limit per transaction permitted by the investor to be debited from his bank account. At any given point of time SIP instalment amount should not exceed Maximum Amount mentioned in the SIP NACH Mandate Form.
10. For Change in Bank details in your existing SIP, investor is required to submit SIP Modification Form, 30 days prior to the next SIP Date.
11. Mandatory fields in SIP NACH DEBIT MANDATE form as per NPCI:  
OTM Mandate End date should not be more than 40 years from the OTM Mandate Start date.  
• OTM mandate date • OTM period 'From' and 'To' in the OTM mandate form • Submission Date • Bank Account type to be selected • Bank account number and Bank name • IFSC and MICR Code • Folio number or application number • Phone number and email id • SIP From date • Signatures as per bank records • Name as per bank records • SIP End Date
12. All terms and conditions for SIP, including Exit Load, if any, prevailing at the time of SIP enrolment by the fund shall be levied in the Scheme.
13. The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI)/ NPCI(National Payment Corporation of India). Investor will not hold Baroda BNP Paribas Mutual Fund, Baroda BNP Paribas Asset Management Company Limited (the AMC), Baroda BNP Paribas Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to Baroda BNP Paribas Mutual Fund/the AMC/the Trustee. Baroda BNP Paribas Mutual Fund reserves the right to reject any SIP application without assigning any reason thereof.
14. In case the SIP instalment is not debited on a particular day on account of non-business day, system constraints, technical/ operational issues/ actions of other parties or any other circumstances beyond the control of AMC/ Fund, such missed debits will not be re-initiated.

## CHECKLIST

Particulars	CHOTI SIP
Investor should be New to the MF industry	If Investor is New to the MF industry then only CHOTI SIP will be considered or else SIP will be registered as Normal SIP.
Eligible CHOTI SIP Schemes	Baroda BNP Paribas Dividend Yield Fund, Baroda BNP Paribas Flexi Cap Fund, Baroda BNP Paribas Focused Fund, Baroda BNP Paribas Large & Midcap Fund, Baroda BNP Paribas Large Cap Fund, Baroda BNP Paribas Multi Cap Fund, Baroda BNP Paribas Value Fund, Baroda BNP Paribas Aggressive Hybrid Fund, Baroda BNP Paribas Arbitrage Fund, Baroda BNP Paribas Balanced Advantage Fund, Baroda BNP Paribas Conservative Hybrid Fund, Baroda BNP Paribas Equity Savings Fund, Baroda BNP Paribas Multi Asset Fund, Baroda BNP Paribas Nifty 50 Index Fund, Baroda BNP Paribas Children's Fund, Baroda BNP Paribas Retirement Fund
Eligible Scheme Option	Growth
Available SIP Frequency	Fortnightly and Monthly
Minimum Installments	Minimum 60 Installments
Minimum Eligible Amount	Rs. 250 only for all eligible schemes, for any other amount SIP shall be considered as normal SIP
First Purchase through Cheque	Not Allowed
Eligible Payment Modes	NACH, Direct Debit or UPI Only
Redemption	The investor has the option to redeem their investment at any time during the SIP tenure, subject to the applicable exit load

**Note: If the investor does not meet the eligibility criteria for CHOTI SIP, the SIP will not be registered under CHOTI SIP. Instead, it will be processed as a normal SIP. For more details, kindly refer to the general instructions for normal SIP.**

(New investors subscribing to this option must submit this Form alongwith Common Application Form)

All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

Distributor ARN / RIA Code	Sub Distributor ARN	Sub Distributor / RM Internal Code	EUIN*	LG Code	For Office use only (Time Stamp)

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

First / Sole Applicant / Guardian / POA Holder / Authorised Signatory

Second Applicant / POA Holder

Third Applicant / POA Holder

TRANSACTION CHARGES for Rs. 10,000 and above (✓ any one)

I confirm that I am a first time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)

I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

### INVESTOR DETAILS

Folio No. /Application No.

Name of 1<sup>st</sup> Applicant

Scheme Name: BARODA BNP PARIBAS \_\_\_\_\_ Plan Option:  Regular Growth  Direct Growth  
(List of eligible schemes are given in terms and conditions, refer point no. 3)

SIP Amount (Rs.)  SIP Frequency: Monthly (Default)

SIP Start Date:  SIP Tenure:  8 Years  10 Years  12 Years  15 Years

Switch-In/SWP Scheme Name (Post completion of SIP Tenure): BARODA BNP PARIBAS \_\_\_\_\_ Plan Option:  Regular Growth  Direct Growth  
(List of eligible schemes are given in terms and conditions, refer point no. 3 or mention the same scheme as SIP scheme)

SWP Date: Same as SIP Date SWP Frequency: Monthly (Default)

SWP Amount (tick any one of the option below from A Or B)

A. As per below matrix basis SIP tenure

SIP Tenure	8 Years	10 Years	12 Years	15 Years
SWP Amount	1 x SIP Instalment Amount	1.5 x SIP Instalment Amount	2 x SIP Instalment Amount	3 x SIP Instalment Amount

OR

B. Any other Amount (Rs.) \_\_\_\_\_ This amount should be equal or higher than the minimum SWP amount applicable for the scheme

### TOP-UP SIP

Top-Up Frequency:  Half - Yearly  Annual

Top-Up Amount Rs. (in multiples of Rs. 100 only) Amount Rs.

**DECLARATION:** I/We hereby declare that the particulars given in this mandate form are correct and express my/our willingness to make payments towards investment in the schemes of BARODA BNP PARIBAS MUTUAL FUND. I/We hereby confirm and declare that the monies invested by me in the schemes of BARODA BNP PARIBAS MUTUAL FUND do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"). I/We are aware that BARODA BNP PARIBAS MUTUAL FUND and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit / NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform BARODA BNP PARIBAS MUTUAL FUND/RTA about any changes in my/our bank account. I/We confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read, understood and agreed to the terms and conditions and contents of the SID, SAI, KIM and Addendum issued from time to time of the respective Scheme(s) of BARODA BNP PARIBAS MUTUAL FUND. I/We hereby authorize the bank to honour such payments for which I/We have signed and endorsed the Mandate Form.



### OTM Debit Mandate for NACH/Direct Debit

UMRN  Date

Sponsor Bank Code  Utility Code

Tick (✓)  CREATE  MODIFY  CANCEL

I/We hereby authorize **BARODA BNP PARIBAS MUTUAL FUND** to debit (tick ✓)  SB  CA  SB-NRE  SB-NRO  CC  Other

Bank a/c number

with Bank  Name of customers bank  IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY:  Mthly  Qtrly  H-Yrly  Yrly  As & when presented

DEBIT TYPE:  Fixed Amount  Maximum Amount

PAN  Phone No.

Folio  Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From  To

Maximum period of validity of this mandate is 40 years only

Signature Primary Account holder \_\_\_\_\_ Signature of 1<sup>st</sup> Joint holder \_\_\_\_\_ Signature of 2<sup>nd</sup> Joint holder \_\_\_\_\_

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## TERMS AND CONDITIONS FOR LAKSHYA SIP AND OTM

### TERMS AND CONDITIONS FOR LAKSHYA SIP

- SIP:** SIP will be registered in a "Source Scheme" which will be an open-ended equity or hybrid fund (Refer to table below for list of source schemes) for a predefined tenor of either 8 years, 10 years, 12 years or 15 years under the monthly frequency. The minimum amount for SIP shall be the minimum Monthly SIP instalment amount for the respective schemes.
- This facility is allowed under 'Monthly' frequency for Growth option of the eligible SIP / SWP schemes.
- Schemes eligible for **SIP, Switch-in and SWP:** The target scheme can either be the source scheme (i.e. SIP scheme) or any one of the pre-defined schemes mentioned below.

Name of Schemes (for SIP)	Name of Schemes (for Switch and SWP)
Baroda BNP Paribas Large Cap Fund	Baroda BNP Paribas Balanced Advantage Fund
Baroda BNP Paribas Large & Mid Cap Fund	Baroda BNP Paribas Aggressive Hybrid Fund
Baroda BNP Paribas Mid Cap Fund	Baroda BNP Paribas Multi Asset Fund
Baroda BNP Paribas Small Cap Fund	Baroda BNP Paribas Equity Savings Fund
Baroda BNP Paribas Flexi Cap Fund	Baroda BNP Paribas Conservative Hybrid Fund
Baroda BNP Paribas Multi Cap Fund	
Baroda BNP Paribas Value Fund	
Baroda BNP Paribas Dividend Yield Fund	
Baroda BNP Paribas Focused Fund	
Baroda BNP Paribas India Consumption Fund	
Baroda BNP Paribas Business Cycle Fund	
Baroda BNP Paribas Banking and Financial Services fund	
Baroda BNP Paribas Innovation Fund	
Baroda BNP Paribas Manufacturing Fund	
Baroda BNP Paribas Energy Opportunities Fund	
Baroda BNP Paribas Balanced Advantage Fund	
Baroda BNP Paribas Aggressive Hybrid Fund	
Baroda BNP Paribas Multi Asset Fund	
Baroda BNP Paribas Health and Wellness Fund	
Baroda BNP Paribas Business Conglomerates Fund	

- The source scheme could also be any open ended equity scheme of Baroda BNP Paribas launched in future.
- Minimum installment amount under this facility for SIP / SWP would be the same as prescribed under Monthly frequencies in the respective schemes. All other terms and conditions pertaining to SIP and SWP shall be applicable for LAKSHYA SIP / SWP.
- Switch:** On completion of the chosen SIP period, the units accumulated through the SIP shall be switched out to the selected target scheme (Refer to table below for list of target schemes). In case the source and target scheme are same, there would be no switch out. The Switch shall take place T+5 working days or next business day where it is non-business day (T being last SIP instalment date)
- SWP shall commence from the target scheme from the next month onwards on the same SIP instalment date. The SWP transaction shall be subject to applicable exit load and taxes if any.
- Investor can opt for SWP instalment amount as per the matrix below or specific amount to be mentioned, provided that the amount mentioned by the investor shall be subject to minimum SWP amount of the respective schemes.

Payment Matrix		For example:	
SIP Tenure	SWP Amount	SIP Amount	SWP Amount
8 Years	1.0 x Monthly SIP Instalments	10,000	10,000
10 Years	1.5 x Monthly SIP Instalments	10,000	15,000
12 Years	2.0 x Monthly SIP Instalments	10,000	20,000
15 Years	3.0 x Monthly SIP Instalments	10,000	30,000

Note: While the SIP amount can be chosen by the investor, the SWP amount will vary based on the SIP amount and SIP tenure being chosen by the investor.

- In case no SIP tenure is selected, the default tenure shall be 15 years. In case no SIP date is selected, the default date shall be 10.
- In case, no scheme is mentioned in the target scheme section in Switch-In/SWP, the SWP shall be triggered from existing source SIP scheme itself.
- SWP Date will be same as the SIP date. The Start date of SWP will be the month following the last SIP instalment date and the SWP End Date will be perpetual i.e. the SWP under this facility shall be processed till units are available in the respective target scheme. In case, the SWP trigger date is a non-business day, the next business day shall be considered as trigger date.

- This facility shall get discontinued in the following events:
  - On cancellation of SIP before the end of tenure, the switch trigger and SWP will cease.
  - In case, redemption / switch-out processed in Source Scheme during the SIP tenure, the Switch trigger and SWP will cease, however SIP shall continue under the source scheme as normal SIP.
  - In case redemption / switch-out is processed in Source Scheme after the SIP tenure till the execution of switch trigger, the switch trigger and the SWP will cease.
- SIP Top-Up and SIP Pause is allowed under this facility. However, SWP would get registered based on the initial SIP instalment amount / slab mentioned in the application form.
- Under a single folio, an investor can have multiple registrations under this facility. However, if investor wishes to invest in multiple schemes, investor shall have to submit separate LAKSHYA SIP registration forms.
- This facility will not be available under DEMAT mode and for Minor investors.

### INSTRUCTIONS TO FILL ONE TIME DEBIT MANDATE (OTM)

- Along with OTM, investors should enclose an original CANCELLED cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted.
- First applicant / unitholder must be one of the account holder in the bank account. Investor's cheque / bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of Systematic Investment Plan mentioned in SID, SAI & KIM of the respective Scheme(s) of Baroda BNP Paribas Mutual Fund.
- UMRN, Sponsor Bank Code and Utility Code are meant for Office use only and need not be filled by investors.
- Please mention OTM date and OTM "From date" in DDMMYYYY format.
- For the convenience of the investors the frequency of the mandate mentioned as "As and When Presented".
- From date & To date is mandatory. However, the maximum duration for enrollment is 40 years.
- Please provide all the information / details in the OTM.

### MANDATORY INFORMATION TO BE PROVIDED IN ONE TIME DEBIT MANDATE (OTM):

#### Date of Mandate

- Bank A/c Type
- Bank A/c No. (please enclose CANCELLED cheque leaf)
- Bank Name
- IFSC and/or MICR Code
- Maximum Amount (Rupees and Words)
- Mandate From date
- Mandate To date
- Signature/s of account holders in bank records
- Name/s of account holders as in bank records

### THE TERMS AND CONDITIONS FOR AVAILING THE 'TOP-UP SIP' SHALL BE AS FOLLOWS:

- Investor can choose either of the following frequency:
  - Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP installment.
  - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP installment.
- Minimum Top-Up SIP Amount is Rs. 100 and in multiples of Rs. 100 thereafter
- Investors should ensure the amount mentioned in the OTM is on the higher side to be able to accommodate the increase as and when the top up amount is triggered. In case the OTM amount is lesser than the base amount + top up amount for any trigger in future, the SIP with Top-Up Facility will stand cancelled.
- In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form will be processed without Top-Up SIP, subject to it being complete in all other aspects

**For Non Individuals  
FATCA, CRS & Ultimate Beneficial Owner (UBO)**



Name of the entity

Type of address given at KRA  Residential or Business  Residential  Business  Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

Folio No.

PAN  Date of incorporation

City of incorporation  Country of incorporation

Entity Constitution Type (Please tick as appropriate)  Partnership Firm  HUF  Private Limited Company  Public Limited Company  Society  AOP/BOI  
 Trust  Liquidator  Limited Liability Partnership  Artificial Juridical Person  Others specify

Please tick the applicable tax resident declaration:

**1. Is "Entity" a tax resident of any country other than India**  Yes  No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number %	Identification Type (TIN or Other, please specify)

% In case Tax Identification Number is not available, kindly provide its functional equivalent\$.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here   
(Please Refer to para 3(vii) exemption code for U.S persons under part D of FATCA instructions and definitions)

**FATCA & CRS Declaration please consult your Professional Tax Advisor for further Guidance on FATCA & CRS classification.**

**PART A** (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a,  Financial institution<sup>3</sup>  or  Direct reporting NFE<sup>4</sup> (please tick as appropriate)

Global Intermediary Identification Number (GIIN)

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity

GIIN not available (please tick as applicable)  Applied for

If the entity is a financial institution,  Not required to apply for - please specify 2 digits sub-category<sup>10</sup>   Not obtained - Non-participating FI

**PART B** (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity a publicly traded company <sup>1</sup> (that is, a company whose shares are regularly traded on an established securities market) <input type="checkbox"/> No	<input type="checkbox"/> Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange <input type="text"/>
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) <input type="checkbox"/> No	<input type="checkbox"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company <input type="text"/> Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company <input type="text"/>
3.	Is the Entity an active <sup>1</sup> Non-Financial Entity (NFE) <input type="checkbox"/> No	<input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business <input type="text"/> Please specify the sub-category of Active NFE <input type="text"/> (Mention code-refer 2c of Part D)
4.	Is the Entity a passive <sup>2</sup> NFE	<input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.) Nature of Business <input type="text"/>

<sup>1</sup> Refer 2 of Part D | <sup>2</sup> Refer 3(ii) of Part D | <sup>3</sup> Refer 1(i) of Part D | <sup>4</sup> Refer 3 (vi) of Part D

**PART C**

**UBO Declaration**

Category (Please tick applicable category)

- Unlisted Company 
  Partnership Firm 
  Limited Liability Partnership Company 
  Unincorporated association / body of individuals Private Trust  
 Public Charitable Trust 
  Religious Trust 
  Others

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).

Details	UBO1	UBO2	UBO3
Name			
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/>	<input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/>	<input type="text"/> Zip <input type="text"/> State <input type="text"/> Country <input type="text"/>
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business
Date of Birth	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others		
Father's Name (Mandatory if PAN is not available)			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/>	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/>	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="text"/>
Nationality			
UBO Type Code <sup>11</sup>			
Country of Tax residency*			
Tax ID No. <sup>5</sup>			
Tax ID Type			
Percentage of Holding (%) <sup>^</sup>			
Politically Exposed Person (PEP) Status <sup>12</sup>	<input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable	<input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable	<input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable

# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

\* To include US, where controlling person is a US citizen or green card holder

% In case Tax Identification Number is not available, kindly provide functional equivalent

^ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

<sup>12</sup> PEP : PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country e.g Heads of Sates or of Governments ,senior politicians senior Government/Judicial/military officers, senior executives of state owned corporations ,important political party officials, etc.

**FATCA - CRS Terms and Conditions**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as Investment Entities to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Baroda BNP Paribas Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation

**CERTIFICATION**

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions above and hereby accept the same.

Name

Designation

Dated			
Place	Signature	Signature	Signature

**PART D FATCA INSTRUCTIONS & DEFINITIONS**

1. (I) Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
  - (ii) Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
  - (iii) Custodial institution is an entity that holds as a substantial portion of its business, financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of
    - (i) The three financial years preceding the year in which determination is made; or
    - (ii) The period during which the entity has been in existence, whichever is less.
  - (iv) Investment entity is any entity:
    - (a) That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
      - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
      - (ii) Individual and collective portfolio management; or
      - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
 or
    - (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.
 

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

      - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
      - (ii) The period during which the entity has been in existence.
 The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 - refer point 2).
  - (v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN: Refer Rule 114(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard.

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

2. Active Non Financial Entity (NFE) (any one of the following) : Refer explanation (A) to 114F(6) of Income tax Rules, 1962 for details :

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity. The stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
08	Any NFE that fulfills all of the following requirements: <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul>

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely :-

- (i) an Investor Protection Fund referred to in clause (23EA);
- (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
- (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

- (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this

purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause 1 (iv)(b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;
- (iii) Passive income

The term passive income includes income by way of :

- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering Rules, 2005 (Maintenance of Records). In determining the beneficial owner, the procedure specified in the following circular as amended from time to time shall be applied, namely :-

- (i) DBOD.AML.BC NO 71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- (ii) CIR/MIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- (iii) IRDA/SDD/GDLC/CIR/019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown

(v) Specified U.S. person – AU.S person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(vii) Exemption code for U.S. persons (Refer 114F(9) of Income Tax Rules, 1962 for details).

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).
B	The United States or any of its agencies or instrumentalities.
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities.
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i).
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i).
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.
G	A real estate investment trust.
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.
I	A common trust fund as defined in section 584(a).
J	A bank as defined in section 581.
K	A broker.
L	A trust exempt from tax under section 664 or described in section 4947(a)(1).
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan.

## LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTIONS

### For all Schemes of Baroda BNP Paribas Mutual Fund

#### AMC INVESTOR SERVICE CENTRES :

**New Delhi:** Baroda BNP Paribas Asset Management India Private Limited. Unit No. G-04 Naurang House 21, KG Marg Connaught Place, New Delhi - 110 001 • **Bangalore:** Office unit # 112 & 114, 1st floor, "Raheja Chambers", Museum Road, Bangalore - 560 001 • **Chennai:** 04th Floor, Shop No. 4, D Wing, "Riaz Garden", Cathedral Garden Road, Kodambakkam High Road, Nungambakkam, Chennai - 600034 • **Kolkata:** 02nd Floor, Unit No 2E, The Millennium, 235/2A, AIC Bose Road, Kolkata - 700020 • **Hyderabad:** Office No. 403, 4th Floor, Sonthalia Emerald Building, Raj Bhavan Road, Somajiguda, Hyderabad Telangana 500082 • **Pune:** Baroda BNP Paribas Asset Management India Pvt Ltd, Office No C.1.3 & C1.4, 1st floor, Building - C, Dr. Herekar Park Apartment Condominium, Shivaji Nagar, Pune - 411004 • **Ahmedabad:** Office No. 104, 1st Floor, 6th Avenue Building, Opposite Textile Co-Operative Bank, Mithakhali Six Road, Ahmedabad - 380009 • **Borivali - Mumbai:** Shop No 5, Chitalia enclave co-op hsg soc (kapor apt), junction of Punjabi Lane & Chandavarkar road, Borivali (West), Mumbai 400 092 • **Fort - Mumbai:** Baroda BNP Paribas Asset Management India Private Limited. Premises No 285, Ground Floor Rahimtoola House, 7, Hojji Street, Mumbai Fort 400001 • **Lucknow:** Baroda BNP Paribas Asset Management India Private Limited. Office No 104 - 1st Floor, Vaishali Arcade, 6 Park Road, Hazratganj, Lucknow - 226001 • **Kanpur:** Baroda BNP Paribas Asset Management India Private Limited. Office No.317 Kan Chambers, Civil Lines, Kanpur 208001 • **Thane:** Baroda BNP Paribas Asset Management India Private Limited. Shop No. 10, Ground Floor, KONARK TOWERS CHS Ltd. Ghantali Road, Village Naupada, Thane (W) - 400602 • **Jaipur:** Baroda BNP Paribas Asset Management India Private Limited. Ground Floor, "Fortune Heights" G-2-A, Subhash Marg, C-Scheme, Jaipur - 302001

#### KFIN CUSTOMER CARE CENTRES/POINTS:

**AGRA:** Old Rms Chowmuhani Opp-Rhymond Showroom, Near Jana Sabak Saloon, Agartala, West Tripura, 799001 • **AGRA:** 3rd Floor, 303 Corporate Park Block No- 109, Sanjay Place, Agra - 282002 • **AHMEDABAD:** SHOP 11 & 12, 3rd EYE, NEAR GIRISH COLD DRINKS C G ROAD, AHMEDABAD 380006 • **AHMEDNAGAR:** Baiju Heights, Opposite to Canara bank, Near old Vasant talkies, Market yard road, Ahilyanagar, Ahmednagar Maharashtra - 414001 • **AJMER:** C/o Dani Complex, Behind Chandak Eye Hospital, Agra Gate Circle, P R Marg, Ajmer - 305001 • **AKOLA:** Shop No 25 Ground Floor Yamuna Tarang Complex Murtizapur Road N.H. No- 6 Opp Radhakrishna Talkies Akola 444004 • **ALAPPUZHA:** Sree Rajarajeswari Building/Ground Floor, Church Road, Mullackal Ward, Alappuzha, Kerala 688011 • **ALIGARH:** 1st Floor Sevti Complex, Lekhranj Nagar Centre Point, Samad Road, Aligarh-202001 • **ALWAR:** Office Number 137 First Floor Jai Complex Road No-2 Alwar 310001 • **AMARAVATHI:** Shop No. 21 2nd Floor Above Axis Bank Gulshan Tower Near Panchsheel Schemes Jaistambh Square Amaravathi 444601 • **AMBALA:** 6349 2nd Floor Nicholson Road Adjacent Kos Hospital/ambala Cant. Ambala 133001 • **AMRITSAR:** Sco 5 2nd Floor District Shopping Complex Ranjit Avenue Amritsar 143001 • **ANAND:** 203 Saffron Icon, Opp Senior Citizen Garden, Mota Bazar, V V Nagar Anand-388120 • **ANANTHAPUR:** #13/4 VishnuPriya Complex Beside Sbi Bank Near Tower Clock Ananthapur-515001 • **ANDHERI:** Office 103, Vertex Navkar, Commercial Complex, M V Road, Opp Andheri Court, Andheri East, Mumbai 400069 • **ANSOL:** 112/N G. T. Road Bhanga Pachil. GT Road Ansol Pin. 713 303, Paschim Bardhaman West Bengal Ansol 713303 • **AURANGABAD:** Shop No B 38 Motiwala Trade Centre Nirala Bazar Aurangabad 431001 • **AZAMGARH:** Shop No 18 Nagar Palika, Infront Of Treasury Office, Civil Lines, Azamgarh-276001 • **BALASORE:** 1-8. 1st Floor Kalinga Hotel Lane Baleshwar Sadar Balasore 756001 • **BANGALORE:** No 35 Puttanna Road, Basavanagudi Bangalore 560004 • **BANKURA:** Plot Nos- 80/1/Anatunchati Mahalla 3rd Floor Ward No 2 Opposite PC Chandra Bankura Town Bankura 722101 • **BARCELONA:** 1st Floor, Rear Side, A-Square Building, 54-Civil Lines, Ayub Khan Chauraha, Barcelona-243001 • **BARODA:** 1st Floor 125 Kanha Capital, Above Hdfc Bank, Opposite. Express Hotel, Alkapuri, Vadodara-07,390007 • **BEGUSARAI:** Sri Ram Market, Kali Asthan Chowk, Mathiani Road, Begusarai-851101 • **BELGAUM:** Premises No-101 Cts No.1893 Shree Guru Darshani Tower Anandwadi Hindwadi Belgaum 590011 • **BELARY:** Ground Floor 3rd Office Near Womens College Road Beside Amruth Diagnostic Shanthi Archade Bellary 583103 • **BERHAMPUR (Or):** Opp Diya Nandan Kalyan Mandap 3rd Lane Dharam Nagar Near Lohiya Motor Berhampur (Or) 760001 • **BHAGALPUR:** 2nd Floor Chandralok Complex, Radha Rani Sinha Road, Ghantaghar, Bhagalpur-812001 • **BARUCH:** Shop No: 123, Nexus Business Hub, Near Dhiraj & Sons, Baruch, 392001 • **BHILAI:** Mcb -Z-3-01043 2 Floor Goniana Road Opposite Nippon India Mf G Ward, Near Hanuman Chowk Bhatinda 151001 • **BHAVNAGAR:** office no 207, skyline square building, near Sanskar mandal, waghawadi Road, Bhavnagar, 364001 • **BHILAI:** Office No.2 1st Floor Plot No. 9/6 Nehru Nagar [East] Bhilai 490020 • **BHILWARA:** Shop No. 14 B Prem Bhawan Pur Road Gandhi Nagar Near Canarabank Bhilwara 311001 • **BHOPAL:** Sf-13 Gurukripa Plaza 2nd Floor Plot No.48A Opposite City Hospital Zone-2 M P Nagar Bhopal 462011 • **BHUBANESWAR:** A/181 Back Side Of Shivam Honda Show Room Saheed Nagar - Bhubaneswar 751007 • **BIKANER:** H.No.10, Hmtisar House, Museum Circle, Civil Line, Bikaner, Rajasthan - 334001 • **BILASPUR:** Shop No.306 3rd Floor Anandam Plaza Vyapar Vihar Main Road, Bilaspur 495001 • **BOKARO:** City Centre Plot No. He-07 Sector-IV Bokaro Steel City Bokaro 827004 • **BORIVALI:** Surbhi Apartment, Ground Floor Shop No 5-8, SVP Road, Opp HDFC Bank, Next to Jain Temple, Borivali West, Mumbai 400092 • **BURDWAN:** Saluja Complex; 846 Laxmipur G T Road Burdwan; P: Burdwan & Dist: Burdwan-East Pin: 713101 • **CALCUT:** Second Floor Manimurilij Centre Bank Road Kasaba Village Calicut 673001 • **CHANDIGARH:** First Floor Sco 2469-70 Sec. 22-C • Chandigarh 160022 • **CHANDRAPUR:** C/O Global Financial Services, 2nd Floor, Raghuvanshi Complex, Near Azad Garden, Chandrapur, Maharashtra-442401 • **CHENNAI:** 9th Floor Calicut 673001 180 Kodambakkam High Road Nungambakkam J Chennai - 600034 • **CHINSURA:** No: 96 Po: Chinsurah Doctors Lane Chinsurah 712101 • **COCHIN:** Door No:61/2784 Second Floor Sreelakshmi Tower Chittoor Road, Ravipuram Ernakulam Kerala-682015 • **COIMBATORE:** 3rd Floor Jaya Enclave 1057 Avinashi Road - Coimbatore 641018 • **COOCH BEHAR:** Beside Mithuot Fincorp, Opposite Udichi Market, Nripendra Narayan Road, Post & District- Cooch Behar, West Bengal PIN-735101 • **CUTTACK:** Shop No-45 2nd Floor Netaji Subas Bose Arcade (Big Bazar Building) Adjacent To Reliance Trends Dargha Bazar Cuttack 753001 • **DARBHANGA:** H No-185, Ward No- 13, National Statistical Office Campus, Kathal Bar, Bhandar Chowk, Darbhanga-848007 • **DAVANGERE:** D.No.162/6 1st Floor 3rd Main P J Extension Davangere Taluk Davangere Mandala Davangere 577002 • **DEHRADUN:** Shop No- 809/779, Street No-24, Rajender Nagar, Near Sheesha Lounge, Kaulgarh Road, Dehradun -248003 • **DEORIA:** 1st Floor, K P Plaza, Above Apurva Sweets, Civil Lines Road, Deoria- 274001 • **DHANBAD:** 208 New Market 2nd Floor Bank More - Dhanbad 826001 • **DHULE:** Ground Floor Ideal Laundry Lane No 4, Khol Galli Near Muthoot Finance Opp Bhavasar General Store Dhule 424001 • **DURGAPUR:** Mwav-16 Bengal Ambuja 2nd Floor City Centre Distt. Burdwan Durgapur-16 Durgapur 713216 • **ELURU:** D.No: 38-15-1/1, Vaibhav Fort, Agraharam, Western Street, Eluru Andhra Pradesh - 534001 • **ERODE:** Address No 38/1 Ground Floor Satry Road (Vctv Main Road) Sorna Krishna Complex Erode 638003 • **FARIDABAD:** A-28 2nd Floor Neelam Bata Road Peer Ki Mazar Nehru Groundrnt Faridabad 121001 • **FEROZPUR:** The Mall Road Chawla Blding Ist Floor Opp. Central Jail Near Hanuman Mandir Ferozepur 152002 • **GANDHIDHAM:** Off No-12 Plot No-300, Ground Floor, Shree Ambica Arcade Building, Near Hdfe Bank, Gandhidham, 370201 • **GANDHINAGAR:** Office No 138, First Floor, Suyas Solitaire, Near Podar International School, Kudasan, Gandhinagar, 382421 • **GAYA:** Opposite Of Bharat Sewa Ashram, Near Dr A Bhatkurt Multipersity Hospital, Swarajpuri Road, Gaya-823001 • **GHAATKOPUR:** 11/Platinum Mall, Jawahar Road, Ghatkopur (East), Mumbai 400077 • **GHAZIABAD:** Ff - 31 Konark Building Rajnagar - Ghaziabad 201001 • **GHAZIPUR:** Rani Katar, Mahubagh, Infront Of Shubhra Hotel, Ghazipur - 233001 • **GONDA:** 782 Shiv Sadan, Iti Road, Near Raghukul Vidya Peeth, Civil Lines Gonda-271001 • **GORAKHPUR:** Cross Road The Mall, Shop No 8-9, 4th Floor, Bank Road, Gorakhpur 273001 • **GULBARGA:** H No 2-231 Krishna Complex 2nd Floor Opp. Municipal Corporation Office Jagat Station Main Road Kalaburgaji Gulbarga 585105 • **GUNTUR:** 2nd Shatter 1st Floor Hno. 6-14-48 14/2 Lane Arundal Pet Guntur 522002 • **GURGAON:** No: 212A 2nd Floor Vipul Agora M. G. Road - Gurgaon 122001 • **GUWAHATI:** 4th Floor, Ganapati Enclave, G.S. Road, Near Bora Service, Guwahati 781007 • **GWALIOR:** T-303 3rd Floor, Vasudhara Tower, Near Axis Bank In Front Of Virendra Villa, Patel Nagar, City Centre, Gwalior-474011 • **HALDWANI:** Shop No 5 KmVn Shopping Complex, Haldwani 263139 • **HARIDWAR:** Shop No: 17, Bhatia Complex, Near Jamuna Palace, Haridwar 249410 • **HASSAN:** Sas No: 490 Hemadri Arcade 2nd Main Road Salgame Road Near Brahmins Pous Hotel, Hassan 573201 • **HISSAR:** Shop No. 20 Ground Floor R D City Centre Railway Road Hissar 125001 • **HOSHARPUR:** Unit # Sf-6 The Mall Complex 2nd Floor Opposite Kapila Hospital Sutheri Road, Hoshiarpur 146001 • **HOSUR:** No 2/3-4, Sri Venkateswara Layout, Denkanikottai Road, Dinnur Hosur - 635109 • **HUBLI:** R R Mahalaxmi Mansion Above Indusind Bank 2nd Floor Desai Cross Pinto Road Hubballi 580029 • **HYDERABAD:** 2nd Floor Ibs Station, Lower Concourse 1, Situated In Jubilee Bus Metro Station, Secunderabad 500009 • **HYDERABAD (GACHIBOWLI):** Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanaknaguda Serilingampally Mandal Hyderabad 500032 • **INDORE:** 101 Diamond Trade Centre, 104 / 1st Floor, Shivaji Chowk, Opposite Kdmc (Kalyan Dombivli Mahanagar Corporation) Kalyan - 421301 • **KALYANI:** Ground Floor H No B-7/275, Kalyani, Kalyani Ho, Nadiya, West Bengal - 741235 • **KANPUR:** 2nd Floor Global Village Bank Road Kannur 670001 • **KANPUR:** 2nd Floor Of Tower-A, Virendra Smriti Complex, 15/54-B Civil Lines, Kanpur-208001 • **KARIMNAGAR:** 2nd Shutterhno. 7-2-607 Sri Matha Complex Mankamathota • **KARNAGAR:** 505001 • **KARNAL:** 3 Randhir Colony Near Doctor J.C.Bathia Hospital Karnal (Haryana) 132001 • **KARUR:** No 88/11 Bb Plaza Nirmal Street K S Mess Back Side Karur 639002 • **KHAMMAM:** 11-4-3/3 Shop No. S-9 1st Floor Srivenkata Sairam Arcade Old Cpi Office Near Priyadarshini College/nehru Nagar Khammam 507002 • **KHARAGPUR:** Holding No 254/220 Sbi Building Malancha Road Ward No.16 Po: Kharagpur Ps: Kharagpur Dist: Paschim Medinipur Kharagpur 721304 • **KOLHAPUR:** 605/1/4 E Ward Shahupuri 2nd Lane Laxmi Niwas Near Sultane Chambers Kolhapur 416001 • **KOLKATA:** 2/1 Russel Street 4th/Floor Kankaria Centre Kolkata 700071 • **KOLLAM:** Sree Vigneswara Bhavan Shastri Junction Kollam - 691001 • **KORBA:** Office No #202, 2nd Floor, Irc Que, 97 T. P. Nagar, Korba-495677 • **KOTA:** D-8 Shri Ram Complex Opposite Multi Purpose School Gumanpur Kota 324007 • **KOTTAYAM:** 1st Floor Sicscienceen Survey Railway Station Road Collectorate P O Kottayam 686002 • **KURNOOL:** Shop No:47 2nd Floor S Komda Shopping Mall, Kurnool 518001 • **LUCKNOW:** Office No 202, 2nd Floor, Bhalla Chambers, 5 Park Road, Hazratganj, Lucknow 226001 • **LUDHIANA:** Sco 122 Second Floor Above Hdfe Mutual Fun Feroze Gandhi Market Ludhiana 141001 • **MADURAI:** No. 6-16/17 Ar Plaza 1st Floor North Veli Street Madurai 625001 • **MALAPPURAM:** MM18/1974, Peekeas Arcade, (ICICI Bank Building), Near Municipal bus stand, A K Road, Dhwani, Malappuram, Kerala, 676519 • **MALDA:** Ram Krishna Pally, Ground Floor English Bazar - Malda 732101 • **MANDI:** House No. 99/11 3rd Floor Opposite Gss Boy School School Bazar Mandi 175001 • **MANGALORE:** Shop No - 305 Marian Paradise Plaza 3rd Floor Bunts Hostel Road Mangalore - 575003 • **MARGOA:** 2nd Floor, L & L Correia'S Pride, Nearest Landmark Above Kf, Near Ktc Bus Stand, Margao Salcete Goa 403801 • **MATHURA:** Shop No. 9, Ground Floor, Vihari Lal Plaza, Opposite Brijwasi Centre, Near New Bus Stand, Mathura 281001 • **MEERUT:** Shop No. 29/71, 1st Floor, Sbm Tower, Near Apex Tower, Near Apex Tower, Opposite Eyes Petrol Pump, Ccs University Road, Mangal. Pandey Nagar Meerut-250002 • **MEHSANA:** Ff-21, Someswar Shopping Mall, Modhera Road-Mehsana-384002 • **MIRZAPUR:** Triveni Campus, 2nd Floor, Ratanganj, Near Sbi Life, Mirzapur-231001 • **MOGA:** 1st Floordutt Road. Mandir Wali Gali Civil Lines Barar Ghar Moga 142001 • **MORADABAD:** Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad-244001 • **MORENA:** House No. Hig 959, Near Court, Front Of Dr. Lab. Lab, Old Housing Board Colony, Morena 478001 • **MUMBAI:** 6/8 Ground Floor Crossley House Near Bse (Bombay Stock Exchange) Next Union Bank Of India Fort Mumbai - 400001 • **MUZAFFARPUR:** 1st Floor, Saroj Complex, Diwan Road, MuzaFarpur -842001 • **MYSORE:** No 2924 2nd Floor 1st Main 5Th Cross Saraswathi Puram Mysore 570009 • **NADIAD:** 311-3rd Floor City Centre, Near Paras Circle, Nadiad-387001 • **NAGERKOL:** Hno 45 1st Floor East Car Street Nagercoil 629001 • **NAGPUR:** Plot No. 2 Block No. B / 1 & 2 3002 Apartment Khare Town Mata Durand Road Dharampet Nagpur 440010 • **NANDED:** Shop No. 4 Sankarika Market G G Road, Opp. Bank Of India Nanded 431601 • **NASHIK:** S-9 Second Floor Suyojit Sankar. Sharapur Road Nasik 422002 • **NAVSARI:** 103 1st Floor Landmark Mall, Near Sayaji Vaibhav Library, Navsari, 396445 • **NELLORE:** H. No. 216/2/561, Ramarao Complex-2 3rd Floor, Shop No: 305, Nagula Mitta Road, (Indira Bhavan), Opp: Bank Of Baroda, Nellore Pin : 524001 • **New Delhi:** 305 New Delhi House 27 Barakhamba Road - New Delhi 110001 • **NOIDA:** F-21 2nd Floor Near Kalyan Jewellers Sector-18 Noida 201301 • **PALGHAR:** The Edge Ground Floor, Shop number 4, Bhausaheb Dandekar Marg, Behind Prakash Talkies, Palghar Maharashtra 401404 • **PALGHAT:** No: 20 & 21 Metro Complex H.P.O.Road Palakkad H.P.O.Road Palakkad 678001 • **PANIPAT:** Shop No. 20 1st Floor Bmk Market Behind Hive Hotel G.T.Road Panipat-132103 • **PANJIAN:** H. No: T-9 T-10 Affran Plaza 3rd Floor Near Don Bosco High School Panjan 403001 • **PATHANKOT:** 2nd Floor Sahni Arcade Complex, Adj. Indira Colony Gate Railway Road Pathankot 145001 • **PATIALA:** B- 17/423 Lower Mall Patiala Opp Modi College Patiala 147001 • **PATNA:** Flat No -102, 2Bh Km Bhaawani Sherdalay Exhibition Road, Patna-800001 • **PONDICHERRY:** No 122(108) Muthuramiamman Koil Street - Pondicherry 605001 • **PRAYAGRAJ:** Shop No- Tf-9, 3rd Floor, Vinayak Vrindavan Tower, H No-34/26, Tashkhant Road. Civil Station, Prayagraj- 211001 • **PUNE:** Ayaan Chandrika, Office No. 14,15,16. Second Floor H.NO 1315, F.P.L No. 701, Dadasaheb Torne Path, Off Jangli Maharaj Road, Shivaji Nagar Pune Maharashtra -411005 • **RAIPUR:** Office No- 401, 4th Floor, Pithala Plaza, Fafadih Chowk, Raipur -492001 • **RAJAHMUNDRY:** D No: 6-7-7, Sri Venkata Satya Nilayam, 1st Floor, Vadrevu Vani Veedhi, T - Nagar, Rajahmundry, Ap- 533101 • **RAJKOT:** 406 Prism Square Building, Near Moti Tanki Chowk, Near Kathiyawadi Gymkhana, Opp RKC School Gate, Dr. Radhakrishnan Marg, Rajkot - 360001 • **RANCHI:** Room No 103, 1st Floor, Commerce Tower, Beside Mahabir View, Main Road, Ranchi - 834001 • **RATLAM:** 106, Rajaswa Colony, Near Sailana Bus Stand, Ratlam, Madhya Pradesh 457001 • **RENUKOOT:** C/O Mallick Medical Store, Bungaloi Katra Main Road, Renukoot, Dist. Sonbhadra -231217 • **REWA:** Shop No- 22, Ground Floor, Shree Sai Anmol Complex, Opp Teerath Memorial Hospital, Rewa-486001 • **ROHTAK:** Office No: 61 First Floor Ashoka Plaza Delhi Road Rohtak 124001 • **ROORKEE:** Near Shri Dwarkadish Dharm Shala, Ramnagar, Roorkee-247667 • **ROURKELA:** 2nd Floor Main Road Udit Nagar Sundargarh Rourkela 769012 • **SAGAR:** 2nd Floor Above Shiva Kanch Mandir 5 Civil Lines Sagar Madhya Pradesh -470001 • **SAHARANPUR:** 1st Floor, Krishna Complex, Opp. Hatni Gate, Court Road, Saharanpur - 247001 • **SALEM:** No. 6 Ns Complex Oldmain Main Road Salem 636009 • **SAMBALPUR:** First Floor, Shop No. 219 Sahej Plaza Golebazar, Sambalpur Sambalpur 768001 • **SANGLI:** 514/A, Gala No. 2/A, The Signature Building, Near Pudhari Bhavan, Sangli - 416416 • **SATARA:** 67, 465 A, Govind Park Satar Bazaar, Satara - 415001 • **SATNA:** 1st Floor, Gopal Complex, Rewa Road, Near Bus Stand, Satna-485001 • **SERAMPORE:** Hinterland-II, GR.Floor, 6A Roy Ghat Lane, Serampore, Hooghly, West Bengal, 712201 • **SHILLONG:** Annex Mani Bhawan Lower Thana Road Near R K M Lp School Shillong 793001 • **SHIMLA:** 1st Floor Hills View Complex Near Tara Hall Shimla 171001 • **SHIMOGA:** Jayarama Nilaya 2nd Cross Mission Compound Shimoga 577201 • **SHIVPURI:** A. B. Road, In Front Of Sawarkar Park, Near Hotel Vasanthali, Shivpuri 473551 • **SIKAR:** First Floorsuper Tower Behind Ram Mandir Near Taparya Bagichi - Sikar 332001 • **SILCHAR:** Above R K Crossroads, 2nd Floor, N.N. Dutta Road, Near Gurdwara, Shillongpatt, Silchar 788001 • **SILIGURI:** Vyom Sachitra Building, 2nd Floor, Pranam Mandir Road, Siliguri - 734001 • **SITAPUR:** 12/12 Surya Complex, Station Road, Uttar Pradesh, Sitapur 261001 • **SOLAN:** Disha Complex 1st Floor Above Axis Bank Rajgarh Road Solan 173212 • **SOLAPUR:** Shop No 106. Krishna Complex 477 Dakshin Kambada Datta Chowk Solapur-413007 • **SONEPAT:** PP Tower, Shop No 207, 2nd Floor, Opposite Income Tax office, Subhash Chowk, Sonepat-131001 • **SRI GANGANAGAR:** Address Shop No 5 Opposite Bihani Petrol Pump Nh - 17 Near Baba Ramdev Mandir Sri Ganganagar 335001 • **SRIKAKULAM:** D No:1-6/2, First Floor, Near Vijaya Ganapathi Temple, Beside I.K. Rao Building, Palakonda Road, Sriakulam Dist Andhra Pradesh - 532001 • **SULTANPUR:** 1st Floor, Shop No-90A, Ramashankar Market, Civil Lines, Near Bus Station, Sultanpur-228001 • **SURAT:** Ground Floor Empire State Building Near Udhna Darwaja Ring Road, Surmat, 395002 • **THANE:** Tropical Elite, 1st Floor, Shop no 106, Near Naupada Police Station, Near Hari Niwas Circle Thane West Mumbai- 400602 • **TINSUKIA:** 3rd Floor, Somany Tiles Building Above Uti Mutual Fund, Chirwapatty Road, Tinsukia Assam - 786125 • **TIRUNELVELI:** 55/18 Jeney Building 2nd Floor S N Road Near Aravind Eye Hospital Tirunelveli 627001 • **TIRUPATHI:** Shop No.18-1-421/F1 City Center K.T.Road Airtel Backside Office Tirupathi - 517501 • **TIRUPVALLA:** 2nd Floor erinjery complex Ramanchira Opp Axis Bank Thiruvalla 689107 • **TRICHUR:** 4th Floor Crown Tower Shaktunagar Opp. Head Post Office Thrissur 680001 • **TRICHY:** No 23C/1 E V R Road Near Veekaliamman Kalyana Mandapam Puthur - Trichy 620017 • **TRIVANDRUM:** 3rd floor No- 38 Tc-82/17, Capitol Center, Opp Secretariat, Mg Road, Statue P O Trivandrum - 695001 • **TUICORIN:** C - 8 A34 - A37 Mangalam Mani Nagar, Opp. Rajaji Park Palayamkottai Road. Tuicorin 628003 • **UDAIPUR:** Shop No. 202 2nd Floor Business Centre 1C Madhuvan Opp G P O Chetak Circle Udaipur 313001 • **UJJAIN:** 227, 2nd Floor Heritage Plaza Above Vishal Megha Mart, Near Hotel Kshipra, Ujjain M.P. - 456010 • **VALSAD:** 406, 4th Floor, Dreamland Arcade, Tithal Road, Valsad, 396001 • **VAPI:** Sa/1/LA Wing, 2nd Floor, Solitaire Business Centre, Opp Dcb Bank, Vapi Gide Char Rasta, Vapi-396195 • **VARANASI:** D 64 / 127 C - H Arhant Complex, 2nd Floor, Siga (Near Petrol Pump) Varanasi (O P)-221010 • **VASHI:** Haware Infotech Park 902, 9th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703 • **VELLORE:** No 2/19 1st Floor Vellore City Centre Anna Salai Vellore 632001 • **VIJAYAWADA:** 40-9-62, Sub Register Office Road, Acharya Ranga Nagar, Benz Circle Vijayawada 520008 • **VISAKHAPATNAM:** Dno: 48-10-40 Ground Floor Surya Ratna Arcade Srinagar Opp Roadto Lalitha Jeweller Showroom Beside Taj Hotel Ladge Visakhapatnam 530016 • **WARANGAL:** Shop No22 Ground Floor Warangal City Center 15-1-237 Mulugu Road Junction Warangal 506002 • **YAMUNA NAGAR:** B-V 185/A 2nd Floor Jagadri Road Near Dav Girls College (Uco Bank Building) Pyara Chowk - Yamuna Nagar 135001

#### COLLECTION CENTER

**Andheri:** Office No 103, 1st Floor, MTR Cabin-1, Vertex, Navkar Complex M. V. Road, Andheri East, Opp Andheri Court, Mumbai - 400069 • **Borivali:** Gomati Smtground Floor Jambli Gully Near Railway Station Borivali Mumbai 400 092 • **Hyderabad (Gachibowli):** Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanaknaguda Serilingampally Mandal Hyderabad 500032 • **Thane:** Room No. 302 3rd Flooranga Prasad Near Rbl Bank Ltd. Ram Maruti Cross Roadnaupada. Thane West Mumbai 400602 • **Vashi:** Haware Infotech Park 902, 9th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703



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