

Report on Stewardship Code for Financial Year 2021-2022

Pursuant to SEBI Circular no. CIR/CFD/CMD1/168/2019 dated December 24, 2019, in respect of Stewardship Code for mutual funds, as required under the Principle 6 of the Stewardship guidelines, Mutual Funds should report periodically on their stewardship activities.

The Stewardship Code for Mutual Funds has been made effective from July 1, 2020, by SEBI vide its Circular no. SEBI/HO/CFD/CMD1/CIR/P/2020/55 dated March 30, 2020. Accordingly, the status on principles under Stewardship Code for the period April 01, 2021 to March 31, 2022, is provided below:

| Sr. No. | Principles of Stewardship Code | Status for Baroda BNP Paribas Mutual Fund on Principles of Stewardship Code |
|----------------|--|--|
| 1. | Institutional Investors should formulate a comprehensive policy on the discharge of their stewardship responsibilities, publicly disclose it, review and update it periodically. | The said requirement is fulfilled. |
| 2. | Institutional investors should have a clear policy on how they manage conflicts of interest in fulfilling their stewardship responsibilities and publicly disclose it. | The said requirement is fulfilled. |
| 3. | Institutional investors should monitor their investee companies. | Yes. |
| 4. | Institutional investors should have a clear policy on intervention in their investee companies. Institutional investors should also have a clear policy for collaboration with other institutional investors where required, to preserve the interests of the ultimate investors, which should be disclosed. | The said requirement is fulfilled. |
| 5. | Institutional investors should have a clear policy on voting and disclosure of voting activity. | Yes. |
| 6. | Institutional investors should report periodically on their stewardship activities. | The said requirement is fulfilled. |